

# **When reachability-based secrecy implies equivalence-based secrecy in security protocols**

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LORIA, CNRS & INRIA project CASSIS, Nancy, France

Artist2 Workshop  
Pisa, May 18th 2006

# Context

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## Verifying security protocols

- programs that ensure secure communications
- notoriously difficult to design

## The intruder controls the network

- can see all messages
- can modify and send new messages
- can intercept messages

# Two standard notions of secrecy

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Reachability-based (syntactic) secrecy	Equivalence-based (strong) secrecy
$P \rightarrow^* s$	$P(M) \approx P(M') \quad \forall M, M'$
decidable classes	stronger security notion
many available tools	closer to computational secrecy

# Two standard notions of secrecy

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**Goal:** Relating the two notions of secrecy

**Motivations:**

- Few results for strong secrecy
- [ESOP'05] in a cryptographic setting, accessibility-based secrecy implies indistinguishability, for asymmetric encryption.

# Goal

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$$\begin{array}{ccc} \text{syntactic secrecy} & \text{implies} & \text{strong secrecy} \\ P \rightarrow^* s & \Rightarrow & P(M) \approx P(M') \end{array}$$

Passive case:

- probabilistic encryption
- the secret does not occur in keys

Active case:

- probabilistic encryption
- ground keys
- no tests on the secret

# Messages

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Messages are modeled by **terms**.

- concatenation:  $\langle m_1, m_2 \rangle$
- probabilistic symmetric encryption:  $\text{enc}(m, k, r)$
- probabilistic asymmetric encryption:  $\text{enca}(m, \text{pub}(a), r)$
- digital signatures:  $\text{sign}(m, \text{priv}(a))$
- + **constants, variables** and **names** (from a set  $\mathcal{N}$ ).

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We equip the algebra with an **equational theory**:

$$\left\{ \begin{array}{l} \pi_1(\langle z_1, z_2 \rangle) = z_1 \\ \pi_2(\langle z_1, z_2 \rangle) = z_2 \\ \text{dec}(\text{enc}(z_1, z_2, z_3), z_2) = z_1 \\ \text{deca}(\text{enca}(z_1, \text{pub}(z_2), z_3), \text{priv}(z_2)) = z_1 \\ \text{check}(z_1, \text{sign}(z_1, \text{priv}(z_2)), \text{pub}(z_2)) = \text{ok} \\ \text{retrieve}(\text{sign}(z_1, z_2)) = z_1 \end{array} \right.$$

# Deducibility

Frame:

$$\nu \tilde{n} \{ M_1/x_1, \dots, M_l/x_l \}$$

fresh values      sequence of messages  
(terms)

Deduction

System:

$$\frac{\nu \tilde{n}. \sigma \vdash x \sigma}{x \in \text{dom}(\sigma)} \quad \frac{}{\nu \tilde{n}. \sigma \vdash s} \quad s \in \mathcal{N} \setminus \tilde{n}$$
$$\frac{\nu \tilde{n}. \sigma \vdash t_1 \quad \dots \quad \nu \tilde{n}. \sigma \vdash t_r}{\nu \tilde{n}. \sigma \vdash f(t_1, \dots, t_r)} \quad \frac{\nu \tilde{n}. \sigma \vdash t \quad t =_E t'}{\nu \tilde{n}. \sigma \vdash t'}$$

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Example:  $k$  and  $\langle k, k' \rangle$  are deducible from the frame

$$\nu k, k', r. \{^{\text{enc}(k, k', r)}/x, ^{k'}/y \}.$$

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Definition: A term  $M$  is *syntactically secret* in  $\varphi$  if  $\varphi \not\vdash M$ .

# Static equivalence $\approx_s$

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**Definition:**  $\phi_1 \approx_s \phi_2$  iff  $\text{dom}(\phi_1) = \text{dom}(\phi_2)$  and for every couple of terms  $(M, N)$  such that  $\text{var}(M, N) \subseteq \text{dom}(\phi_1)$ ,

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**Example:**

$\phi_1 = \nu k \{\text{enc}(\text{yes}, k)/x, k/y\}$  and  $\phi_2 = \nu k \{\text{enc}(\text{no}, k)/x, k/y\}$   
are not statically equivalent

since  $\text{dec}(x, y) = \text{yes}$  for  $\phi_1$ , while  $\text{dec}(x, y) = \text{no}$  for  $\phi_2$ .

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**Definition:**  $\mathbf{s}$  is *strongly secret* in  $\phi$  if

$$\phi(M/\mathbf{s}) \approx_s \phi(M'/\mathbf{s}) \quad \forall M, M'$$

# Syntactic secrecy is weaker than strong secrecy!

---

Examples of  $\psi_i$  s.t.  $\psi_i \not\vdash \mathbf{s}$  and  $\psi_i(M) \not\approx_s \psi_i(M')$  for some  $M, M'$ .

Probabilistic  
encryption

$$\psi_1 = \nu k, r. \{ \text{enc}(\mathbf{s}, k, r)/x, \text{enc}(n, k, r)/y \}$$

$$x = y$$

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Retrieve rule

$$\psi_4 = \{ \text{sign}(\mathbf{s}, \text{priv}(a))/x, \text{pub}(a)/y \} \quad \text{check}(n, x, y) = \text{ok}$$

# Syntactic secrecy vs strong secrecy (passive case)

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A frame  $\varphi = \nu\tilde{n}.\sigma$  is *well-formed* if

- encryption is **probabilistic**,
- **s** is not part of a **key** and,
- $\varphi$  does not contain **destructor** symbols.

**Theorem 1** For any well-formed frame, week secrecy is equivalent to strong secrecy, that is

$$\varphi \not\vdash s \quad \text{iff} \quad \varphi(M/s) \approx_s \varphi(M'/s)$$

for all  $M, M'$  closed terms public wrt  $\varphi$ .

# Proof sketch (passive case)

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Base case Lemma:  $u\sigma(M/\mathbf{s}) = v\sigma(M/\mathbf{s})$  implies  $u\sigma = v\sigma$ .

Transfer Lemma:  $\varphi = \nu \tilde{n}.\sigma$  well-formed frame,  $\varphi \not\vdash \mathbf{s}$ .

If  $u\sigma(M/\mathbf{s}) \rightarrow w$ , then

- there exists  $\varphi' = \nu \tilde{n}.\sigma'$  extending  $\varphi$ , preserving deducible terms and,
- such that  $w = w'\sigma'(M/\mathbf{s})$  and  $u\sigma \rightarrow w'\sigma'$ .

Hence,  $u\sigma(M/\mathbf{s})\downarrow = u'\sigma'(M/\mathbf{s})$  and  $v\sigma(M/\mathbf{s})\downarrow = v'\sigma'(M/\mathbf{s})$ .

# Applied-pi calculus (1) [Abadi Fournet]

(Plain) processes are defined by the grammar:

$P, Q, R :=$	processes
$\mathbf{0}$	null process
$P Q$	parallel composition
$!P$	replication
$\nu n.P$	name restriction
$[M = N].P$	conditional
$p(z).P$	message input
$\bar{p}\langle M \rangle.P$	message output

Structural equivalence rules:

$A \equiv A \mid \mathbf{0}$
$A \mid (B \mid C) \equiv (A \mid B) \mid C$
$A \mid B \equiv B \mid A$
$!P \equiv P \mid !P$
$\nu n.\mathbf{0} \equiv \mathbf{0}$
$\nu u.\nu v.A \equiv \nu v.\nu u.A$
$A \mid \nu u.B \equiv \nu u.(A \mid B)$
if $u \notin \text{fv}(A) \cup \text{fn}(A)$

Internal reduction is given by the rules:

COMM	$\bar{p}\langle x \rangle.P \mid p(x).Q \rightarrow P \mid Q$
COND	$[M = M].P \rightarrow P$

# Applied-pi calculus (2)

*Extended processes* are defined by the grammar:

$A, B :=$	extended processes
$P$	plain process
$A B$	parallel composition
$\nu n.A$	name restriction
$\nu x.A$	variable restriction
$\{M/x\}$	active substitution

Additional structural equivalence rules:

ALIAS	$\nu x.\{M/x\} \equiv 0$
SUBST	$\{M/x\}   A \equiv \{M/x\}   A\{M/x\}$
REWRITE	$\{M/x\} \equiv \{N/x\}$ if $M =_E N$

*Labeled reduction* is defined by the following rules:

$$\text{IN} \quad p(x).P \xrightarrow{p(M)} P\{M/x\}$$

$$\text{OUT-ATOM} \quad \bar{p}\langle u \rangle.P \xrightarrow{\bar{p}\langle u \rangle} P$$

$$\text{OPEN-ATOM} \quad \frac{A \xrightarrow{\bar{p}\langle u \rangle} A'}{\nu u.A \xrightarrow{\nu u.\bar{p}\langle u \rangle} A'} \quad u \neq p$$

$$\text{SCOPE} \quad \frac{A \xrightarrow{\alpha} A'}{\nu u.A \xrightarrow{\alpha} \nu u.A'} \quad u \text{ not in } \alpha$$

$$\text{PAR} \quad \frac{A \xrightarrow{\alpha} A'}{A|B \xrightarrow{\alpha} A'|B} \quad \text{condition (*)}$$

$$\text{STRUCT} \quad \frac{A \equiv B \quad B \xrightarrow{\alpha} B' \quad B' \equiv A'}{A \xrightarrow{\alpha} A'}$$

where  $u$  is a metavariable that ranges over names and variables.

# Modeling protocols

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The Yahalom protocol:

$$A \Rightarrow B : A, N_a$$

$$B \Rightarrow S : B, \{A, N_a, N_b\}_{K_{bs}}$$

$$S \Rightarrow A : \{B, K_{ab}, N_a, N_b\}_{K_{as}}, \{A, K_{ab}\}_{K_{bs}}$$

$$A \Rightarrow B : \{A, K_{ab}\}_{K_{bs}}$$

$$P_A = \nu n_a. \bar{p} \langle a, n_a \rangle . p(z_a) . [b = \pi_1(\text{dec}(\pi_1(z_a), k_{as}))].$$

$$[n_a = \pi_1(\pi_2(\pi_2(\text{dec}(\pi_1(z_a), k_{as}))))] . \bar{p} \langle \pi_2(z_a) \rangle$$

$$\xrightarrow{\nu z. \bar{p} \langle z \rangle} \nu n_a. (\{\langle a, n_a \rangle /_z\} \mid p(z_a) . [b = u_b] . [n_a = u_{n_a}] . \bar{p} \langle \pi_2(z_a) \rangle)$$

$$\xrightarrow{p(\langle b, z \rangle)} \nu n_a. (\{\langle a, n_a \rangle /_z\} \mid [b = \pi_1(\text{dec}(b, k_{as}))] . [n_a = u'_{n_a}] . \bar{p} \langle a, n_a \rangle)$$

# Definitions of secrecy

---

We say that  $\mathbf{s}$  is *syntactically secret* in  $P$  if, for every  $P'$  such that  $P \Rightarrow^* P'$ ,  $\mathbf{s}$  is not deducible from  $P'$ , that is  $\varphi(P') \not\vdash \mathbf{s}$ .

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*Labeled bisimilarity* ( $\approx_l$ ) is the largest symmetric relation  $\mathcal{R}$  on closed extended processes such that  $A \mathcal{R} B$  implies:

1.  $\varphi(A) \approx \varphi(B)$ ;
2. if  $A \rightarrow A'$  then  $B \rightarrow^* B'$  and  $A' \mathcal{R} B'$ , for some  $B'$ ;
3. if  $A \xrightarrow{\alpha} A'$  then  $B \xrightarrow{*} \xrightarrow{\alpha} \rightarrow^* B'$  and  $A' \mathcal{R} B'$ , for some  $B'$ .

We say that  $\mathbf{s}$  is *strongly secret* in  $P$  if  $P(M/\mathbf{s}) \approx_l P(M'/\mathbf{s})$  for any closed terms  $M, M'$  public wrt  $P$ .

# Hypotheses

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A process  $P$  is *well-formed* if:

- encryption is **probabilistic**
- there are **no destructors** above constructors, nor above **s**
- the **keys** are ground
- for any **test**  $[M = N]$ , the terms  $M, N$  are
  - name,
  - constant,
  - or of the form  $\pi^1(\text{dec}(\dots \pi^n(\text{dec}(\pi^{n+1}(z), k_n)) \dots, k_1))$ , where the  $\pi^i$  are words on  $\{\pi_1, \pi_2\}$ .

# Ground keys

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Counter-example for non ground keys:

$$P = \nu k, r, r'. (\bar{c} \langle \text{enc}(\mathbf{s}, k, r) \rangle \mid c(z). \bar{c} \langle \text{enc}(a, \text{dec}(z, k), r') \rangle)$$

$$\rightarrow \nu k, r, r'. (\{\text{enc}(\mathbf{s}, k, r)/_z\} \mid \bar{c} \langle \text{enc}(a, \mathbf{s}, r') \rangle)$$

$$\xrightarrow{\nu z'. \bar{c} \langle z' \rangle} \nu k, r, r'. \{\text{enc}(\mathbf{s}, k, r)/_z, \text{enc}(a, \mathbf{s}, r')/_z'\}$$

# Tests over $\mathbf{s}$

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Conditionals should not test on the secret:

$$\begin{aligned} P &= \nu k, r. (\bar{p} \langle \text{enc}(\mathbf{s}, k, r) \rangle \mid p(z). [\text{dec}(z, k) = a]. \bar{p} \langle \text{ok} \rangle) \\ &\rightarrow \nu k, r. (\{\text{enc}(\mathbf{s}, k, r)/_z\} \mid [\mathbf{s} = a]. \bar{p} \langle \text{ok} \rangle) \\ P(a/\mathbf{s}) &\not\approx_l P(b/\mathbf{s}). \end{aligned}$$

There may be hidden tests on the secret. Yahalom protocol, again:

$$\begin{aligned} A \Rightarrow B : & \quad A, N_a \\ B \Rightarrow S : & \quad B, \{A, \textcolor{violet}{N}_a, \textcolor{violet}{N}_b\}_{K_{bs}} \\ S \Rightarrow A : & \quad \{B, K_{ab}, \textcolor{blue}{N}_a, N_b\}_{K_{as}}, \{A, \textcolor{red}{K}_{ab}\}_{K_{bs}} \\ A \Rightarrow B : & \quad \{A, K_{ab}\}_{K_{bs}} \end{aligned}$$

Hence we mark the test  $[n_a = \pi_1(\pi_2(\pi_2(\text{dec}(\pi_1(z_a), k_{as}))))]$ .

→ We construct a set of "potentially dangerous" tests  $\mathcal{M}_t$ .

# Syntactic secrecy vs strong secrecy (active case)

---

Definition: A protocol *does not test over*  $s$  if for any test  $[M = N]$  or  $[N = M]$  such that  $M \in \mathcal{M}_t$ ,  $N$  is a restricted name.

**Theorem 2** For well-formed processes

- which do not test over  $s$
- + some syntactic condition to ensure that messages sent through the network do not contain destructor directly above the secret.

then syntactic secrecy is equivalent with strong secrecy.

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Proof elements:

- Any frame produced by the protocol is an extended well-formed frame.
- If  $[T_1 = T_2]$  is a test in  $P$ , then  $T_1\sigma(^M/\mathbf{s}) =_E T_2\sigma(^M/\mathbf{s})$  implies  $T_1\sigma(^{M'}/\mathbf{s}) =_E T_2\sigma(^{M'}/\mathbf{s})$ .

# Conclusion

---

We have proved that syntactic secrecy implies strong secrecy

- in the passive case, for symmetric and asymmetric encryption and digital signatures;
- in the active case, for symmetric encryption, under some (rather tight) conditions;

**Application:** Yahalom, Wide Mouthed Frog, symmetric key  
Needham-Schroeder protocols are strongly secret.

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**Further work:**

- analyse more primitives (symmetric encryption, ...)
- relax some conditions (allow more tests, ...)

**Related work:**

- H. Hüttel. *Deciding framed bisimilarity*.
- B. Blanchet. *Automatic Proof of Strong Secrecy for Security Protocols*.