

ARTIST2 – MOTIVES

Trento – Italy, February 19–23, 2007

Session on Schedulability and Controller Synthesis

Controller Synthesis

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Université Libre de Bruxelles

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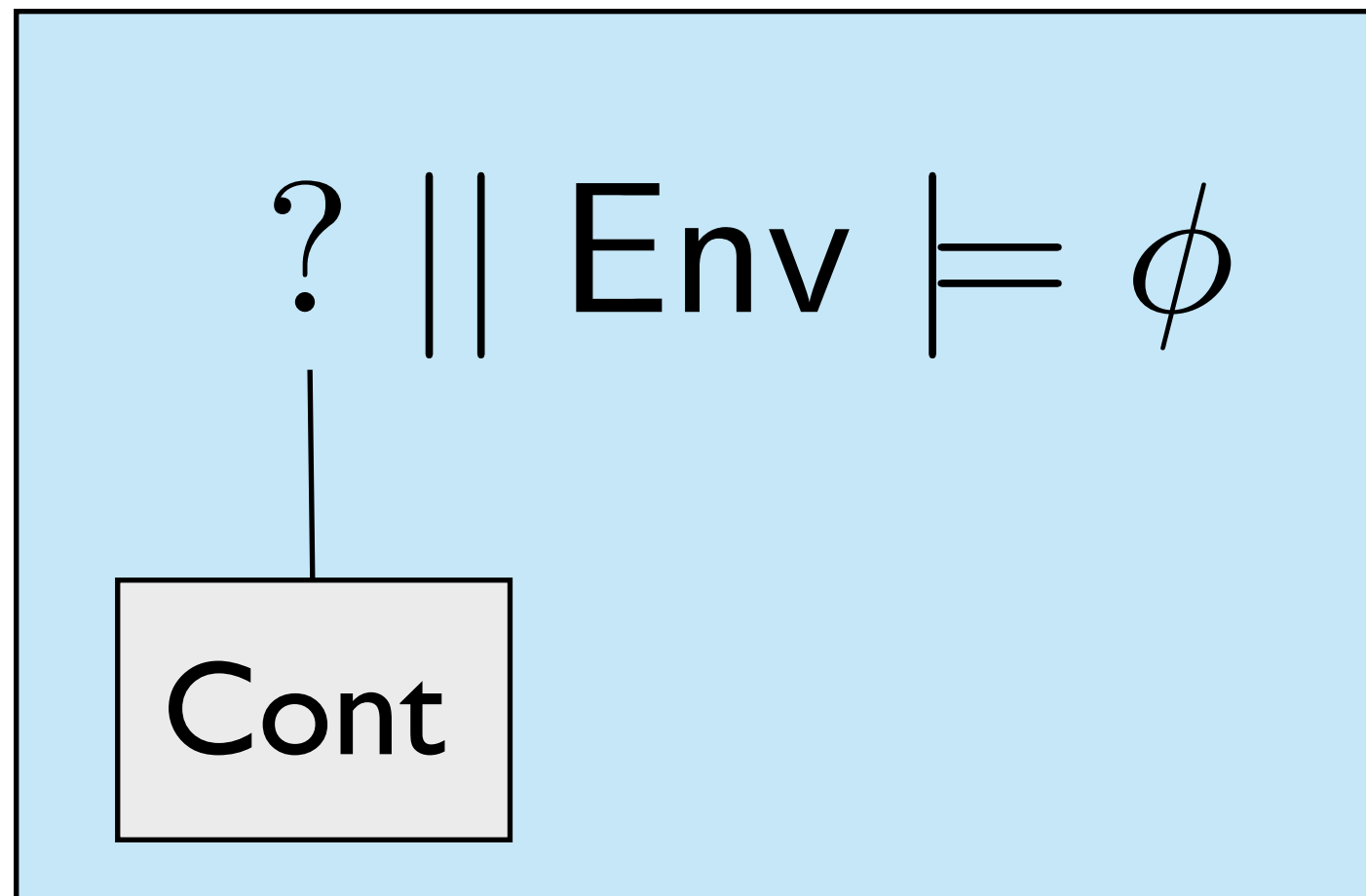
- Controller synthesis problem
- Two-player game structures
- Safety games (of perfect information)
- Imperfect information: motivations
- The lattice of antichains
- CPre over the lattice of antichains
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- Application to the universality problem of NFA
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The synthesis problem

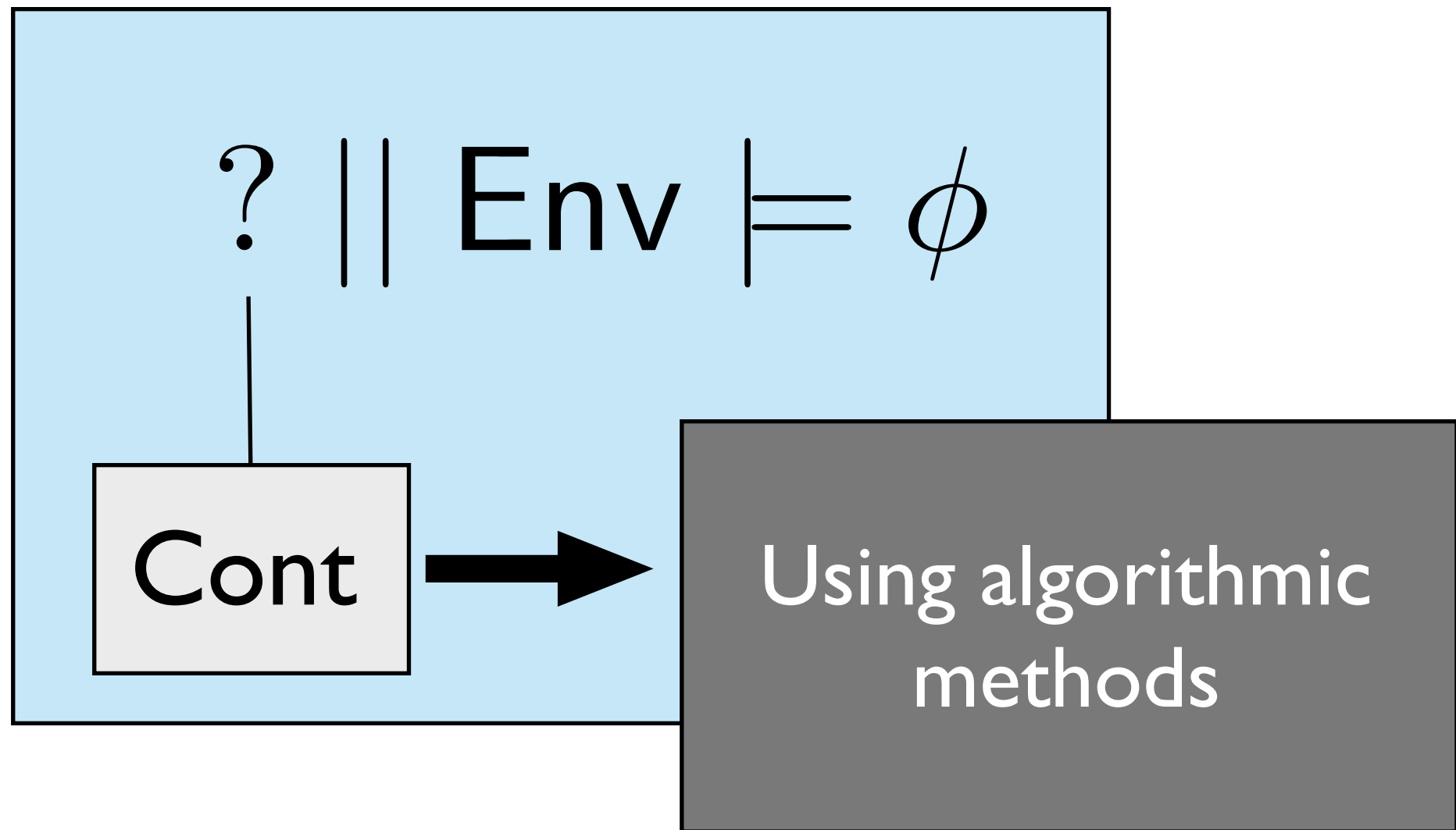
The synthesis problem

$$? \parallel Env \models \phi$$

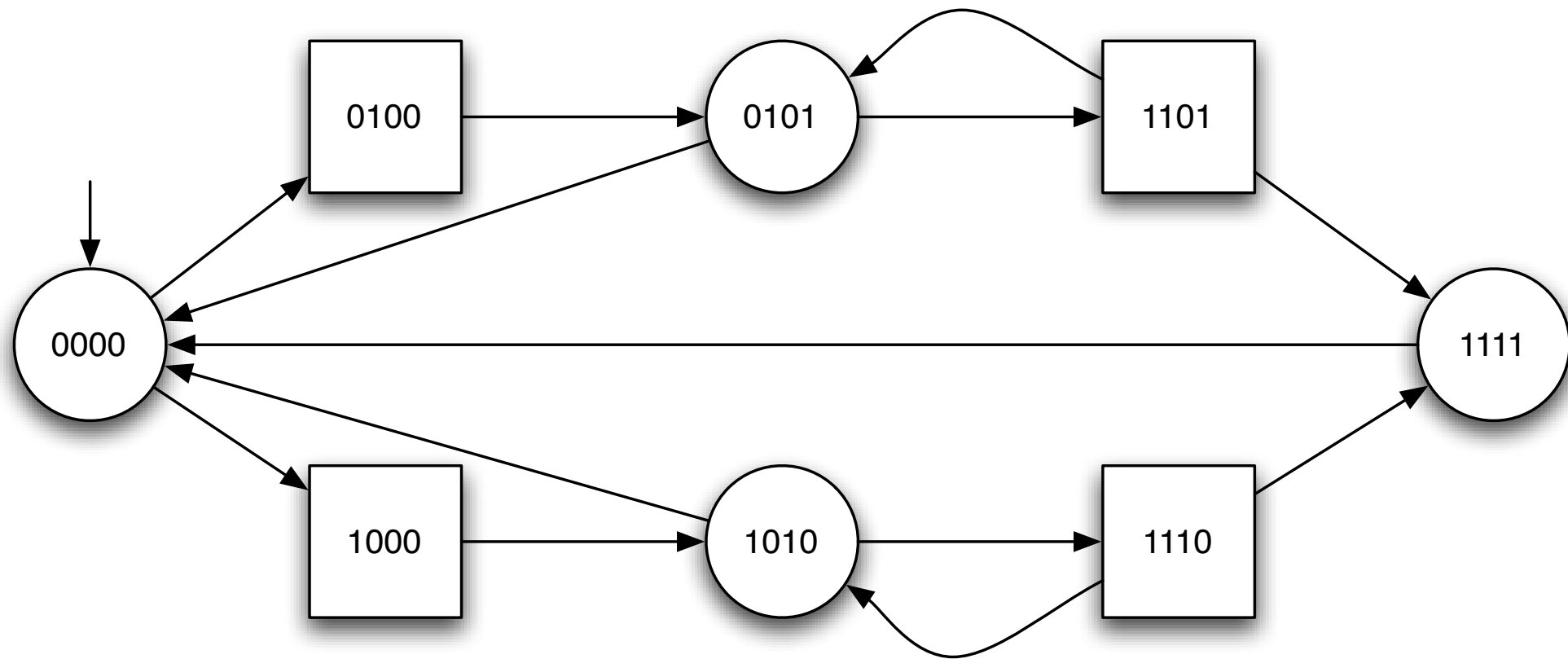
The synthesis problem

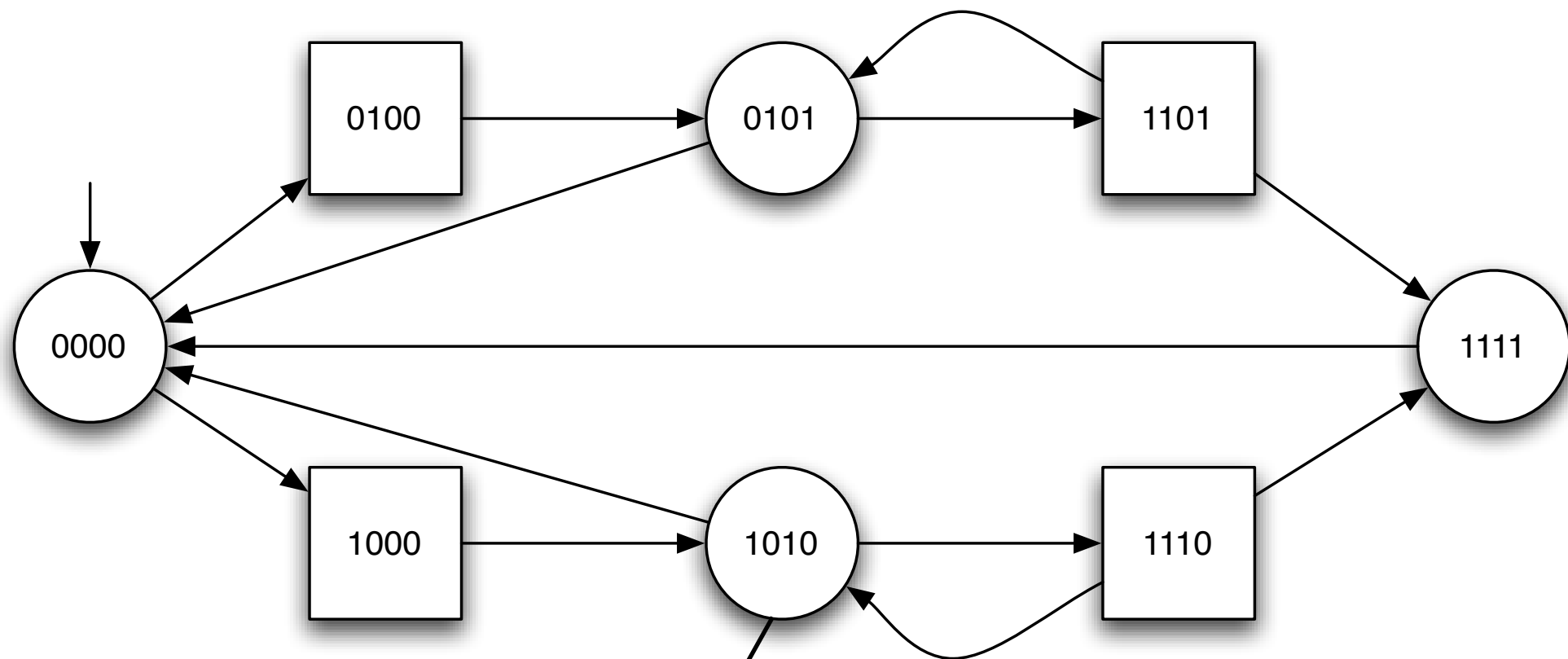


The synthesis problem



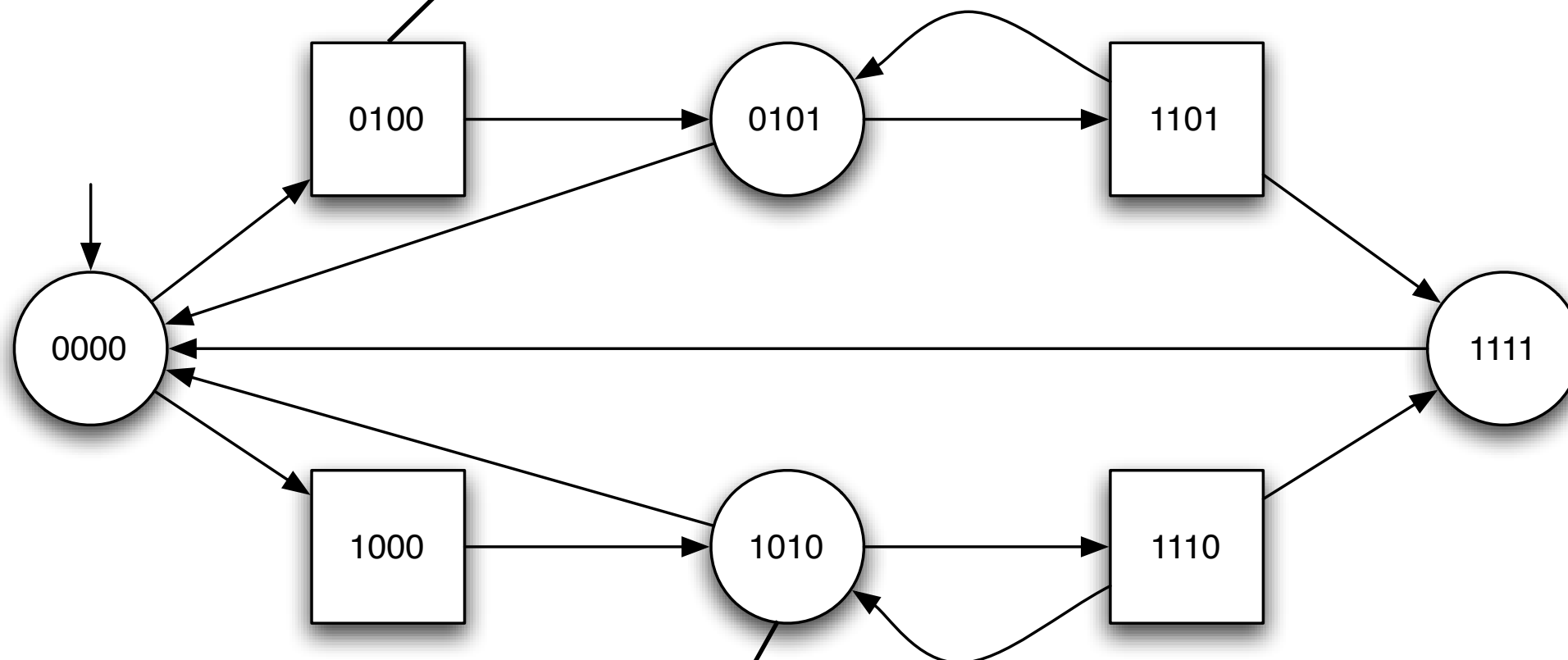
Two-player game structures





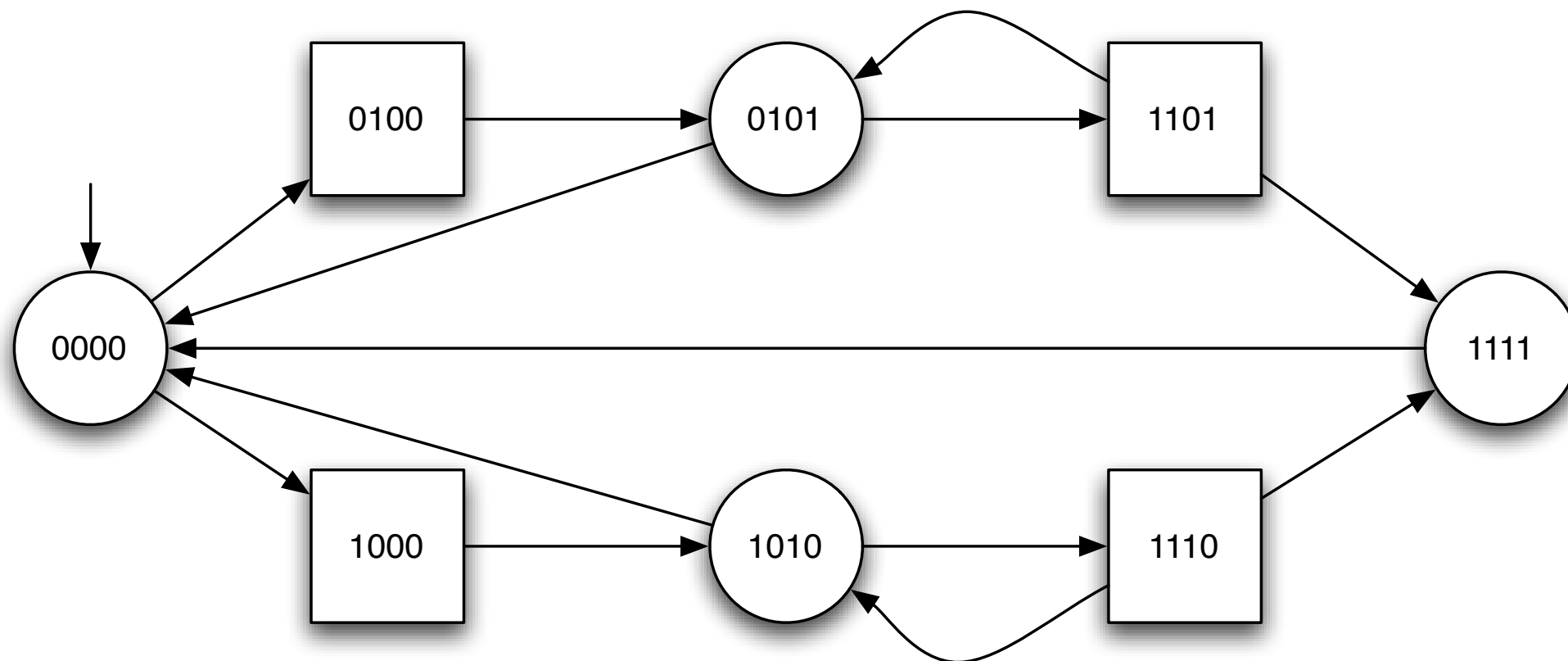
**Rounded
positions belong
to Player I**

Square positions
belong to Player 2

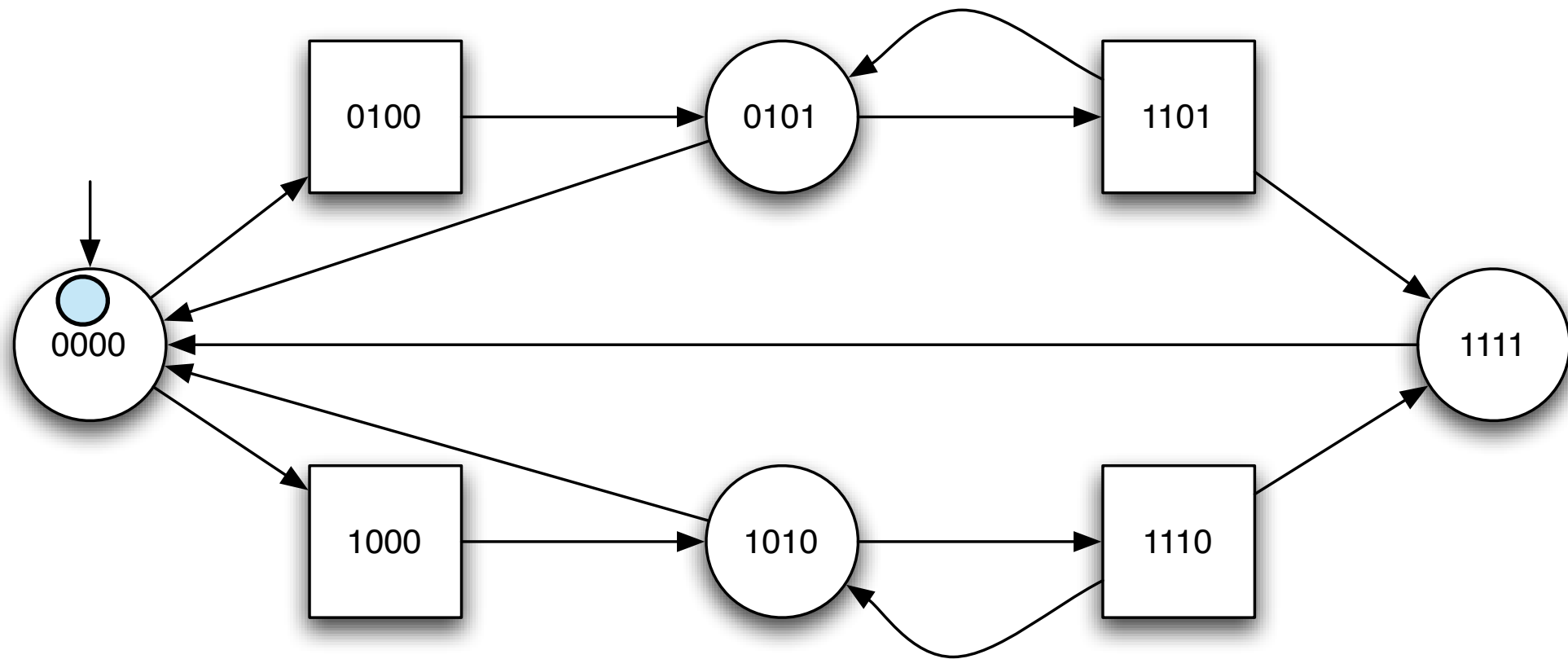


Rounded
positions belong
to Player 1

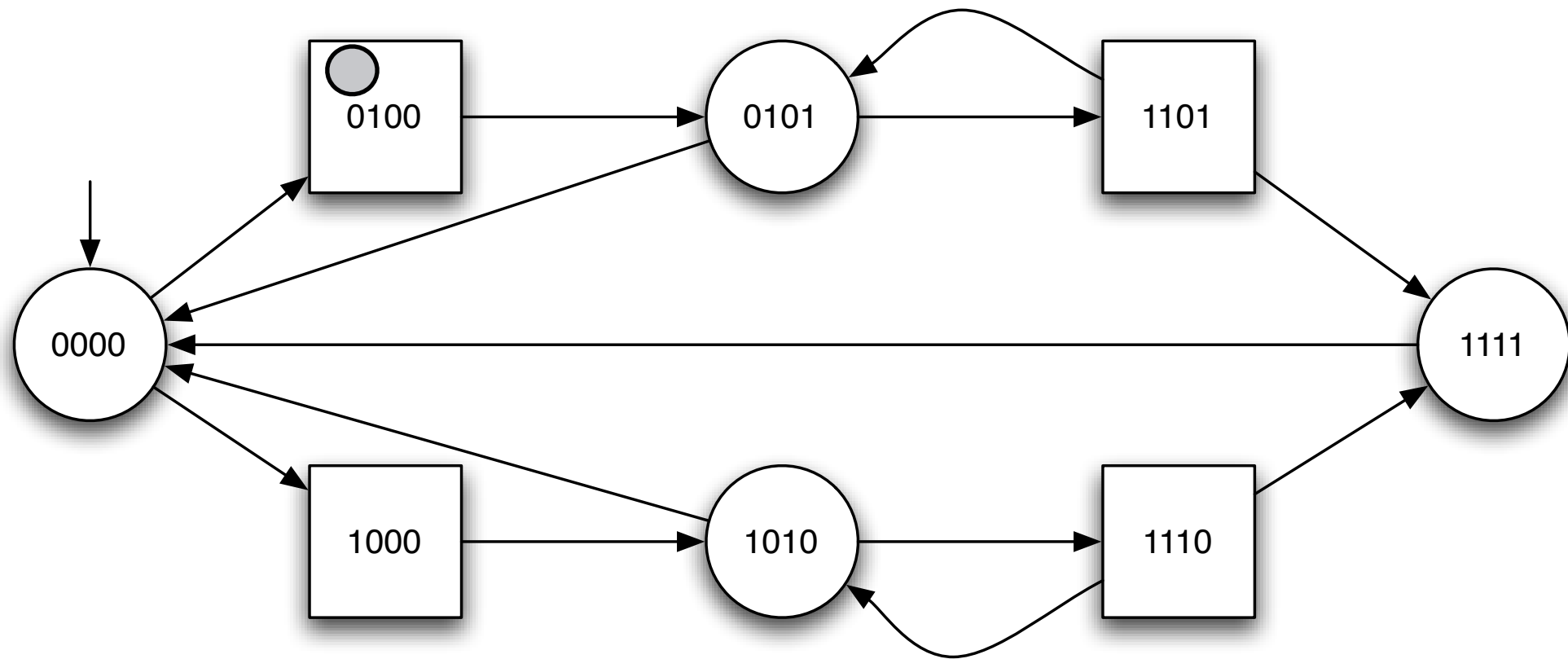
Rounded positions belong to Player 1
Square positions belong to Player 2



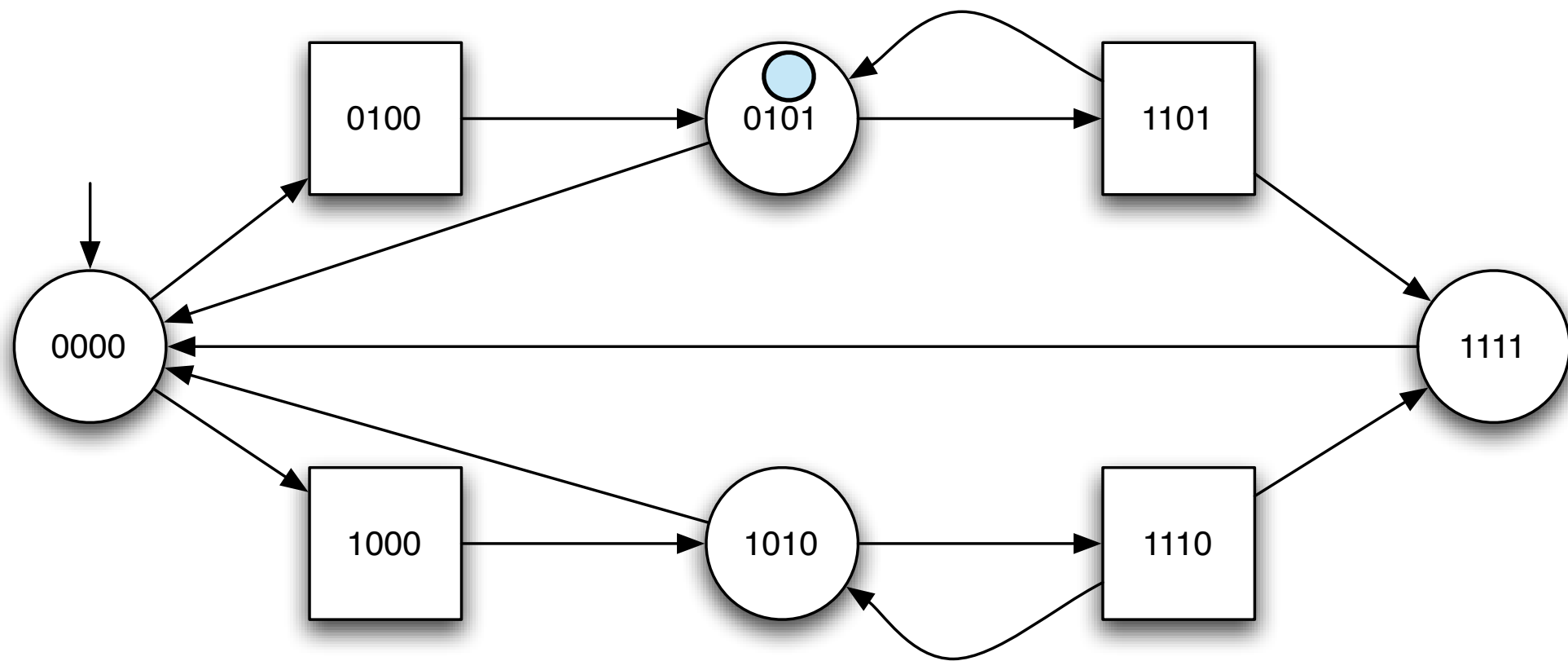
A game is played as follows: in each **round**, the game is in a **position**, if the game is in a rounded position, Player 1 resolves the **choice** for the next state, if the game is in a square position, Player 2 resolves the choice. The game is played for an **infinite number of rounds**.



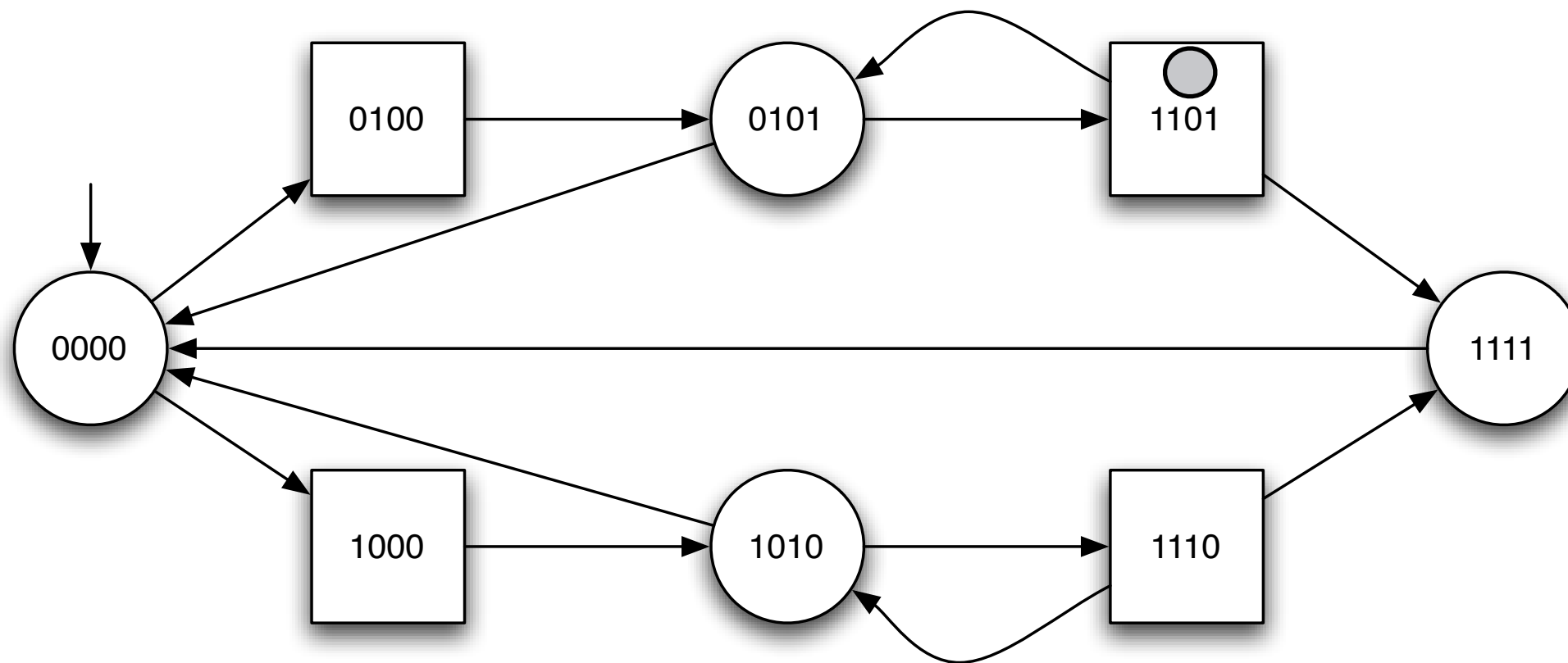
Play : 0000



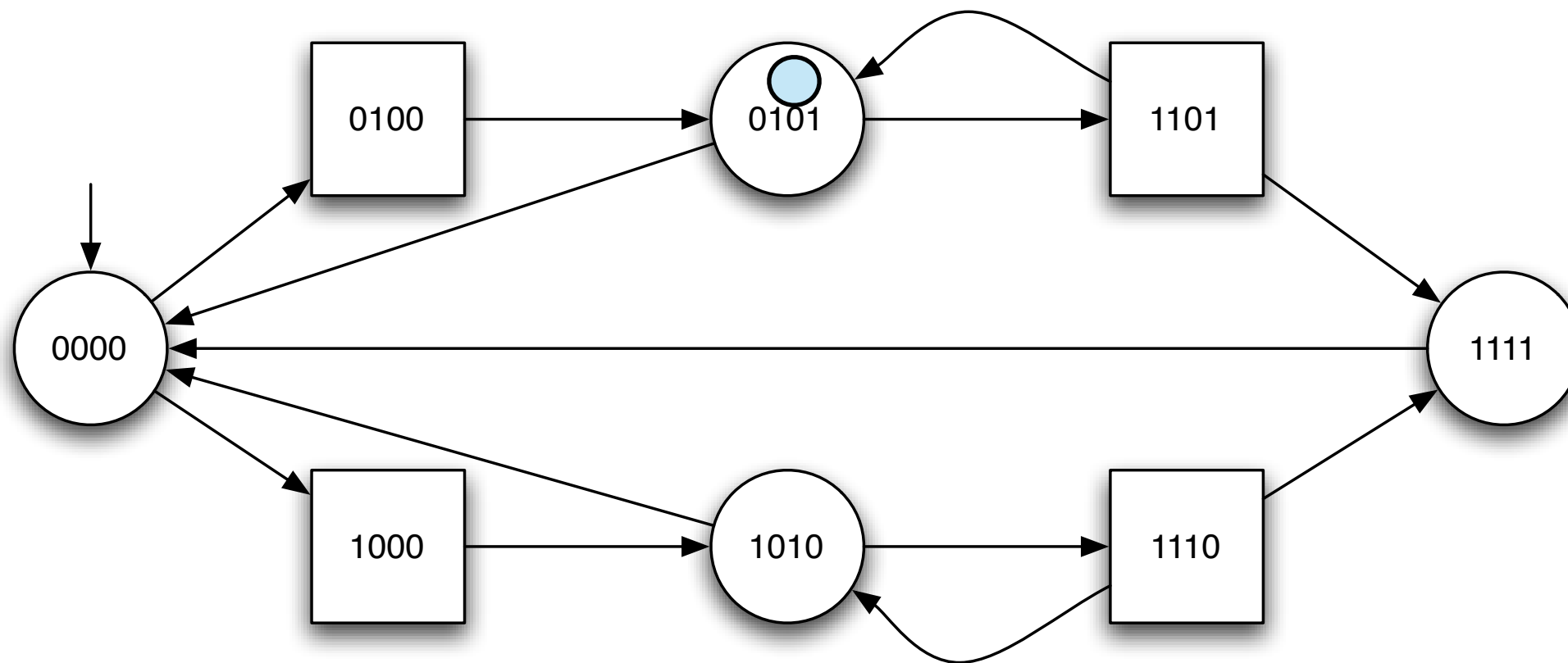
Play : 0000 0100



Play : 0000 0100 0101



Play : 0000 0100 0101 1101



Play : 0000 0100 0101 1101 ...

Two-player Game Structure

A **two-player game structure** is a tuple

$G = \langle Q_1, Q_2, \iota, \delta \rangle$ where:

Q_1 and Q_2 are two (finite and) disjoint sets
of **positions**

$\iota \in Q_1 \cup Q_2$ is the **initial** position of the game

$\delta \subseteq (Q_1 \cup Q_2) \times (Q_1 \cup Q_2)$ is the **transition
relation** of the game

We assume that $\forall q \in Q_1 \cup Q_2 : \exists q' \in Q_1 \cup Q_2 : \delta(q, q')$

Plays, Prefixes of Plays

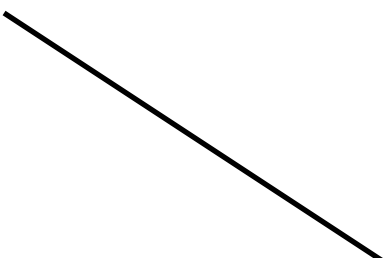
Let $G = \langle Q_1, Q_2, \iota, \delta \rangle$,

$w = q_0 q_1 \dots q_n \dots$ is a **play** in G if

Plays, Prefixes of Plays

Let $G = \langle Q_1, Q_2, \iota, \delta \rangle$,

$w = q_0 q_1 \dots q_n \dots$ is a **play** in G if


$$\forall i \geq 0 : q_i \in Q_1 \cup Q_2$$

Plays, Prefixes of Plays

Let $G = \langle Q_1, Q_2, \iota, \delta \rangle$,

$w = q_0 q_1 \dots q_n \dots$ is a **play** in G if

Notations

Let $w = q_0 q_1 \dots q_n \dots$:

$w(i)$ denotes position i

$w(0, i)$ denotes the prefix
up to position i

$last(w(0, i)) = w(i)$

Plays, Prefixes of Plays

Let $G = \langle Q_1, Q_2, \iota, \delta \rangle$,

$w = q_0 q_1 \dots q_n \dots$ is a **play** in G if

$$1) \quad w(0) = \iota$$

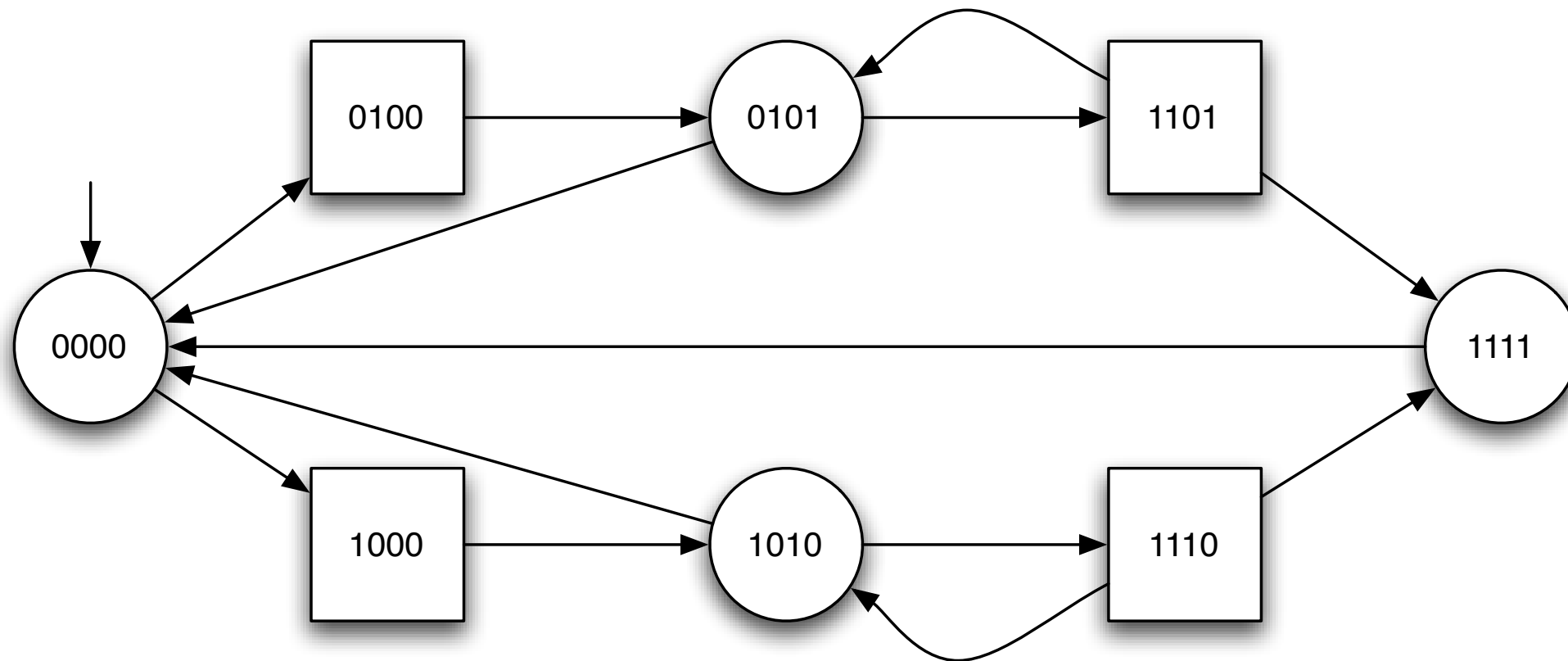
$$2) \quad \forall i \geq 0 : \delta(w(i), w(i+1))$$

We denote the set of plays in G by : $\text{Plays}(G)$
and

$$\text{PrefPlays}(G) = \{q_0 q_1 \dots q_n \mid \exists w \in \text{Plays}(G) \wedge \forall 0 \leq i \leq n : w(i) = q_i\}$$

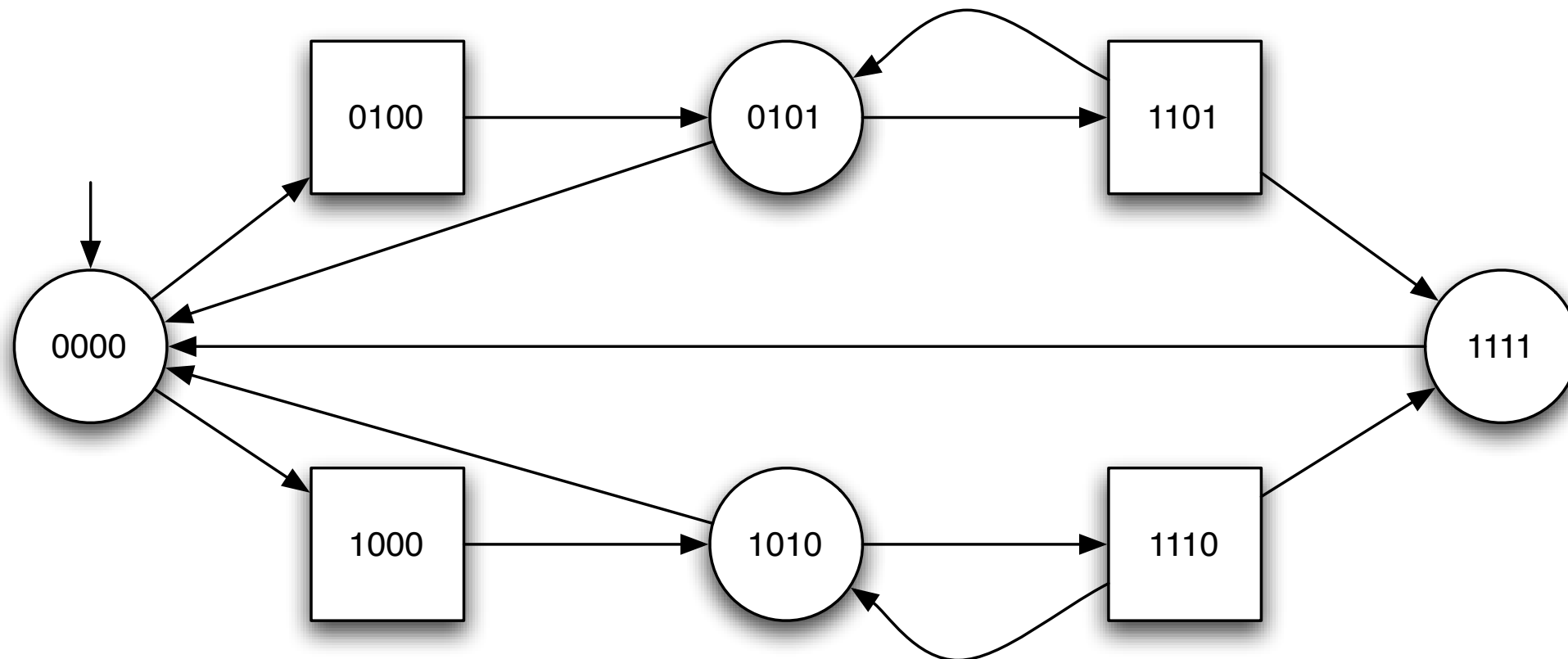
$$\text{PrefPlays}_k(G) = \{w \in \text{PrefPlays}(G) \wedge \text{last}(w) \in Q_k\}$$

Who is winning ?



Play : 0000 0100 0101 1101 ...

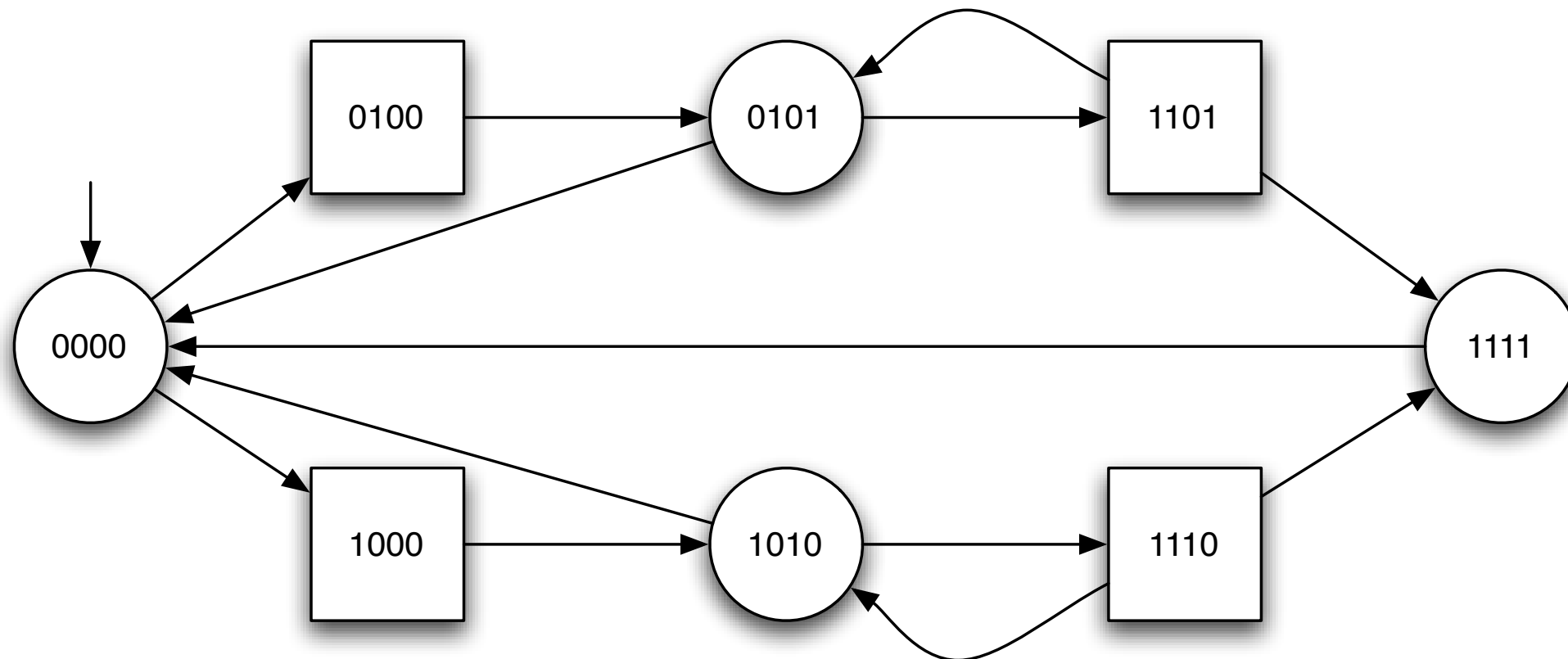
Who is winning ?



Play : 0000 0100 0101 1101 ...

Is this a **good** or a **bad** play for **Player k** ?

Who is winning ?



A winning condition (for Player k)
is a set of plays

$$W \subseteq (Q_1 \cup Q_2)^\omega$$

Game
=
Two-player game structure
+
Winning condition for Player k

Strategies

Players are playing **according to strategies**.

A **Player k strategy** in G is a function:

$$\lambda : \text{PrefPlays}_k(G) \rightarrow Q_1 \cup Q_2$$

with the restriction that:

$$\forall w \in \text{PrefPlays}_k(G) : \delta(\text{last}(w), \lambda(w))$$

Outcome of a strategy

w is a possible **outcome** of the Player k
strategy λ if

$$\forall i \geq 0 : w(i) \in Q_k : w(i+1) = \lambda(w(0, i))$$

w is a play where Player k plays
according to strategy λ

Outcome of a strategy

w is a possible **outcome** of the Player k
strategy λ if

$$\forall i \geq 0 : w(i) \in Q_k : w(i+1) = \lambda(w(0, i))$$

The set of plays that have this property is denoted

$$\text{Outcome}_k(G, \lambda)$$

Winning strategy

- Given a pair (G, W)
- We say that Player k wins the game (G, W) if and only if:

$$\exists \lambda : \text{Outcome}_k(G, \lambda) \subseteq W$$

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That is, no matter how the other player resolves his choices, when player k **plays according to** λ , the resulting play belongs to W . Player k can **force** the play to be in W .

Winning strategy

- Given a pair (G, W)
- We say that Player k wins the game (G, W) if and only if:

$$\exists \lambda : \text{Outcome}_k(G, \lambda) \subseteq W$$

We say λ that is a **winning strategy** for player k in the game (G, W)

Winning strategies

=

**Controllers that enforce
winning plays**

Safety Games

Safety Game

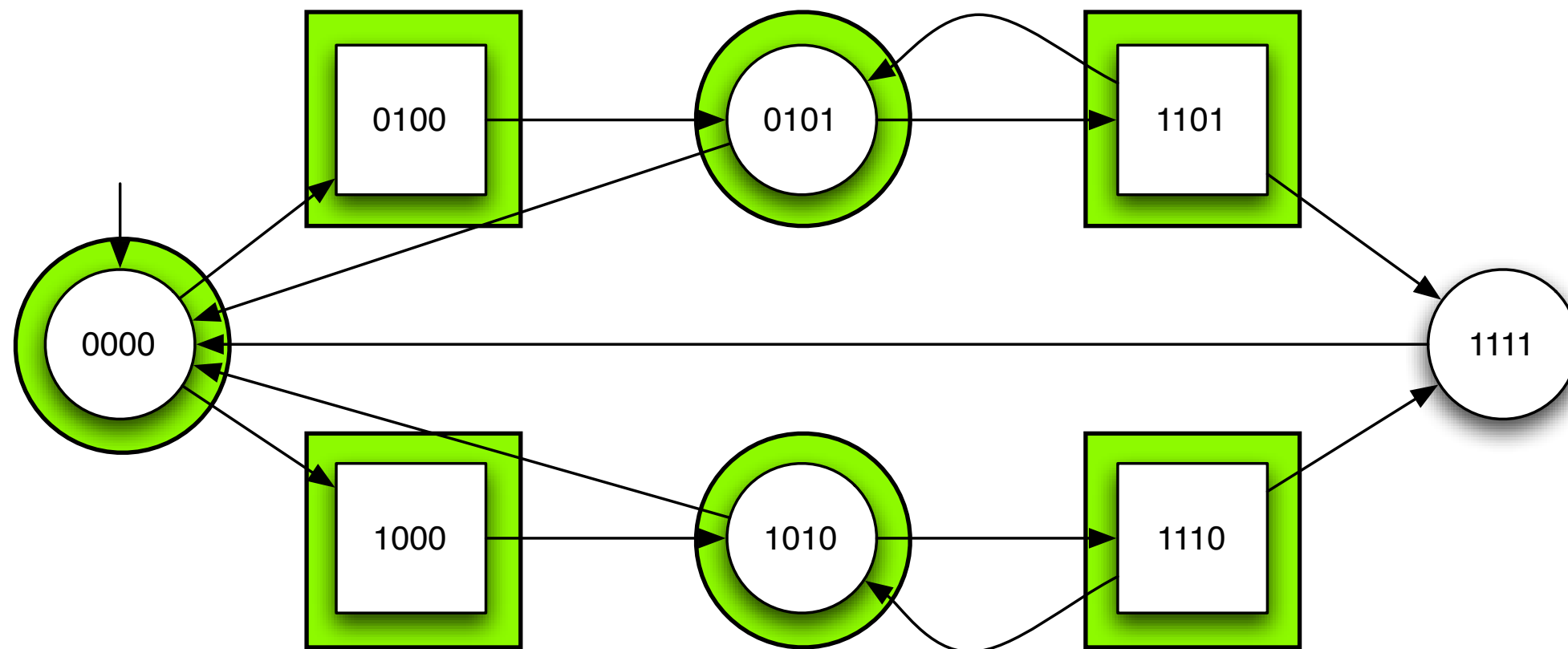
(G, W) is a **safety game** if

$$\exists Q \subseteq Q_1 \cup Q_2 : W = \{w \in \text{Plays}(G) \mid \forall i \geq 0 : w(i) \in Q\}$$

That is W is the set of plays that stay within a given set of positions Q .

$$\text{Safe}(G, Q)$$

A Safety Game



Does Player I, who owns the rounded positions, have a strategy (against any choices of Player II) to stay within the set of states

$$Q \setminus \{1111\}?$$

Symbolic algorithms to solve games

Complete lattices

A **complete lattice** is a partially ordered set (L, \leq) where every subset of L has a **least upper bound** (often called join or supremum) and a **greatest lower bound** (often called meet or infimum).

Given $M \subseteq L$, **lub**(M) is a value of L such that :

(i) for all $m \in M : m \leq \mathbf{lub}(M)$ and

(ii) for all $m' \in L$,

if for all $m \in M : m \leq m'$ then **lub**(M) $\leq m'$

Given $M \subseteq L$, **glb**(M) is a value of L such that :

(i) for all $m \in M : \mathbf{glb}(M) \leq m$ and

(ii) for all $m' \in L$,

if for all $m \in M : m' \leq m$ then $m' \leq \mathbf{glb}(M)$

Example of complete lattice

2^S , the set of subsets of a set S , ordered by set inclusion \subseteq forms a complete lattice.

Its *least upper bound* is given by union :

$$\text{lub}\{S_1, S_2, \dots, S_n\} = \cup\{S_1, S_2, \dots, S_n\}$$

Its *greatest lower bound* is given by intersection :

$$\text{glb}\{S_1, S_2, \dots, S_n\} = \cap\{S_1, S_2, \dots, S_n\}$$

The *least element* of the lattice is \emptyset and the *largest element* is S .
The powerset complete lattice is noted

$$\langle 2^S, \subseteq, \cup, \cap, S, \emptyset \rangle$$

Monotone functions and fixed points

Let $\langle L, \sqsubseteq, \sqcup, \sqcap, \top, \perp \rangle$ be a complete lattice, let $f : L \rightarrow L$.
We say that f is **monotone** iff

$$\forall l_1, l_2 \in L : l_1 \sqsubseteq l_2 \Rightarrow f(l_1) \sqsubseteq f(l_2)$$

f is **Scott-continuous** iff $\sqcup\{f(l) \mid l \in X\} = f(\sqcup X)$
for any chain X .

We say that l is a fixed point of f iff $l = f(l)$

Any monotone function f over a complete lattice L has:

a **least fixed point**: $\text{lfp} f = \sqcap\{l \mid l = f(l)\}$

a **greatest fixed point**: $\text{gfp} f = \sqcup\{l \mid l = f(l)\}$

Monotone functions and fixed points

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Any monotone function f

a **least fixed point**: $\text{lfp } f = \sqcap \{l \mid l = f(l)\}$

a **greatest fixed point**: $\text{gfp } f = \sqcup \{l \mid l = f(l)\}$

Monotony is equivalent
to Scott-continuity on
any **finite** complete
lattice.

Player k Controllable Predecessors

X is a set of positions

$$1CPre_G(X) = \{q \in Q_1 \mid \exists q' : \delta(q, q') \wedge q' \in X\} \cup \{q \in Q_2 \mid \forall q' : \delta(q, q') : q' \in X\}$$

Set of Player I positions where she has
a choice of successor that lies in X

Set of Player II positions where all
her choices for successors lie in X

Player k Controllable Predecessors

$$1\text{CPre}_G(X) = \{q \in Q_1 \mid \exists q' : \delta(q, q') \wedge q' \in X\} \cup \{q \in Q_2 \mid \forall q' : \delta(q, q') : q' \in X\}$$

Symmetrically

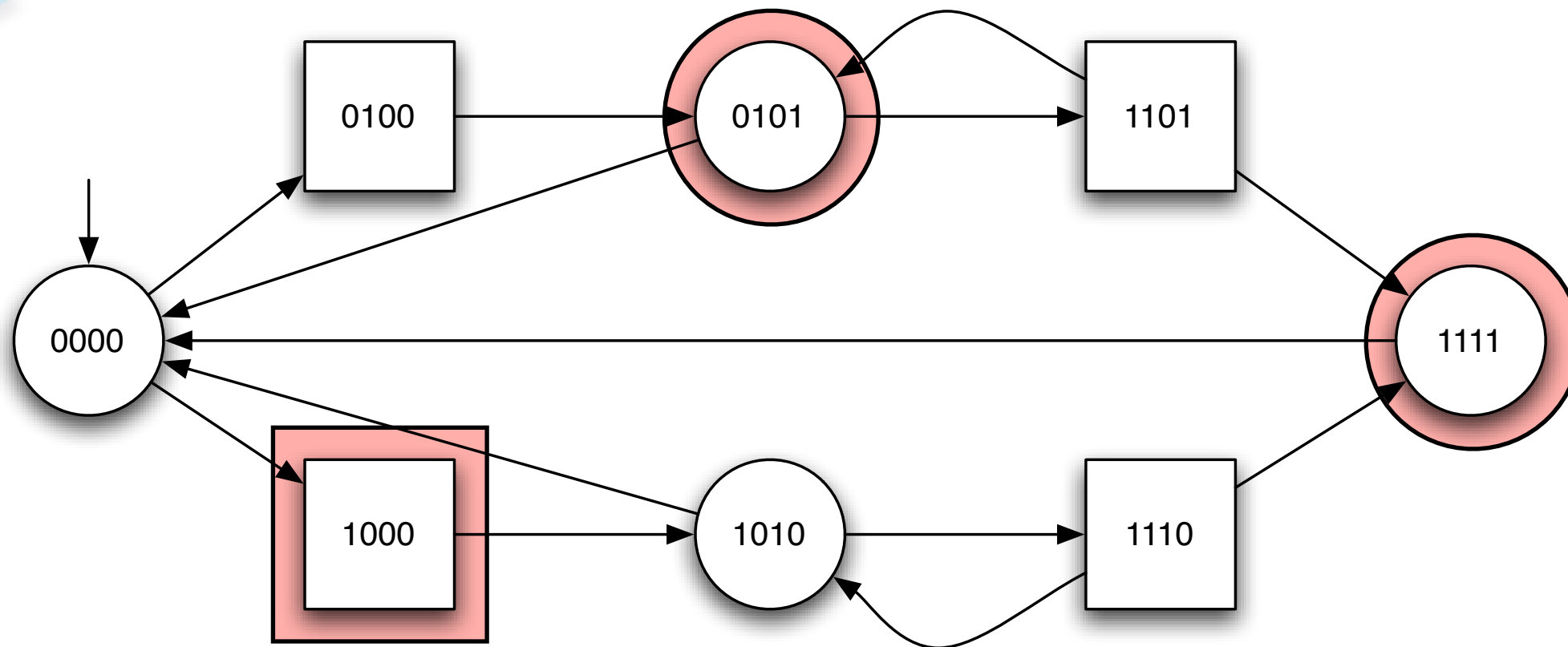
$$2\text{CPre}_G(X) = \{q \in Q_2 \mid \exists q' : \delta(q, q') \wedge q' \in X\} \cup \{q \in Q_1 \mid \forall q' : \delta(q, q') : q' \in X\}$$

Player k Controllable Predecessors

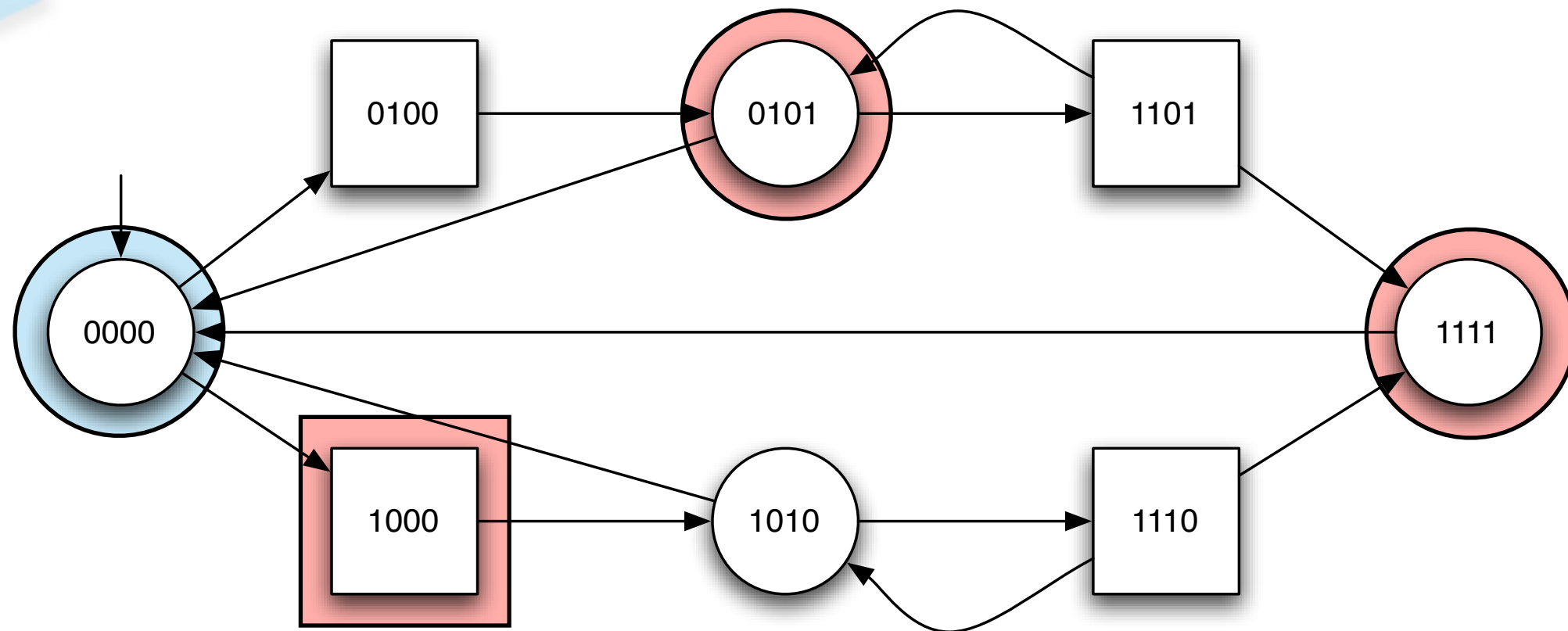
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Monotonic functions over $\langle 2^{Q_1 \cup Q_2}, \subseteq \rangle$

$$2\text{CPre}_G(X) = \{q \in Q_2 \mid \exists q' : \delta(q, q') \wedge q' \in X\} \cup \{q \in Q_1 \mid \forall q' : \delta(q, q') : q' \in X\}$$



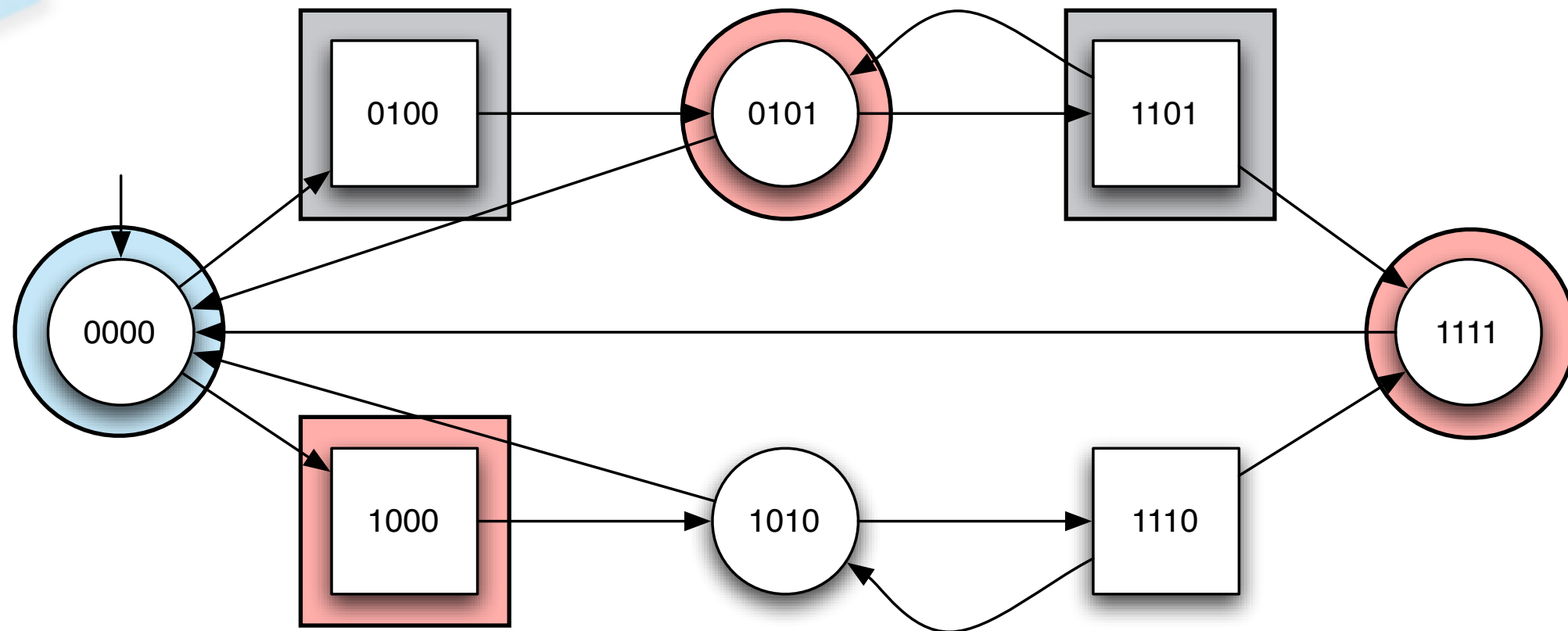
$$X = \{1000, 0101, 1111\}$$



$$X = \{1000, 0101, 1111\}$$

$$1CPre(X) = \{0000\} \cup \{0100, 1101\}$$

Rounded positions,
there exists a red successor



$$X = \{1000, 0101, 1111\}$$

$$1CPre(X) = \{0000\} \cup \{0100, 1101\}$$

Rounded positions,
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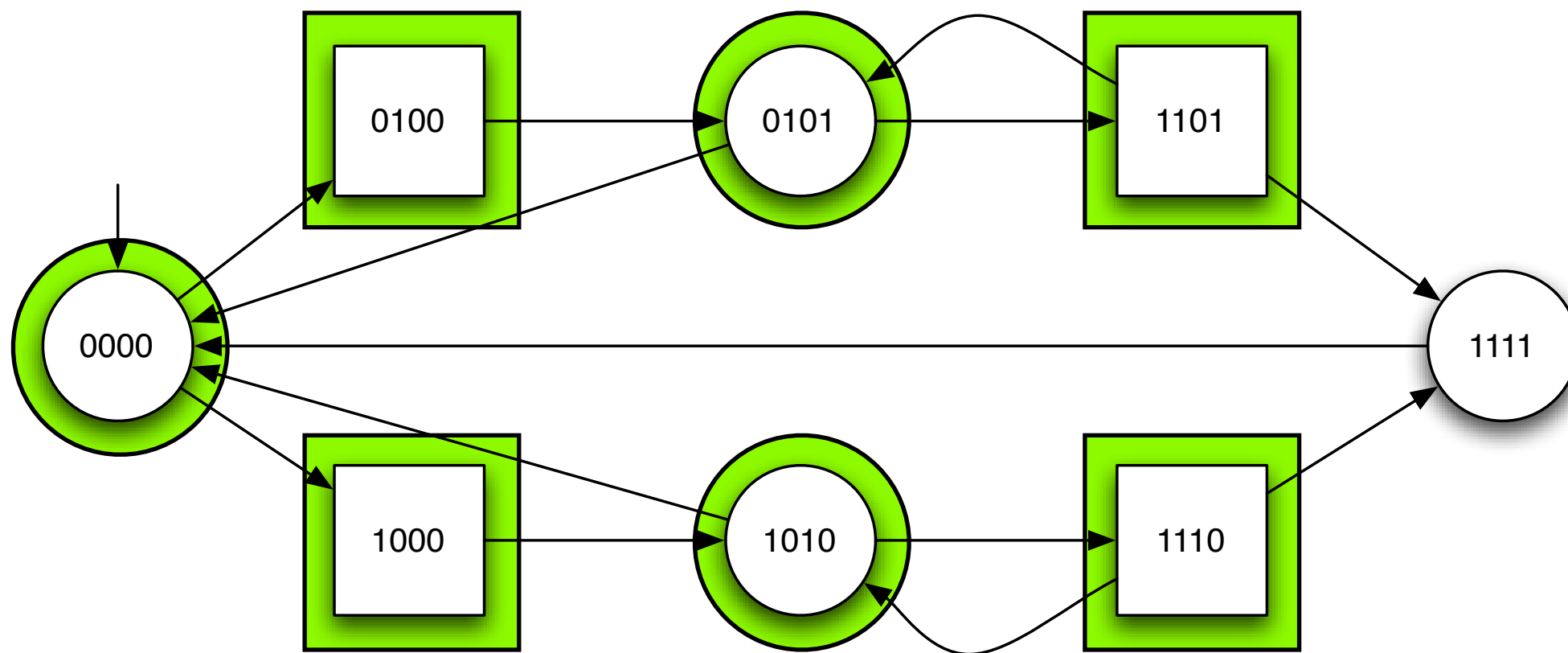
Squared positions,
all successors are red

Fixed points to solve games

Let Q be a set of safe states, the states in which Player I can force the game to within Q is given by the following fixed point expression :

$$\cup \{R \mid R = Q \cap \text{CPre}_1(R)\}$$

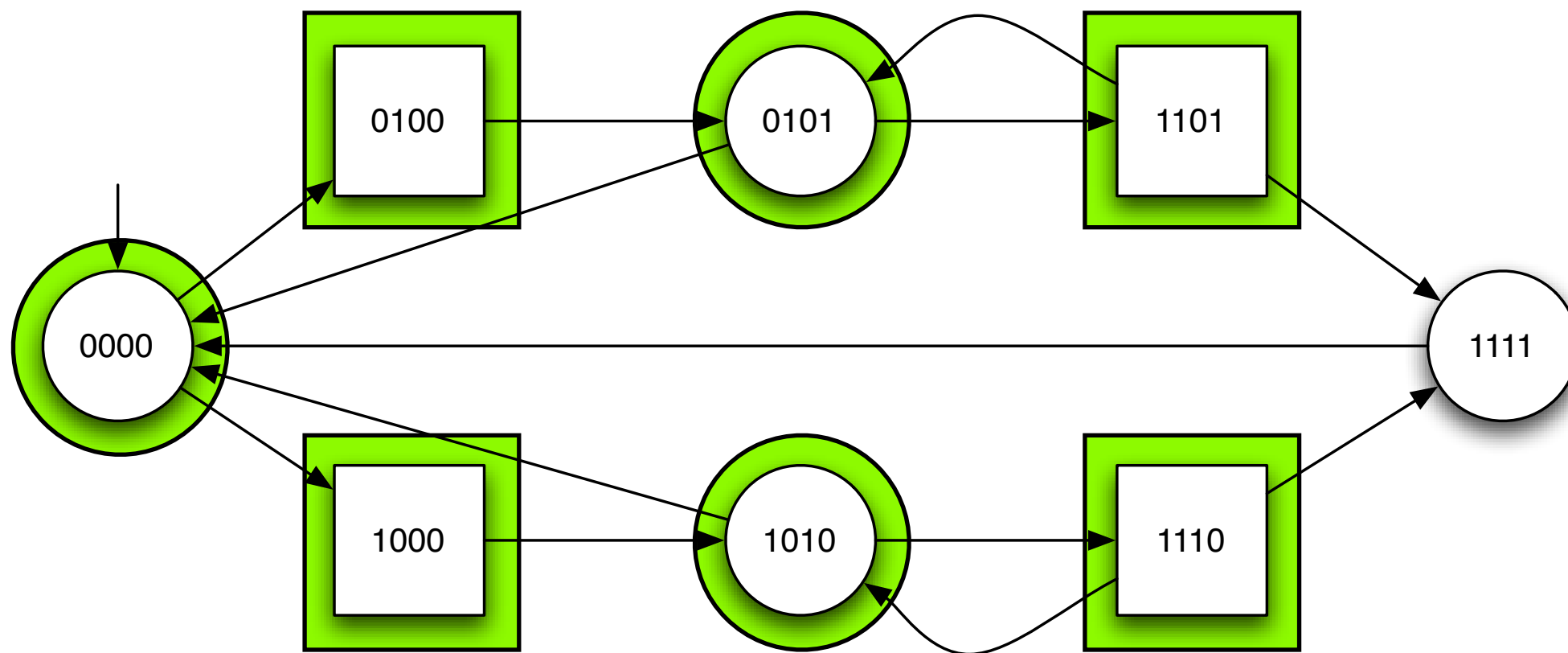
Fixed points to solve games



Does Player I, who owns the rounded positions, have a strategy to stay within the set of states

$$Q \setminus \{1111\}?$$

Fixed points to solve games



We must compute

$$\cup \{R \mid R = (Q_1 \cup Q_2) \setminus \{1111\} \cap \text{CPre}_1(R)\}$$

To do that, we use the Tarski fixpoint theorem.

Tarski-Kleene Theorem

Let $\langle L, \sqsubseteq, \sqcup, \sqcap, \top, \perp \rangle$ be a complete lattice, the f be a Scott-continuous function on L , then

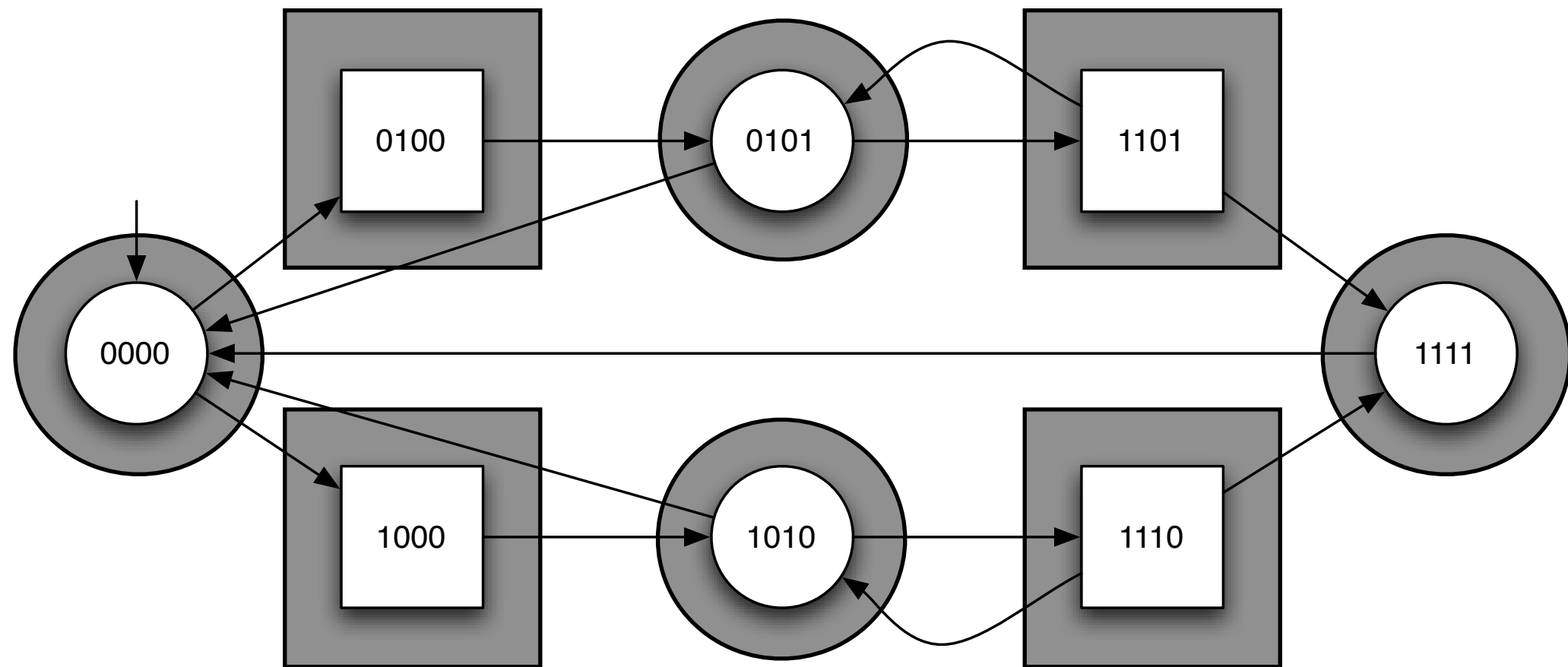
lfp f is the limit of the sequence :

$$f(\perp), f(f(\perp)), \dots, f(\dots f(\perp)\dots), \dots$$

gfp f is the limit of the sequence :

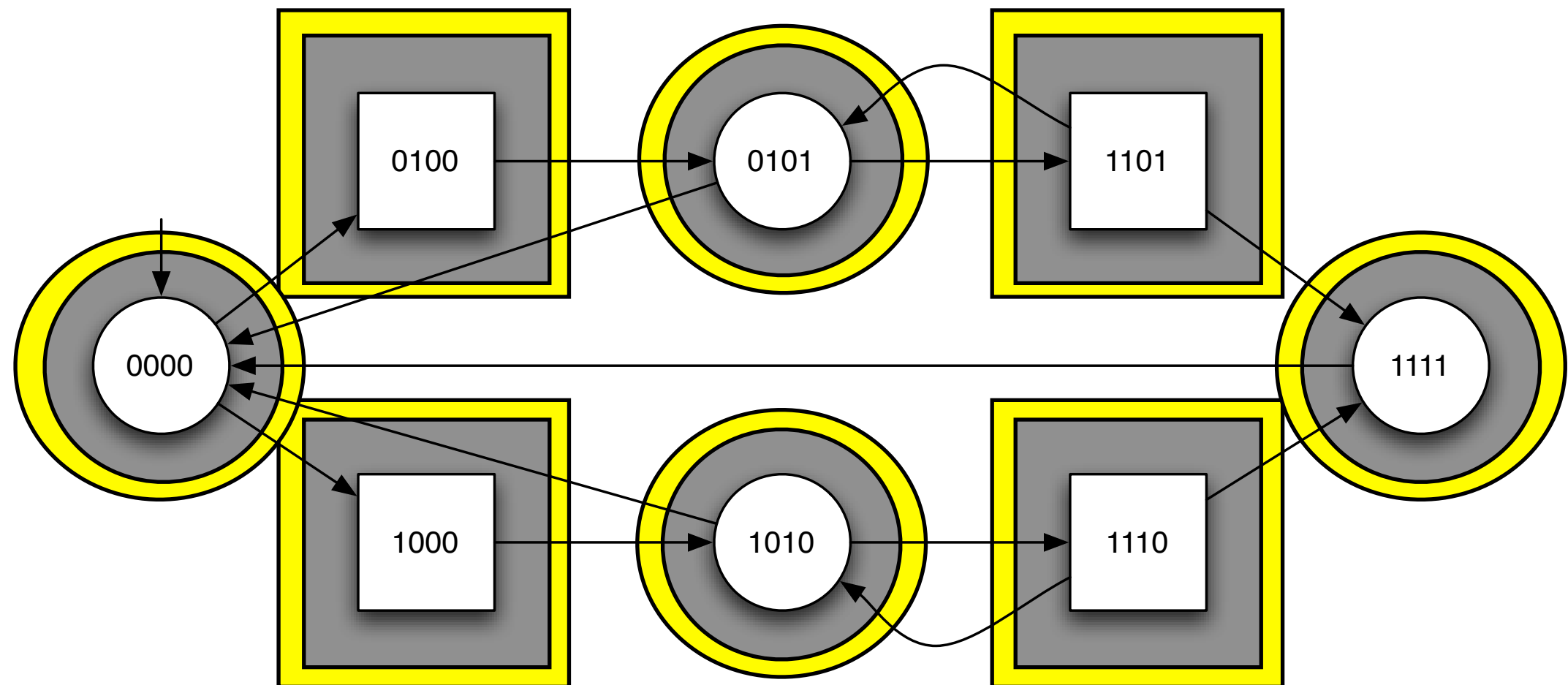
$$f(\top), f(f(\top)), \dots, f(\dots f(\top)\dots), \dots$$

Fixpoint for a safety game



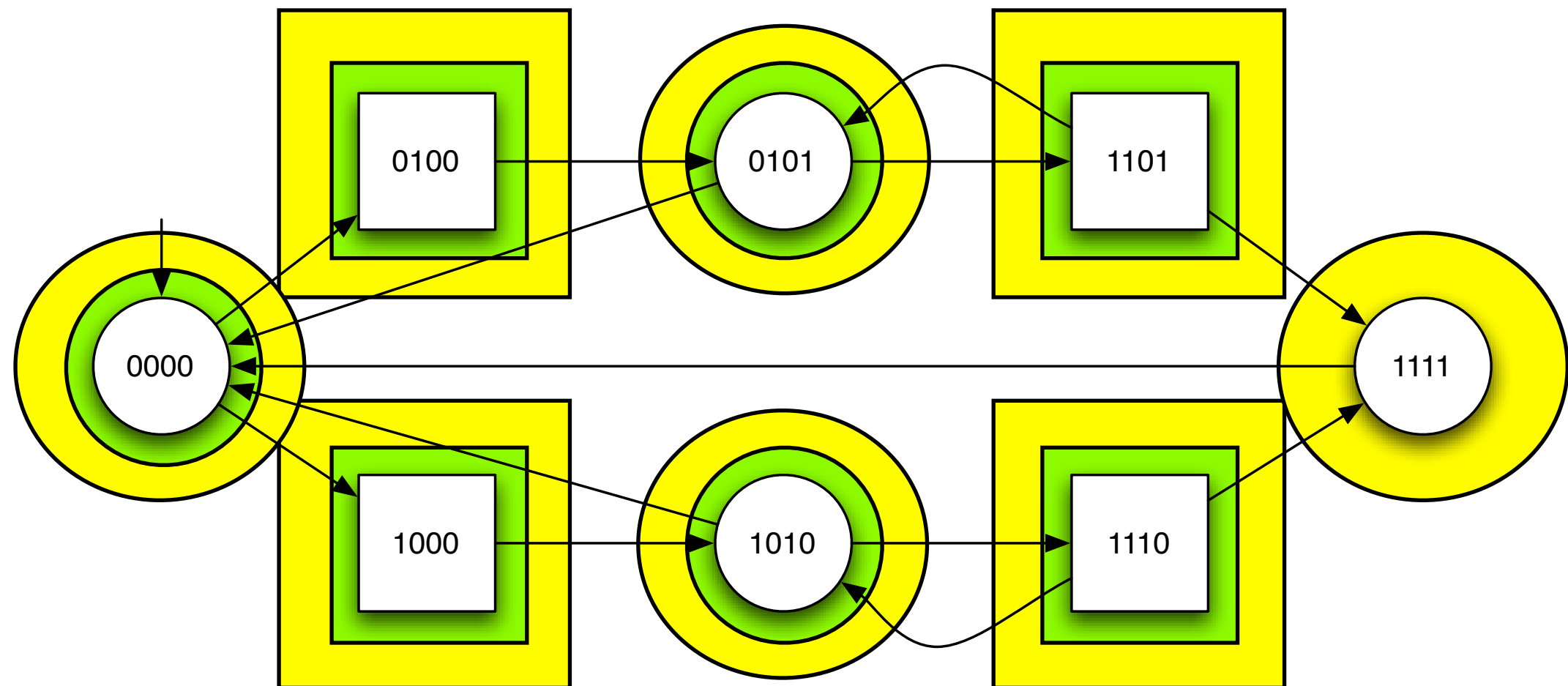
$$X_0 = (Q \setminus \{1111\}) \cap 1\text{CPre}(Q)$$

Fixpoint for a safety game



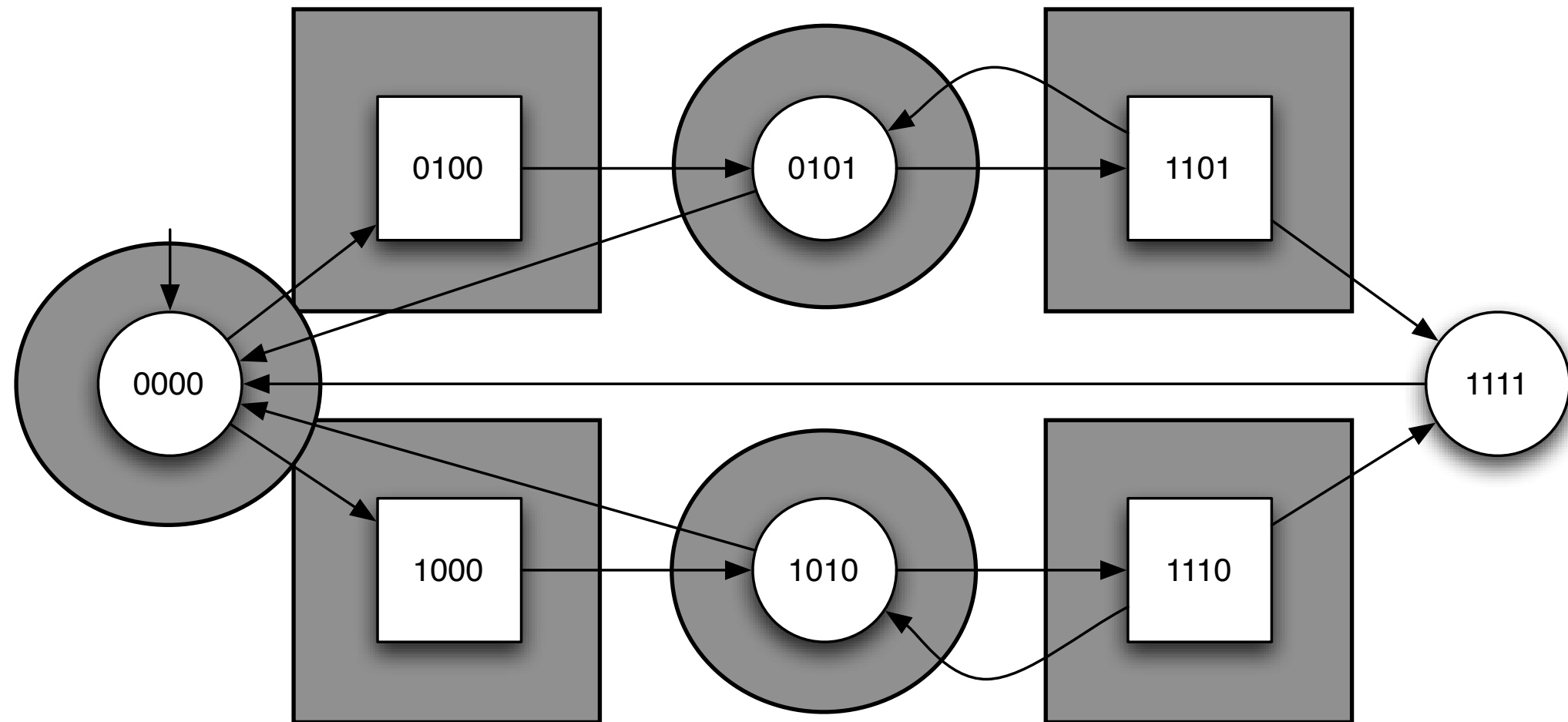
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Fixpoint for a safety game



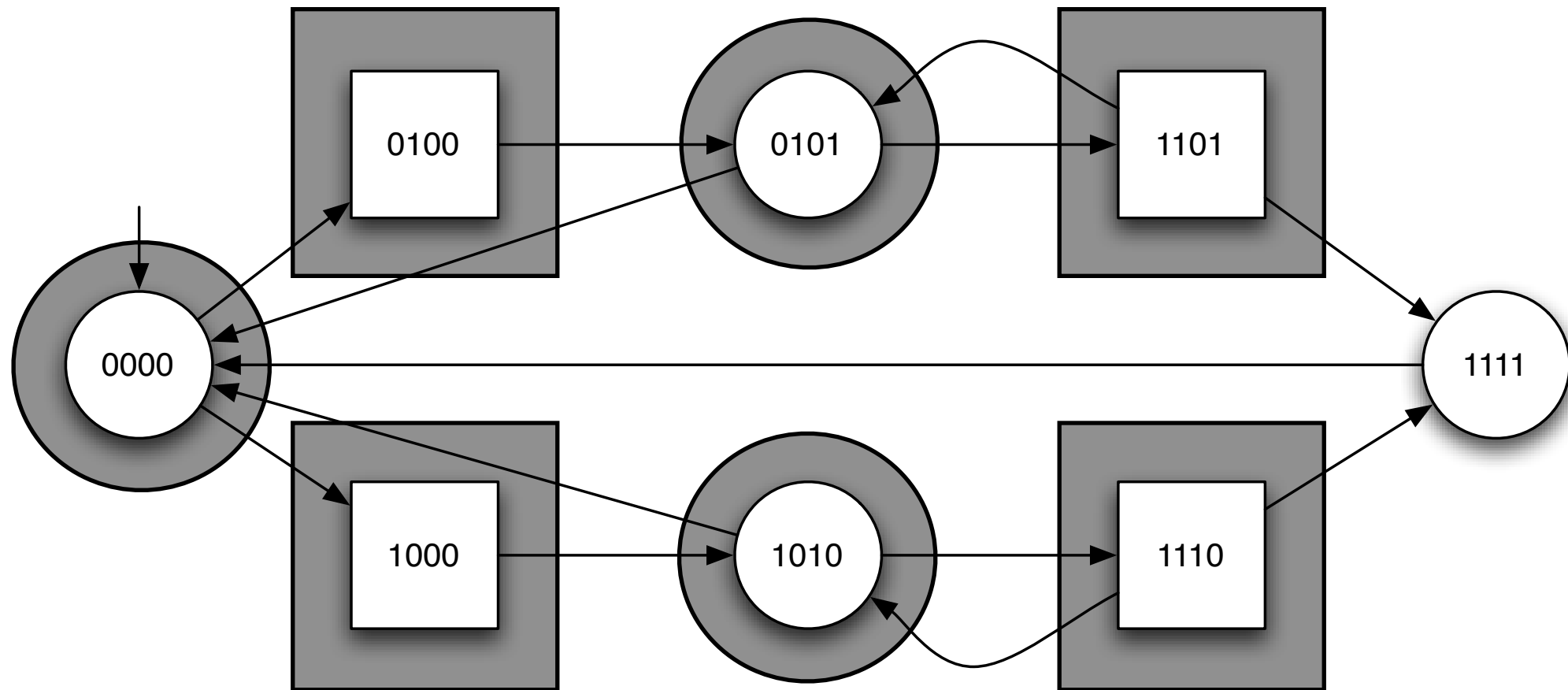
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Fixpoint for a safety game



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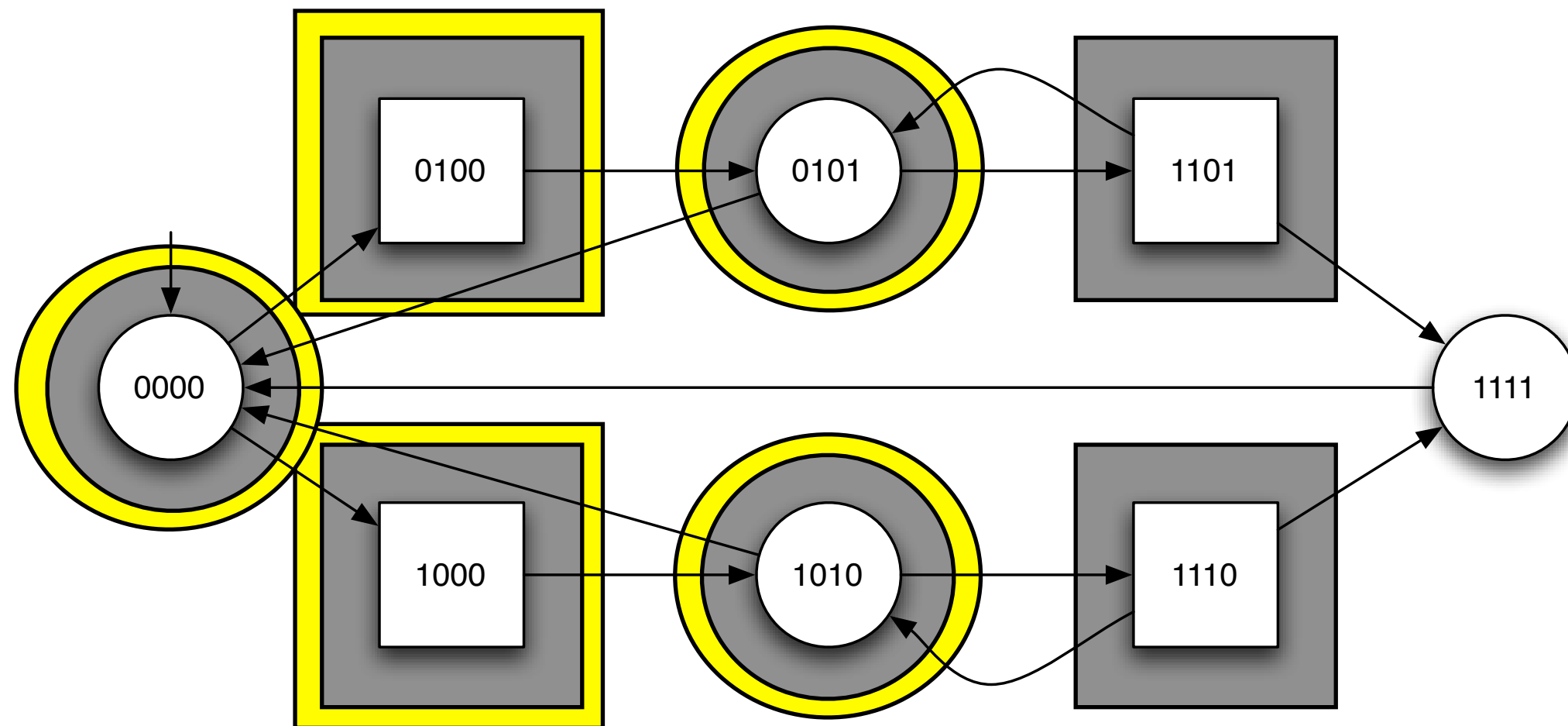
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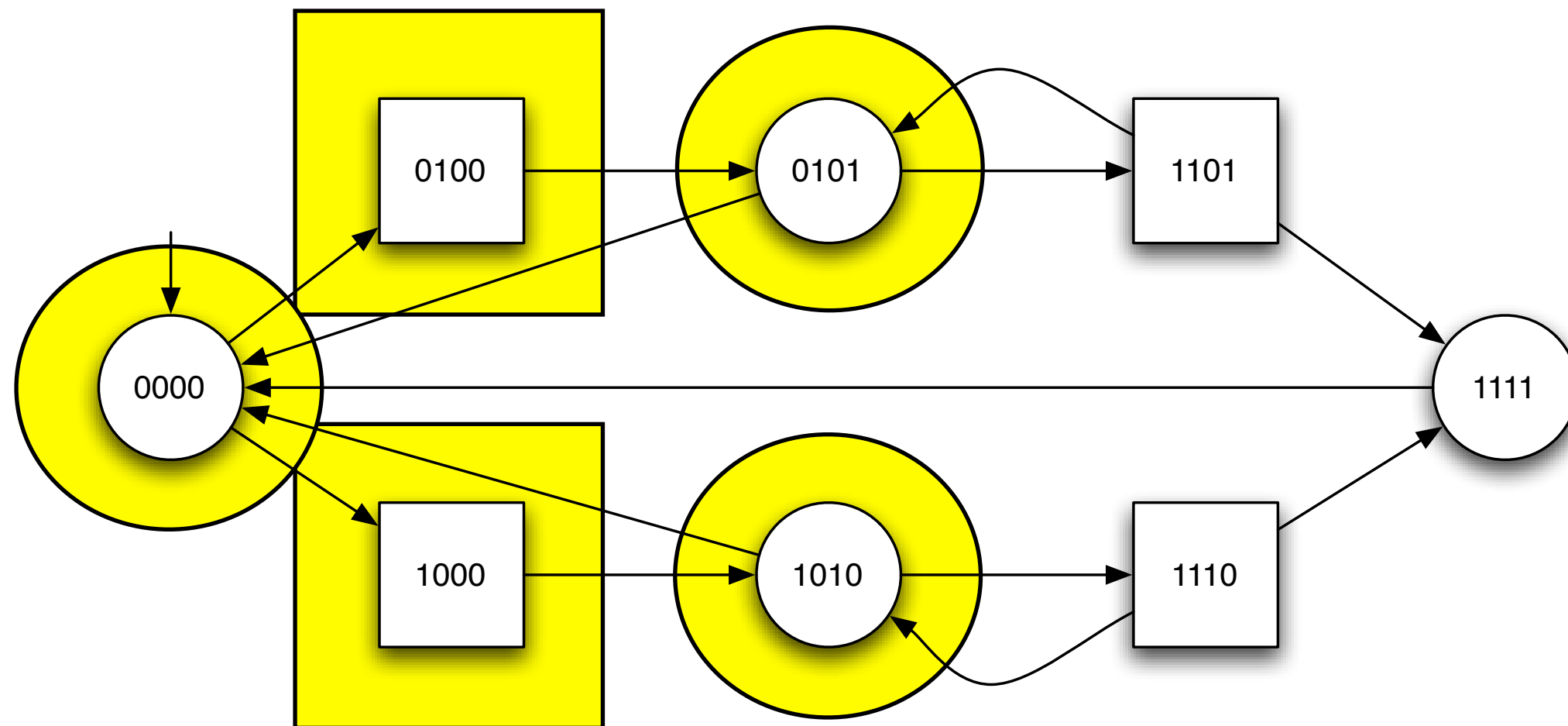
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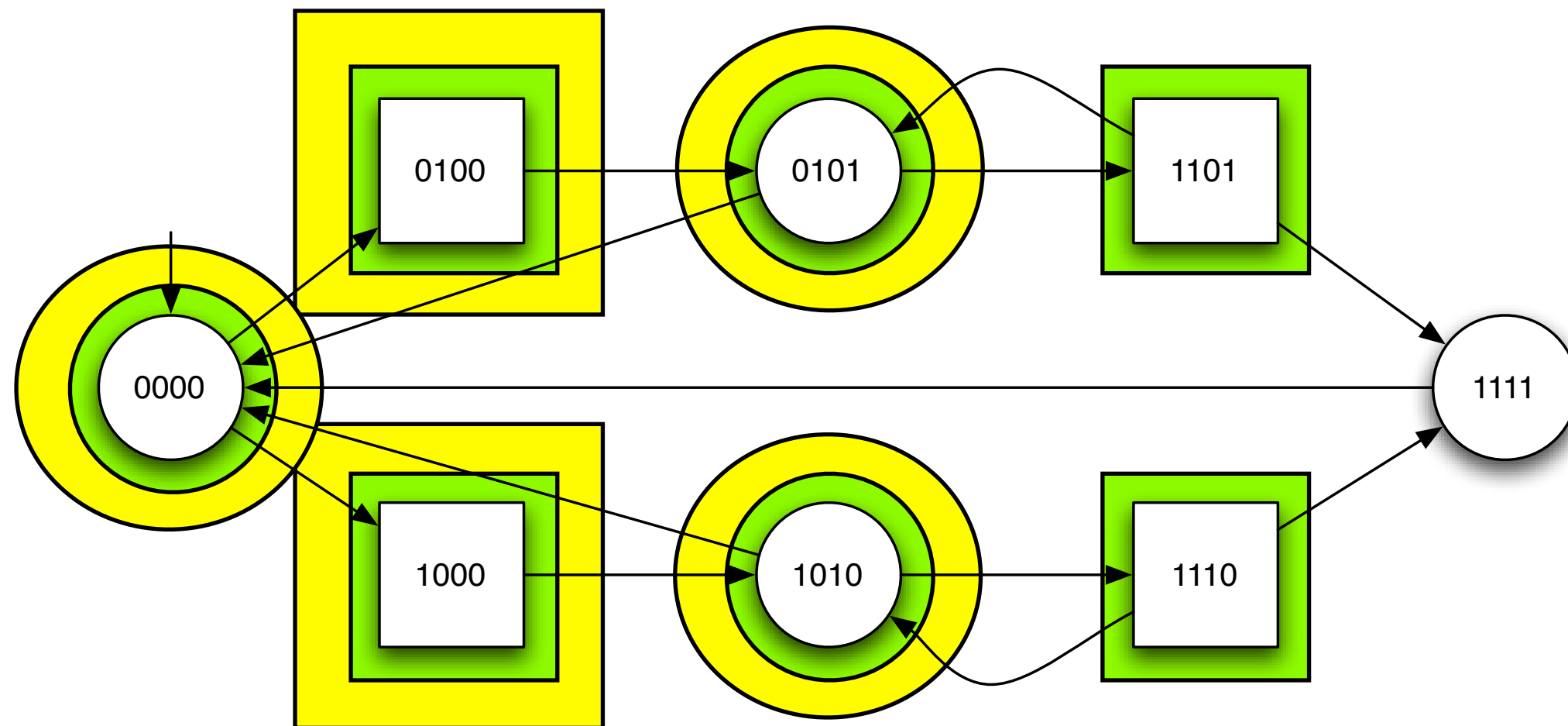
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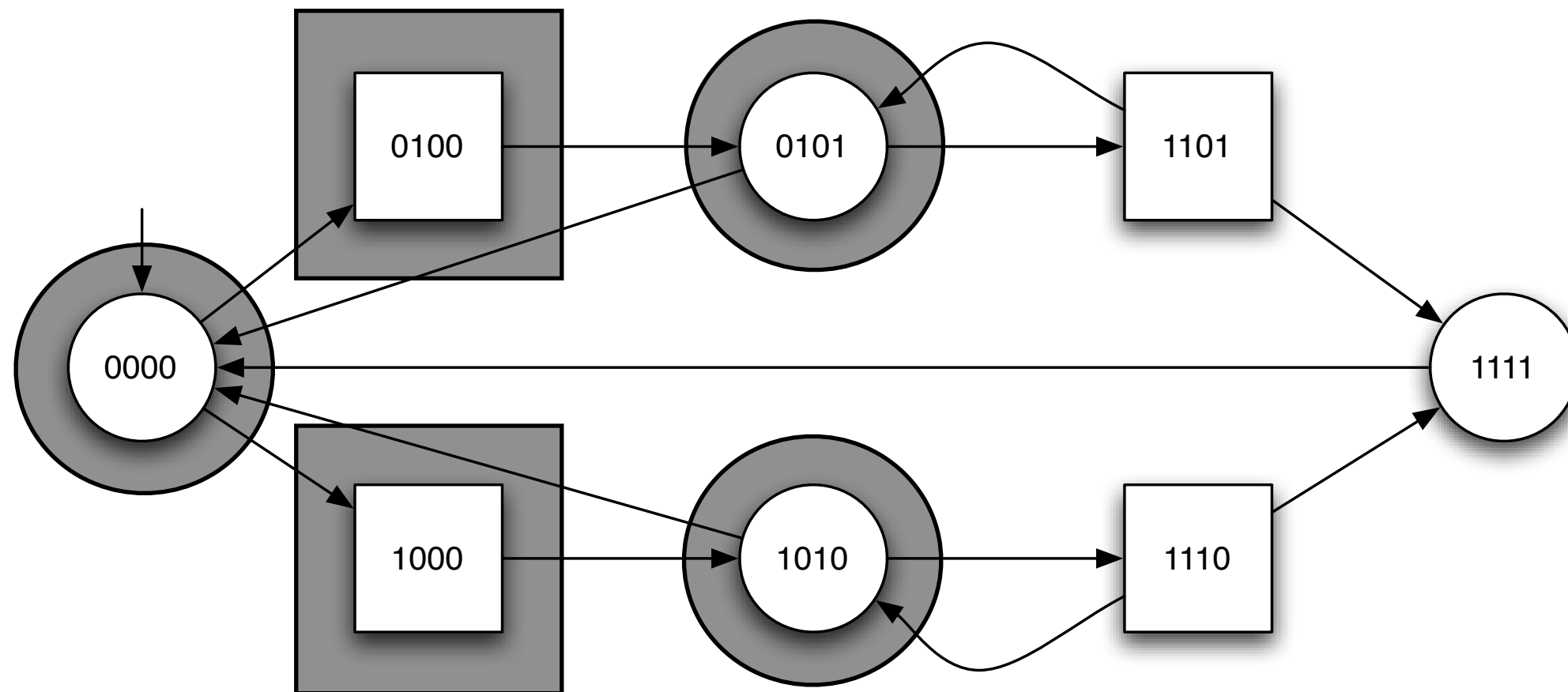
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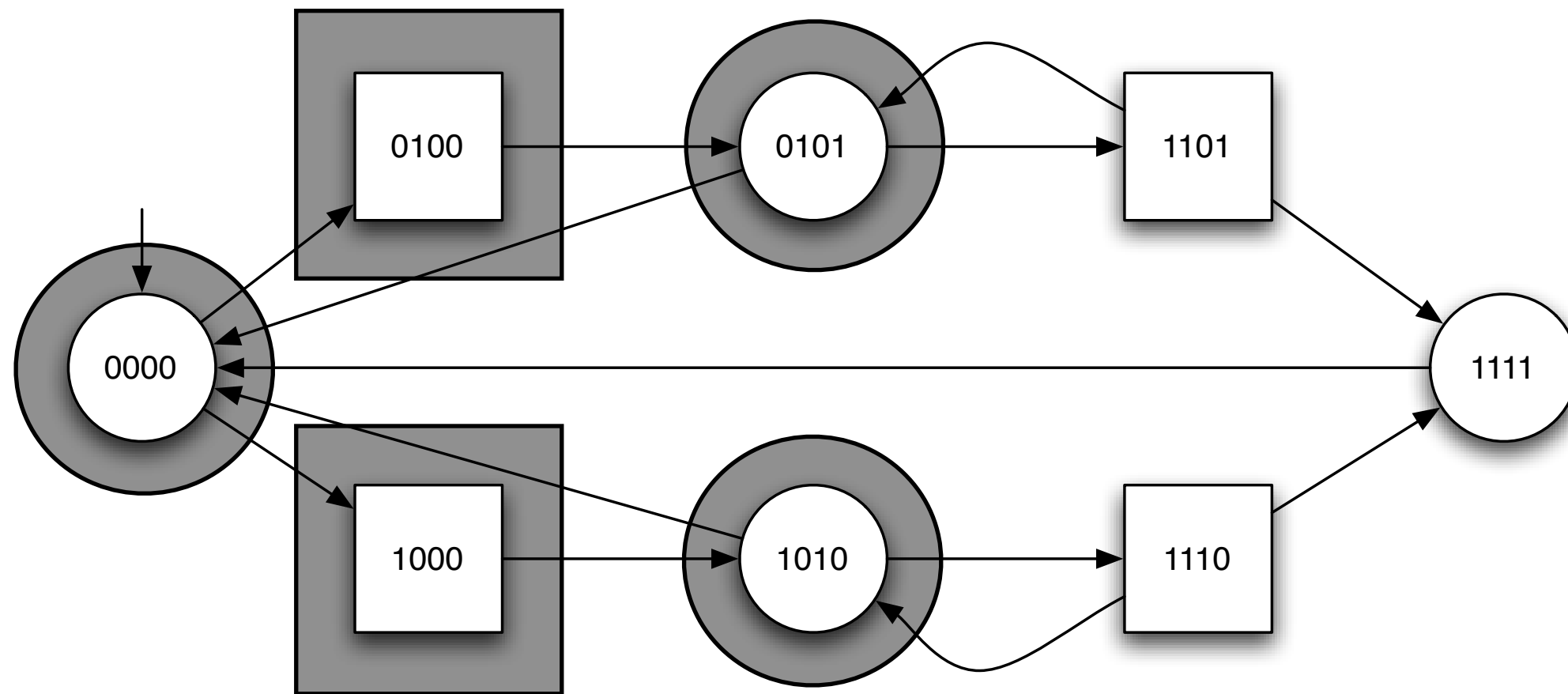
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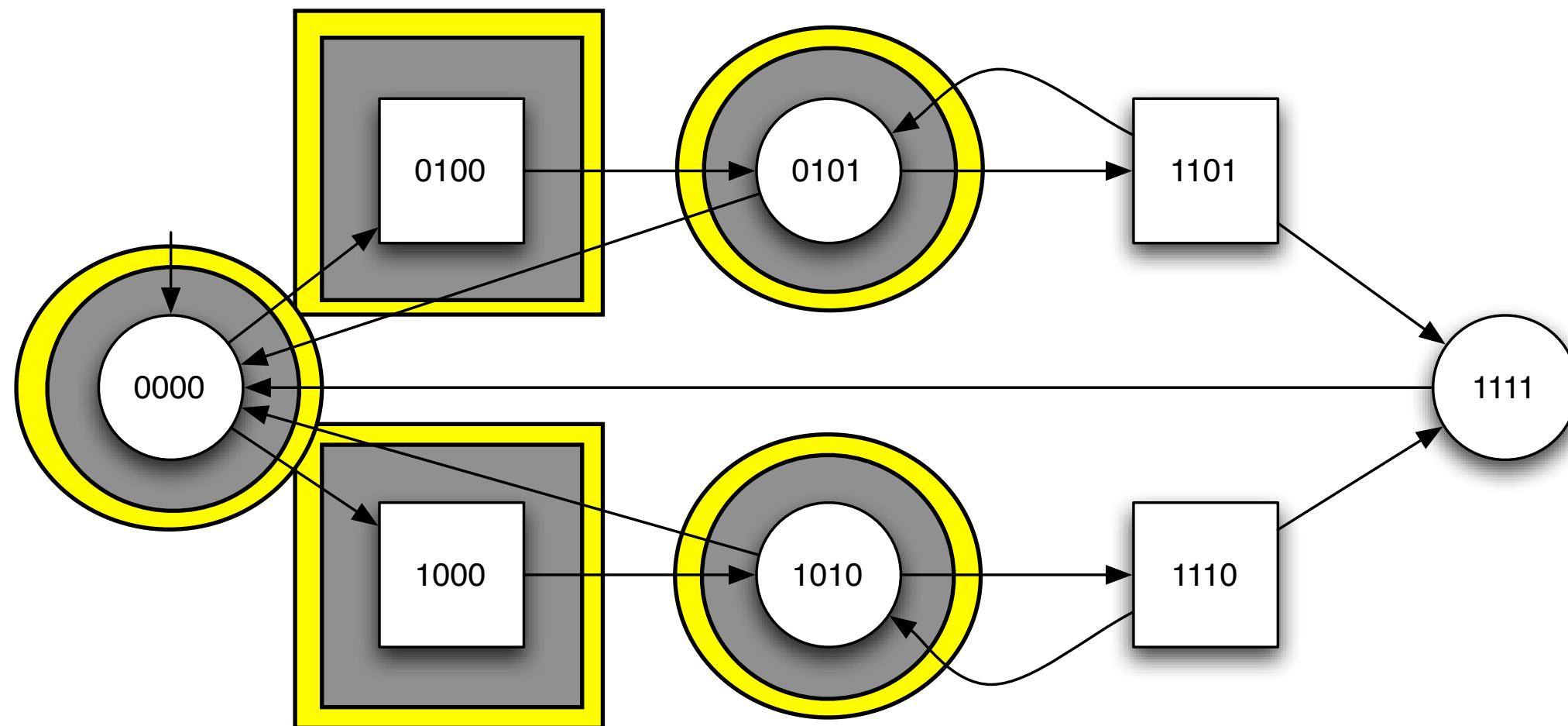


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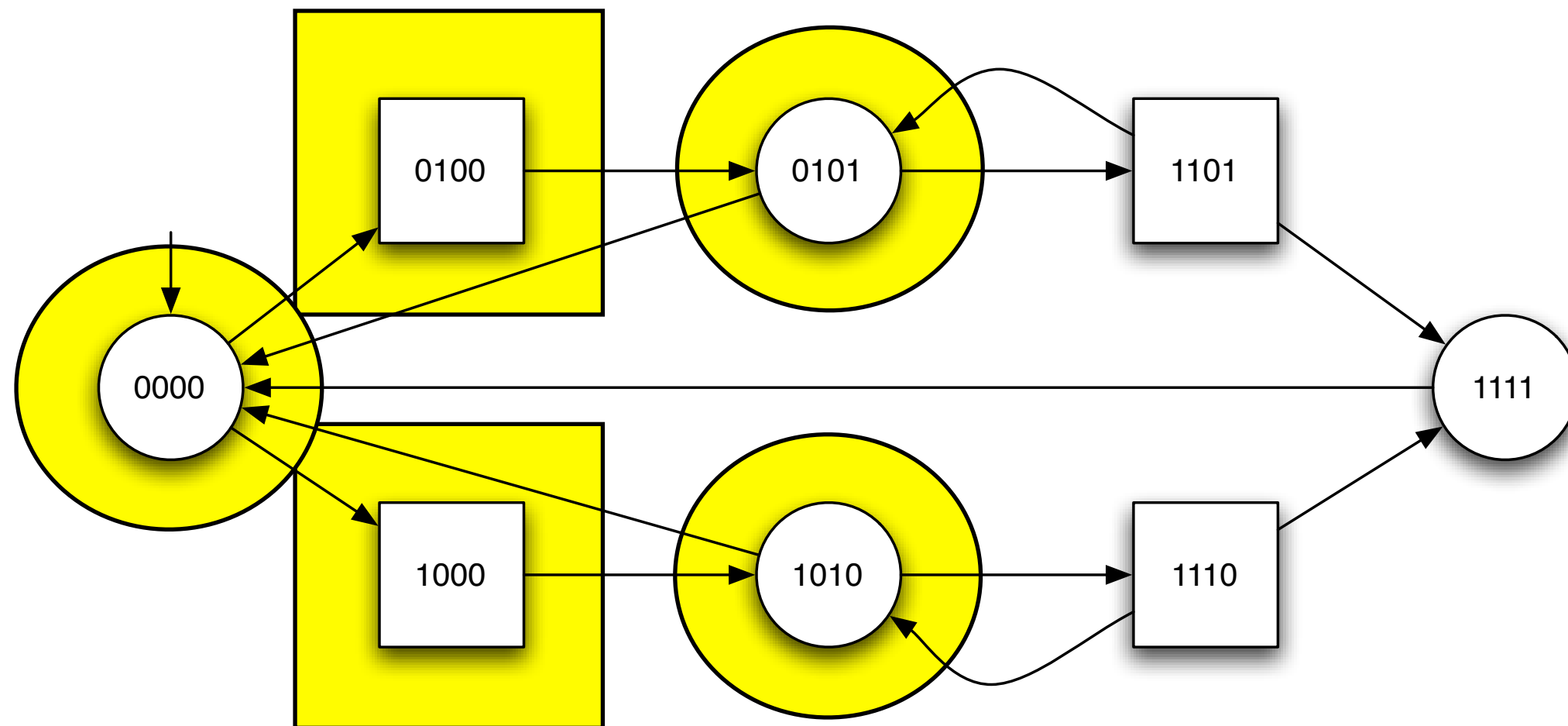


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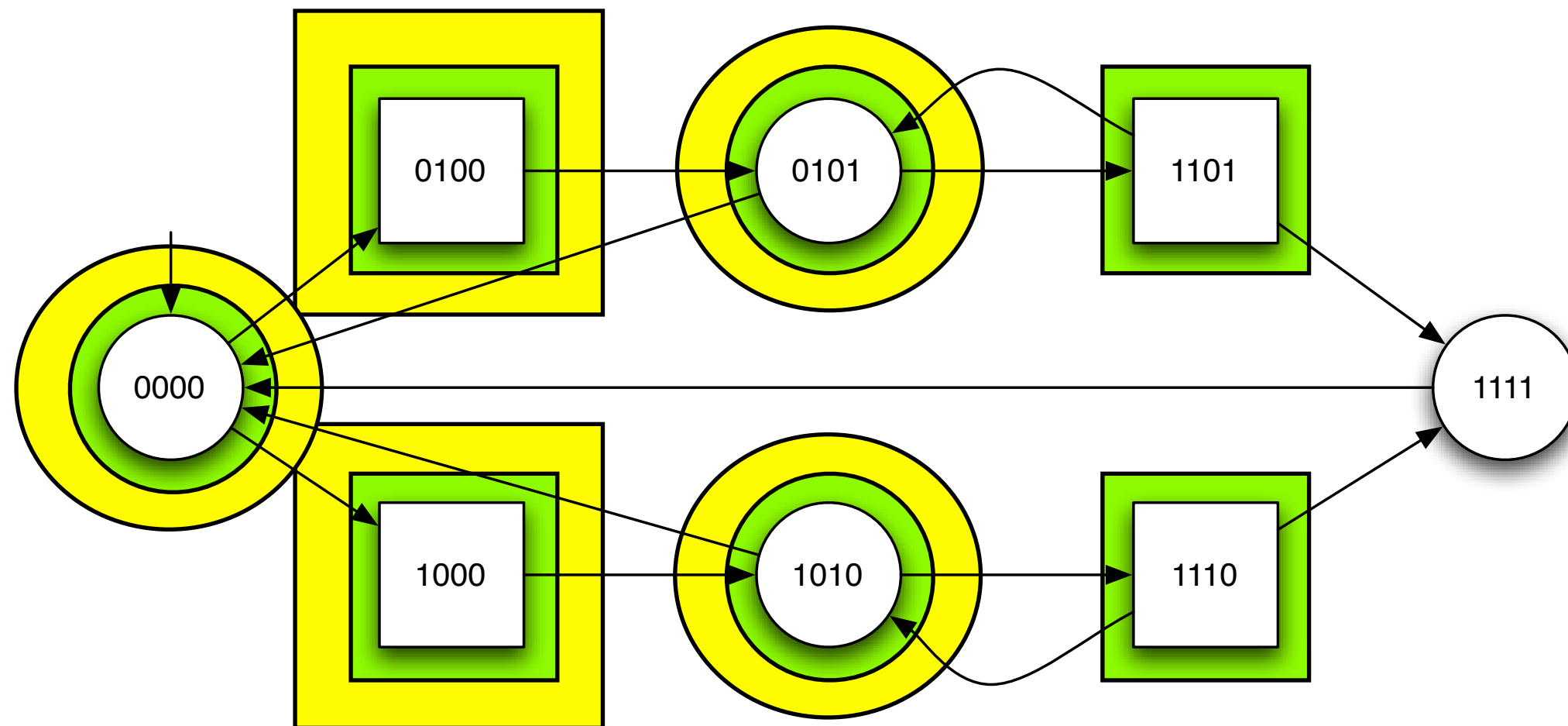


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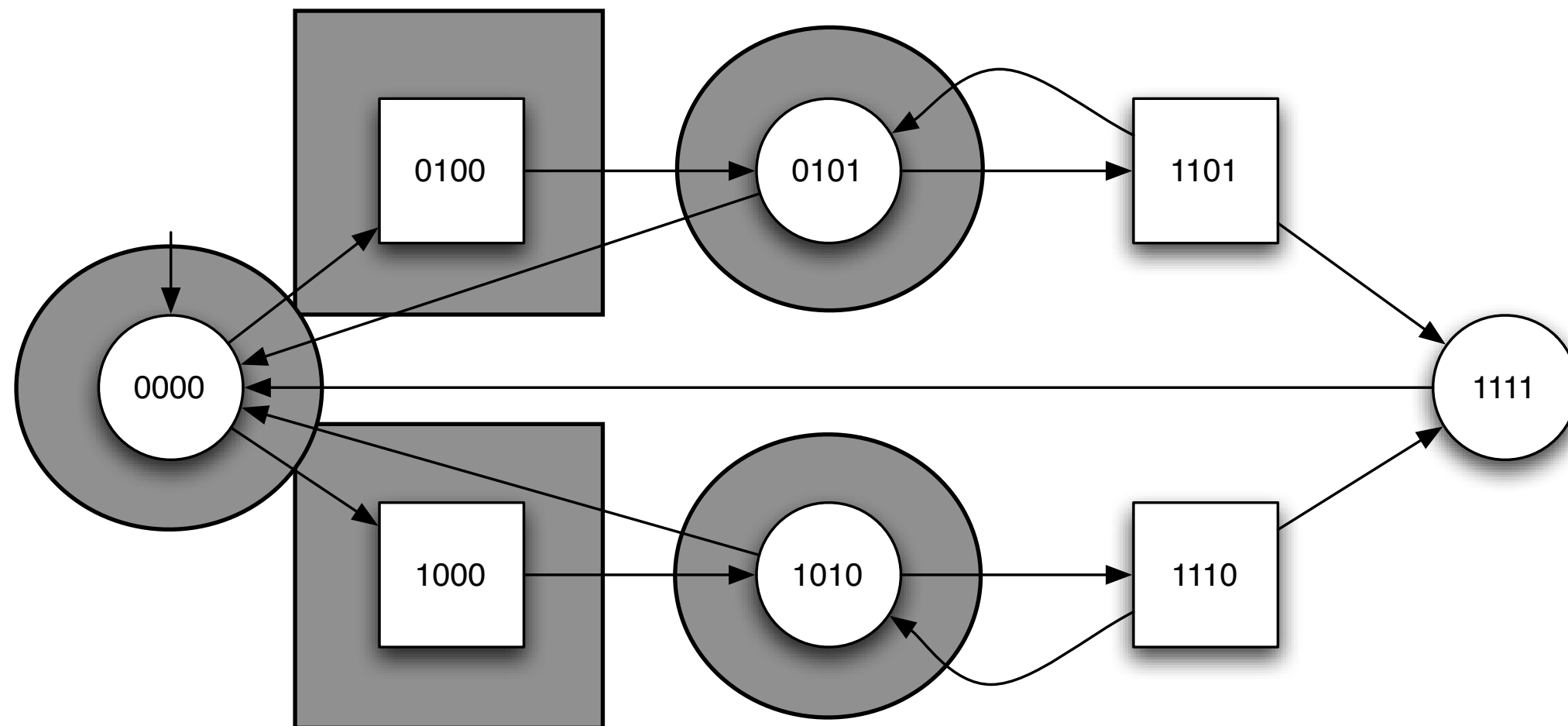


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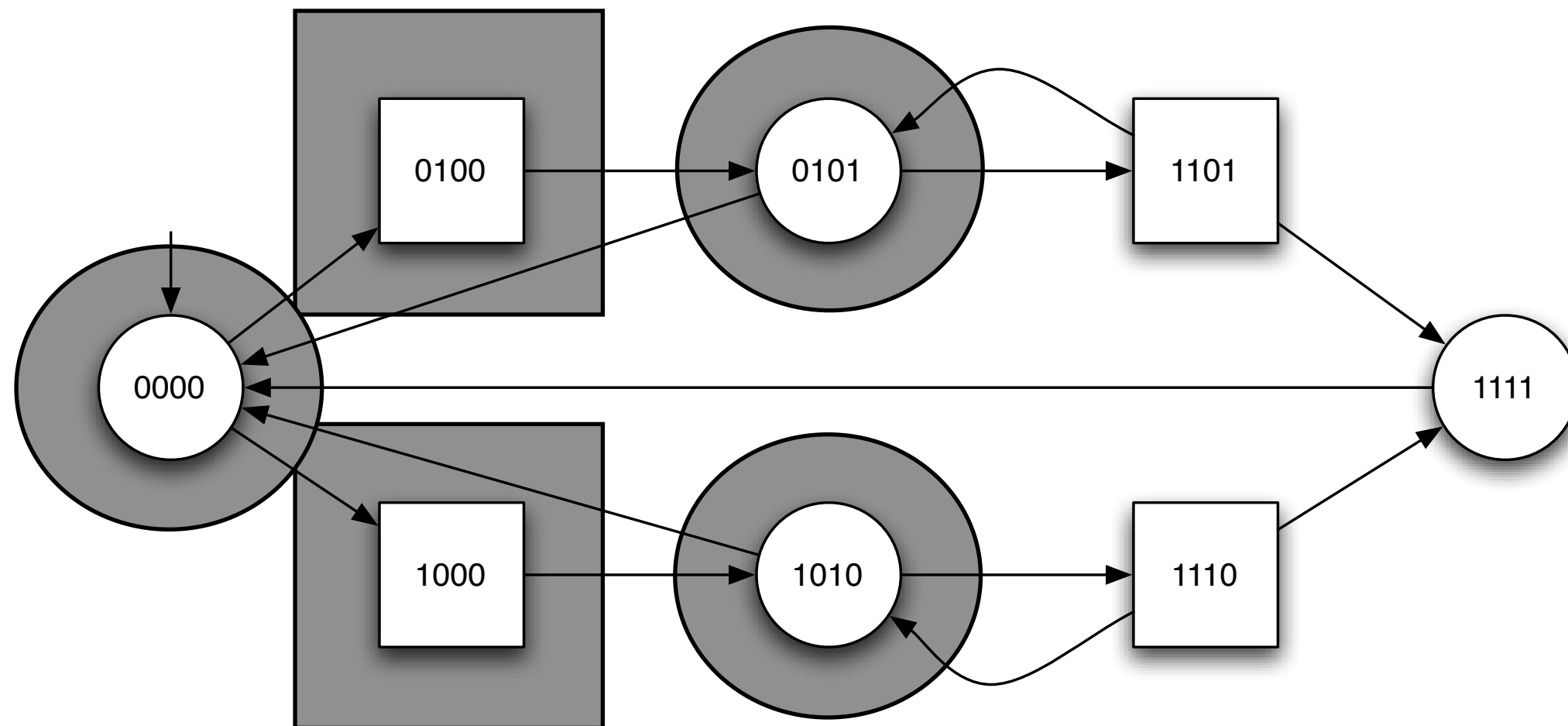


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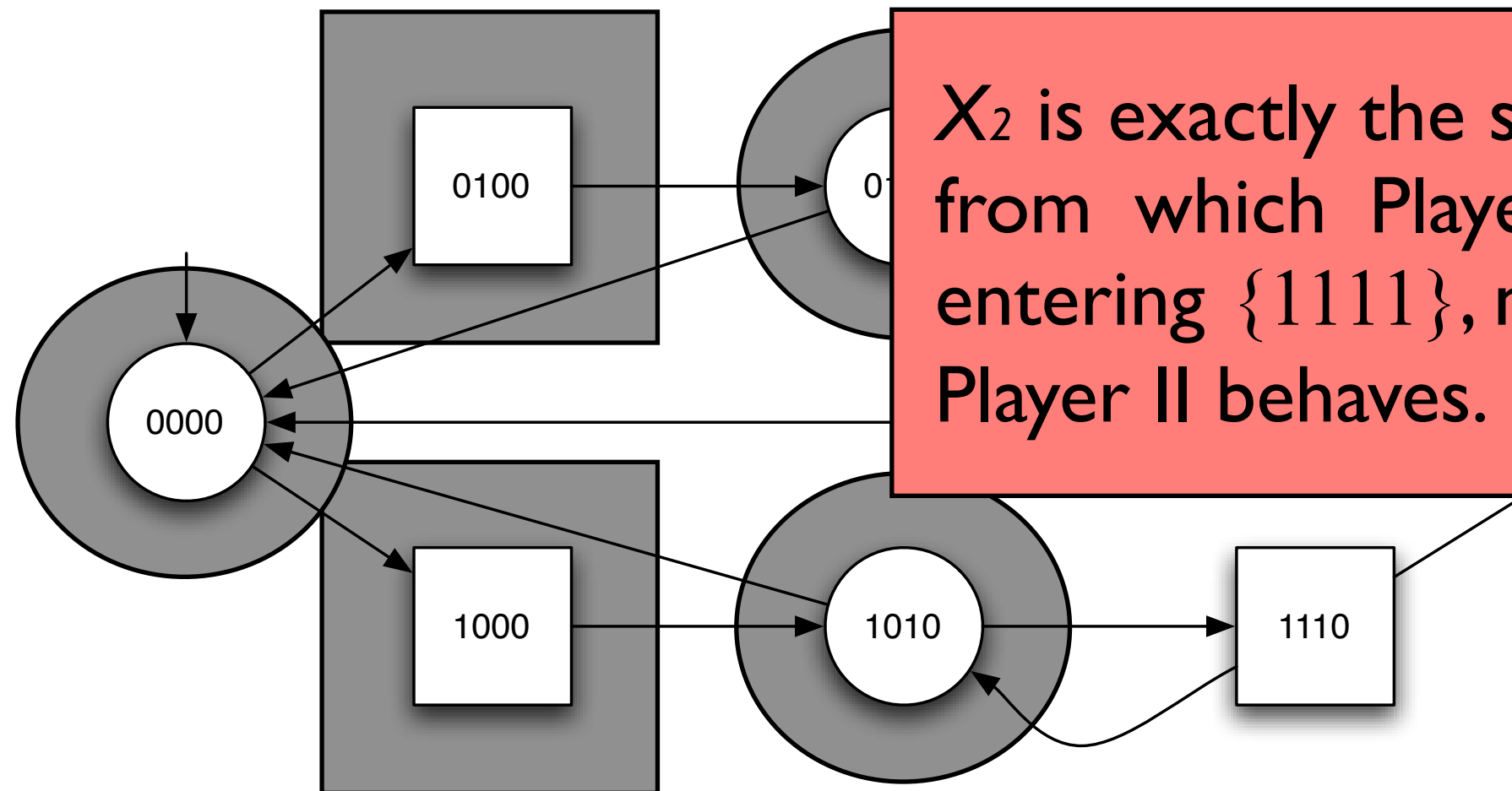
This is the
greatest
fixed point

$$X_0 = (Q \setminus \{1111\}) \cap 1CPre(Q)$$

$$X_1 = (Q \setminus \{1111\}) \cap 1CPre(X_0)$$

$$X_2 = (Q \setminus \{1111\}) \cap 1CPre(X_1) = X_1$$

Fixpoint for a safety game



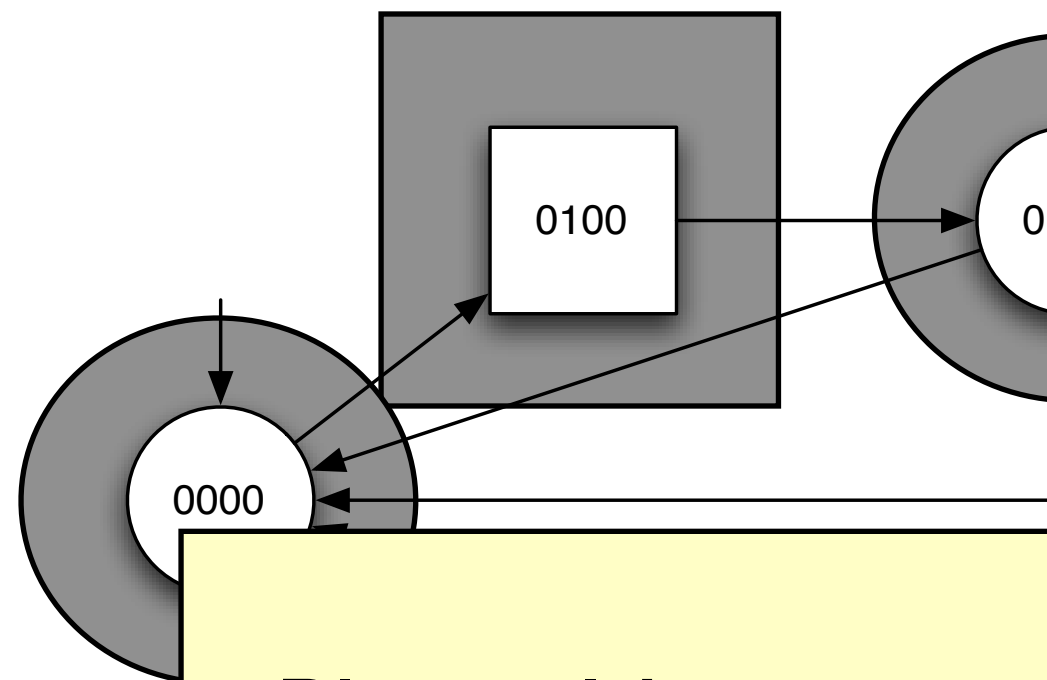
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Fixpoint for a safety game



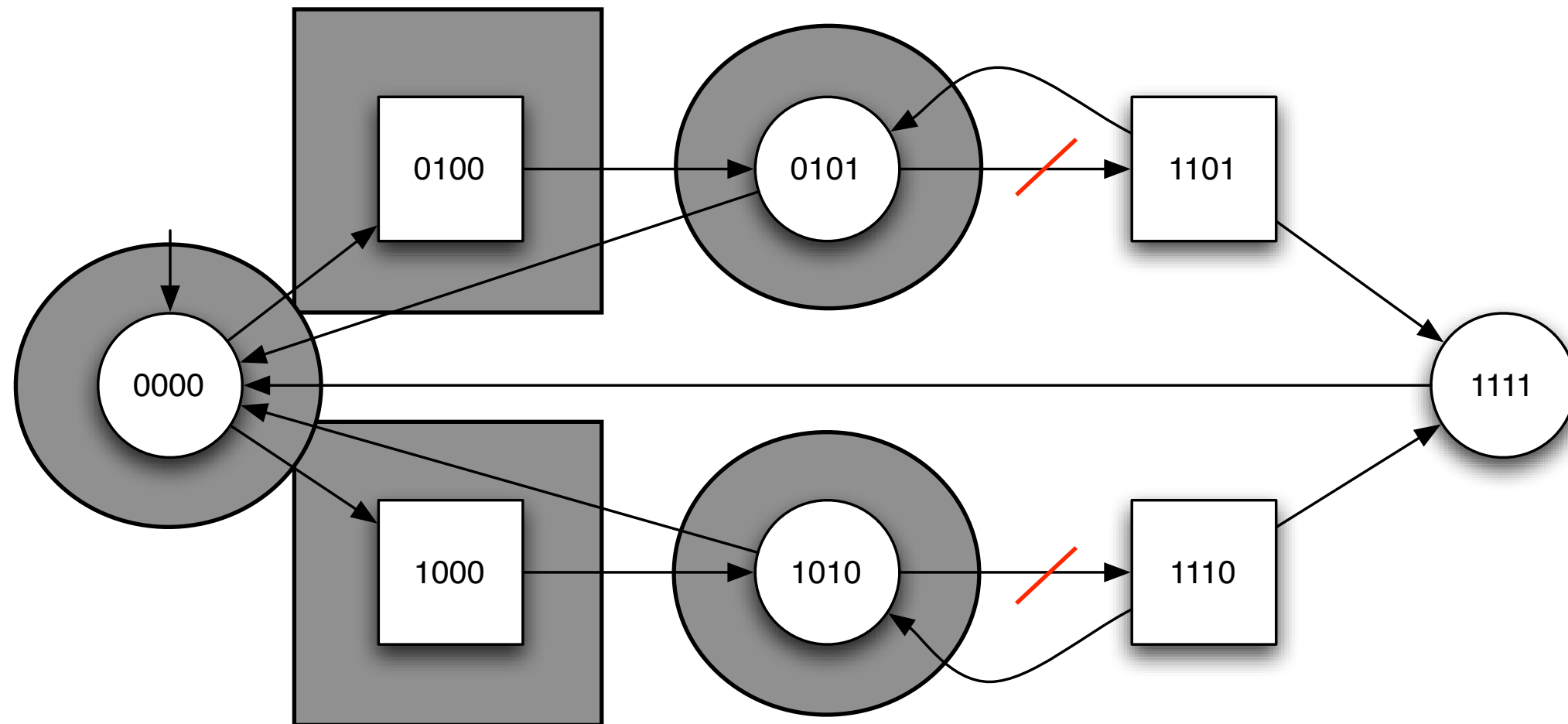
X_2 is exactly the set of positions from which Player I can avoid entering $\{1111\}$, no matter how Player II behaves.

Player I has a positional (memoryless) strategy to win the game

This is the greatest fixed point

$$X_1 = (Q \setminus \{1111\}) \cap 1CPre(X_0)$$

$$X_2 = (Q \setminus \{1111\}) \cap 1CPre(X_1) = X_1$$



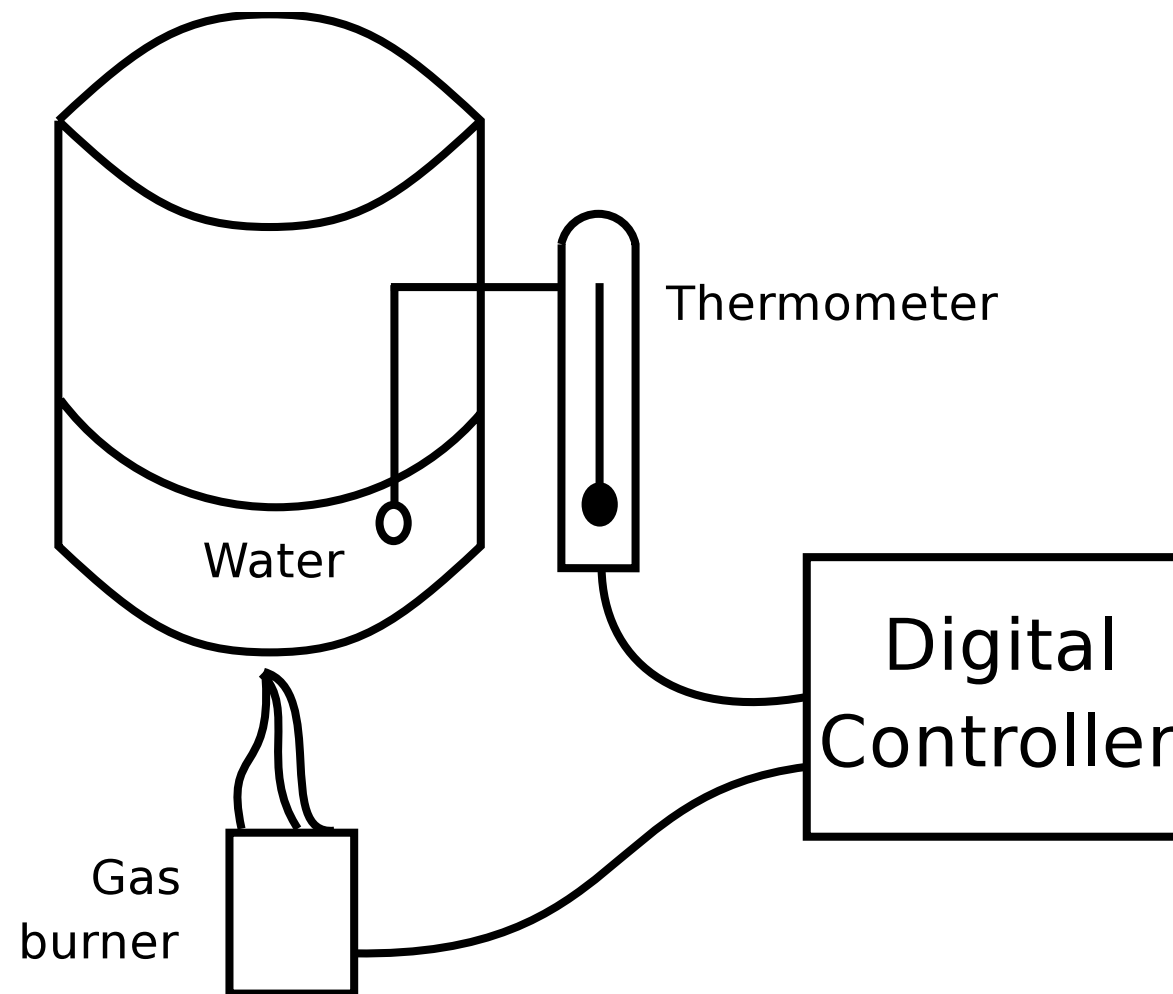
Let $G = \langle Q_1, Q_2, \iota, \delta \rangle$ be a TGS, let $\text{Reach}(G, Q)$ be a **reachability** game defined on G , Player I has a winning strategy for this game iff

$$\iota \in \cap \{R \mid R = Q \cup \text{CPre}_1(R)\}$$

Let $G = \langle Q_1, Q_2, \iota, \delta \rangle$ be a TGS, let $\text{Safe}(G, Q)$ be a **safety** game defined on G , Player I has a winning strategy for this game iff

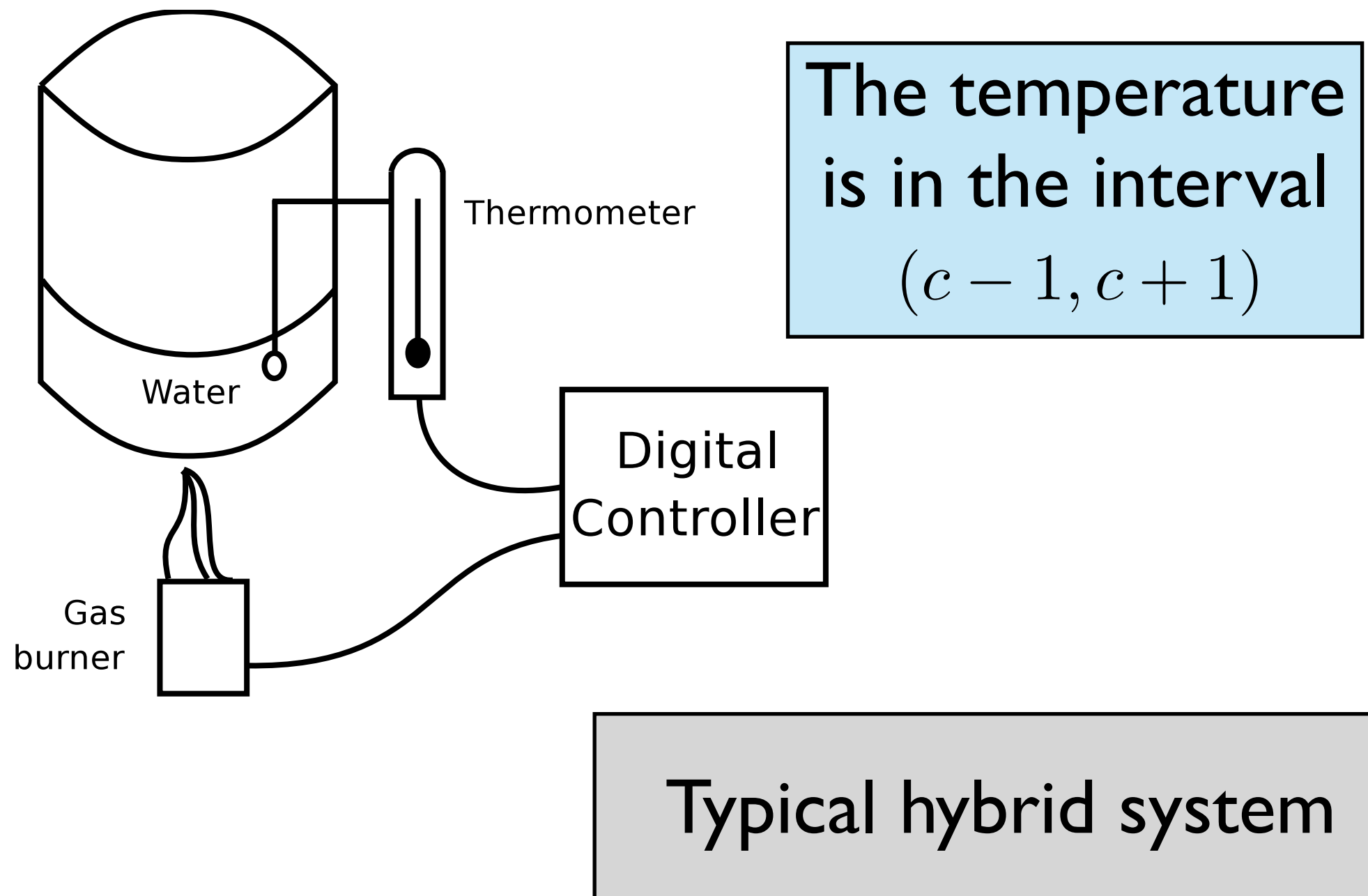
$$\iota \in \cup \{R \mid R = Q \cap \text{CPre}_1(R)\}$$

Games of imperfect information



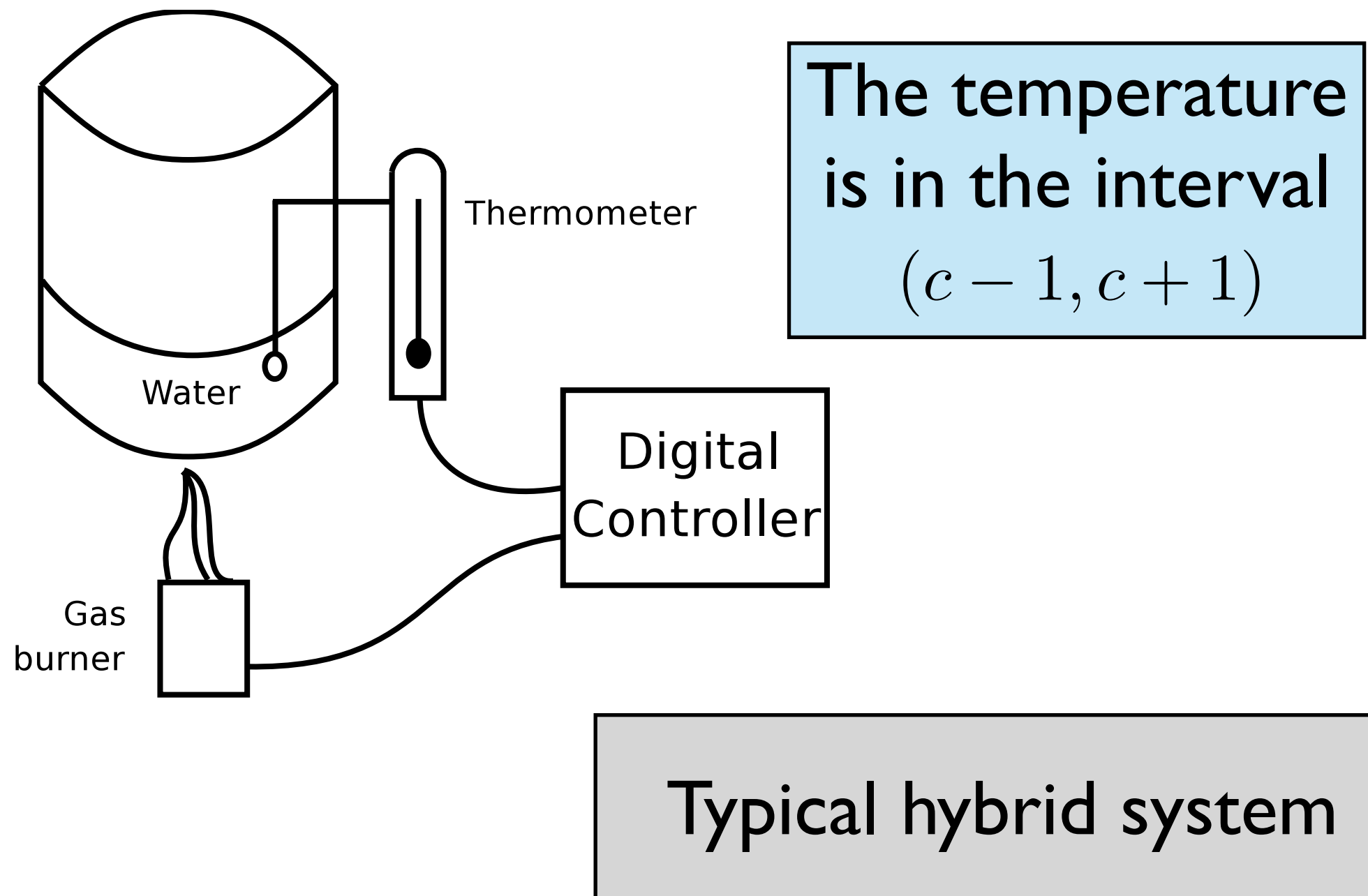
Typical hybrid system

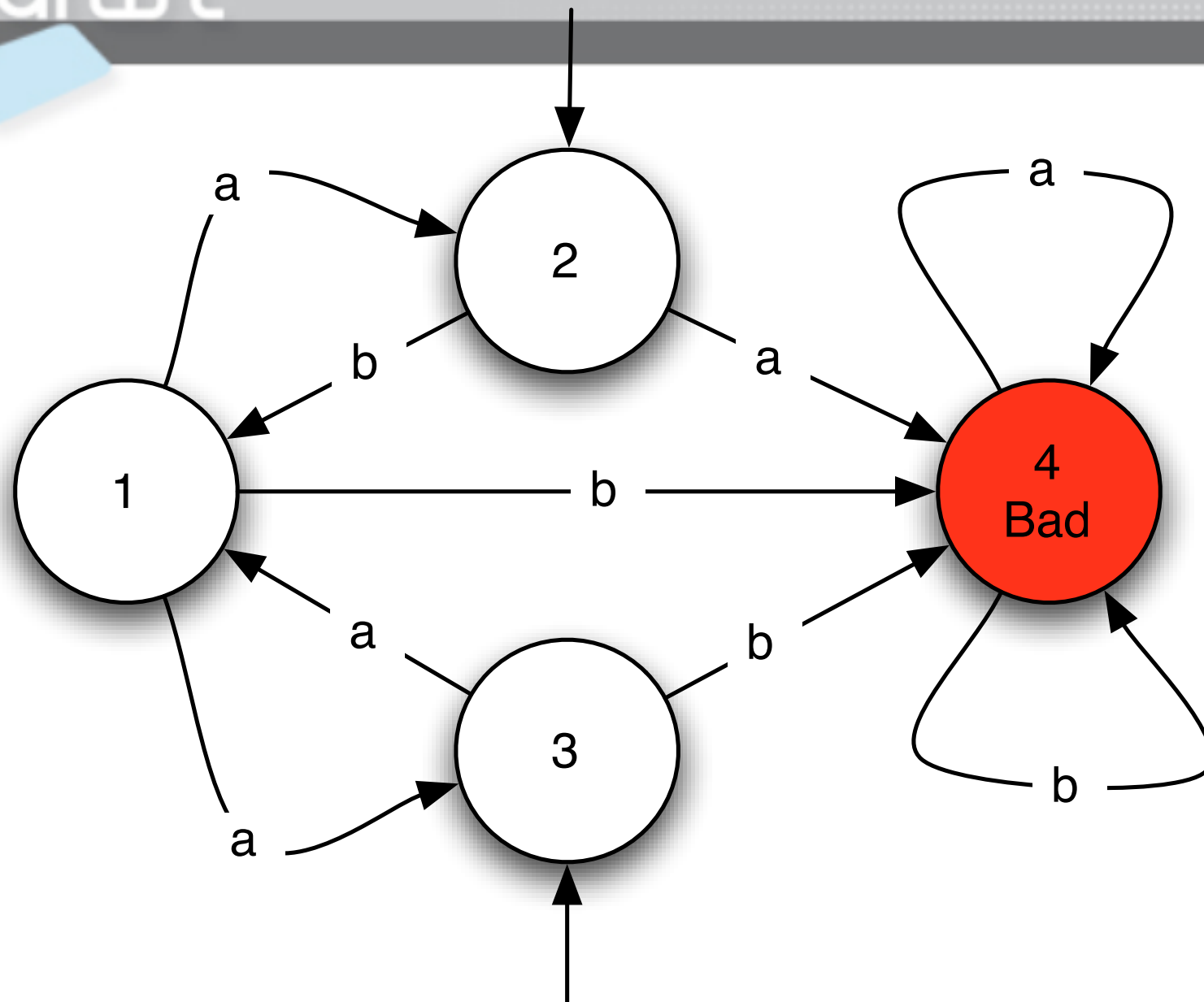
Perfect information hypothesis?



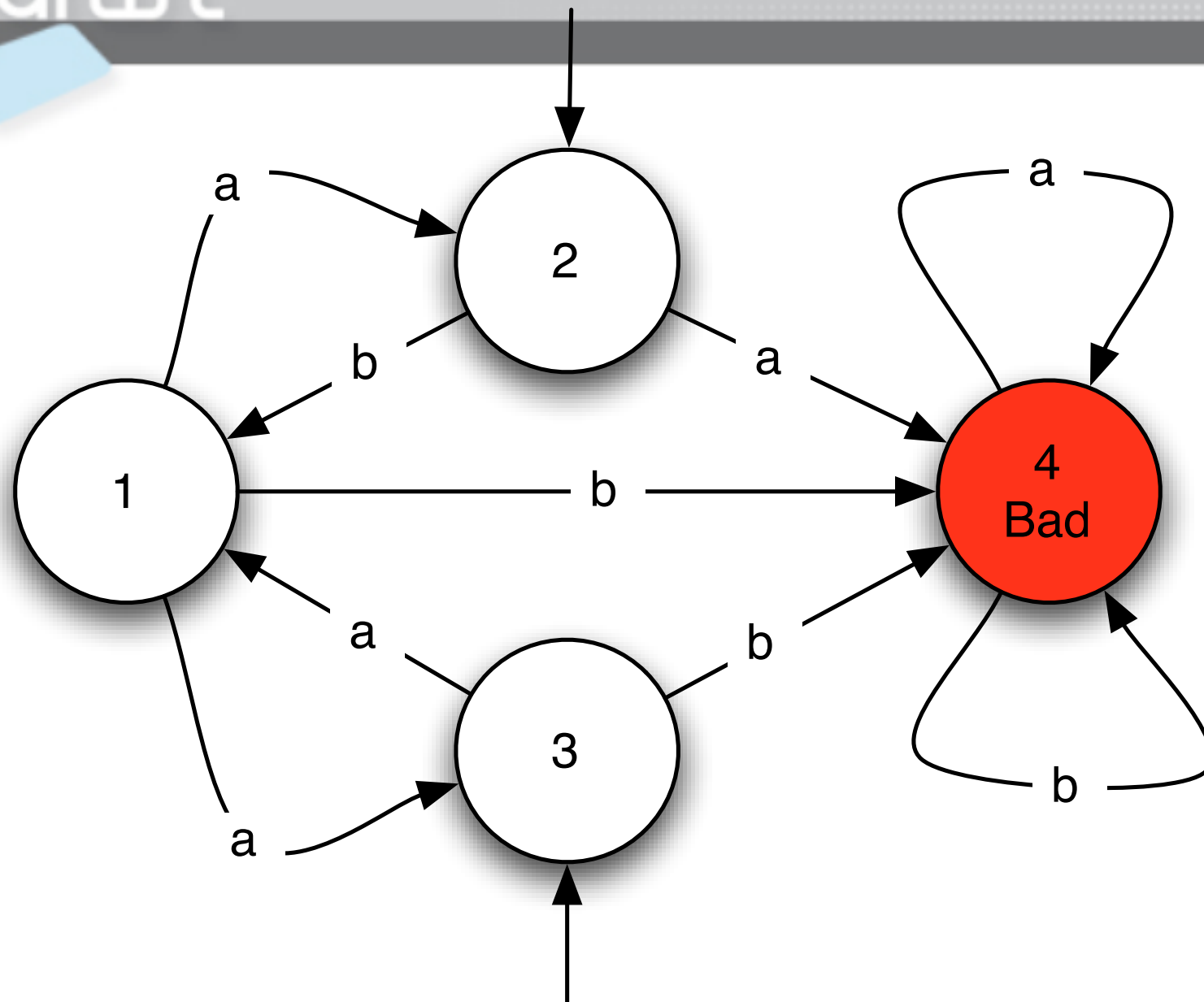
Perfect information hypothesis?

Finite precision = imperfect information



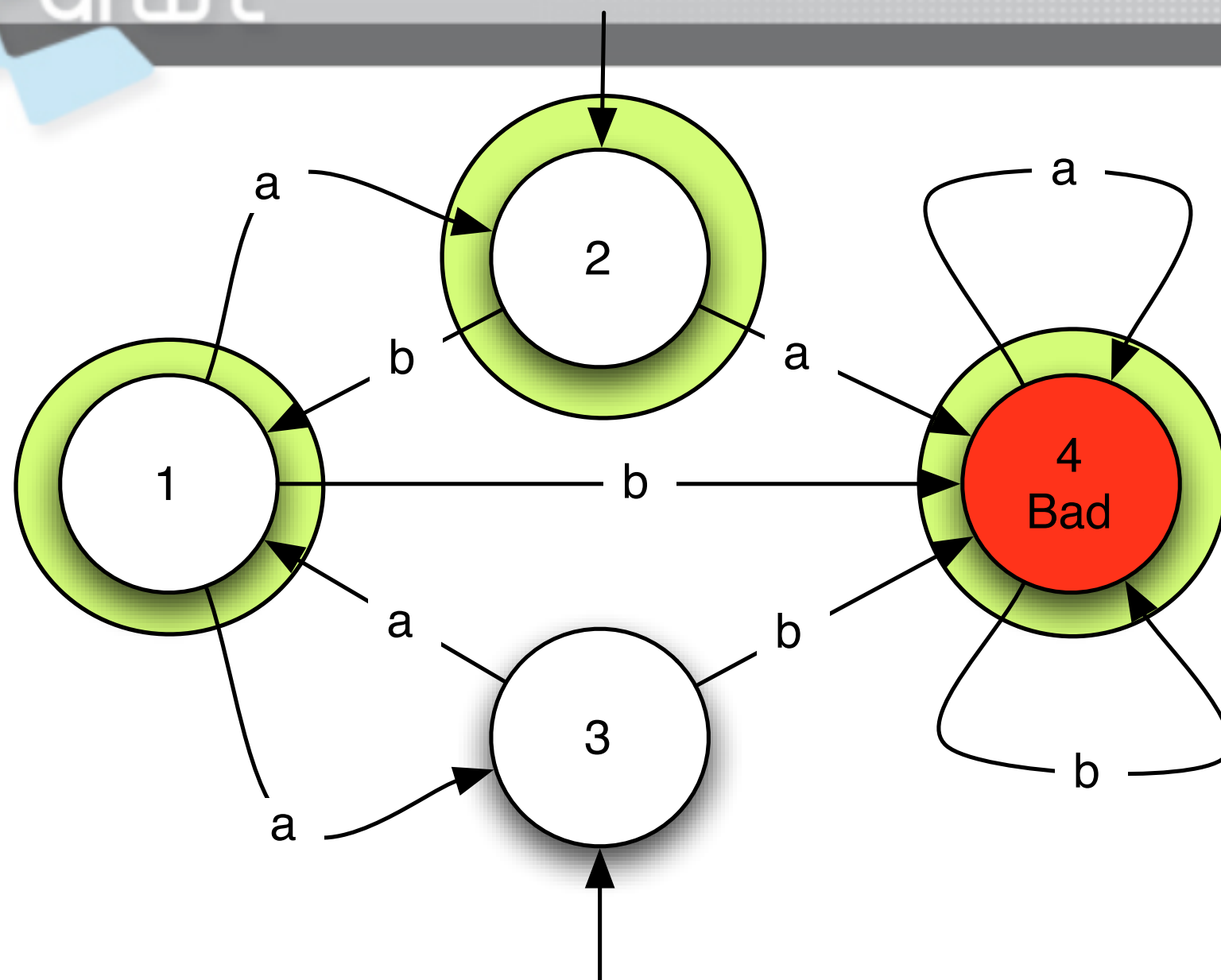


Player 0 chooses a letter
Player 1 resolves nondeterminism

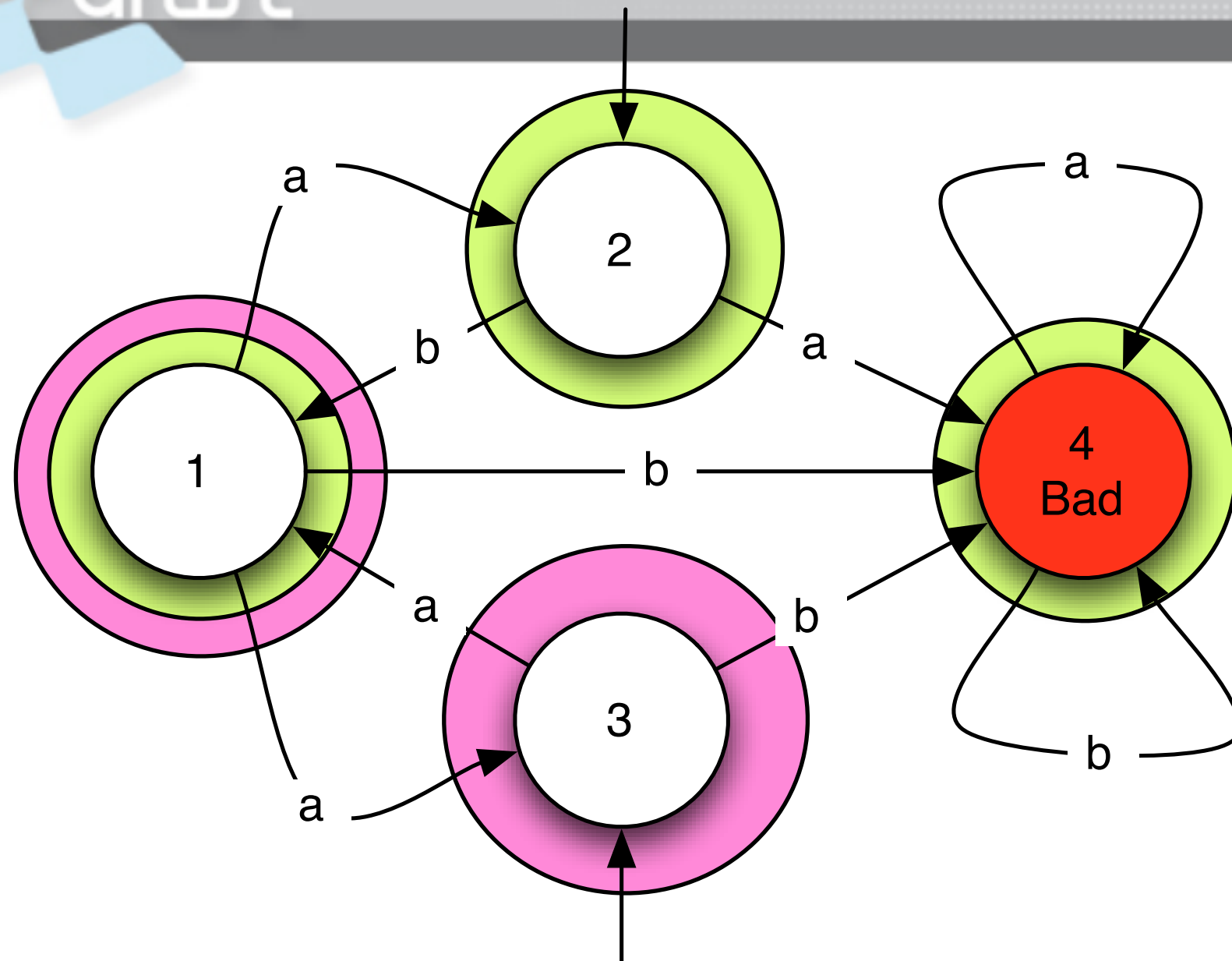


Imperfect information

Obs 0



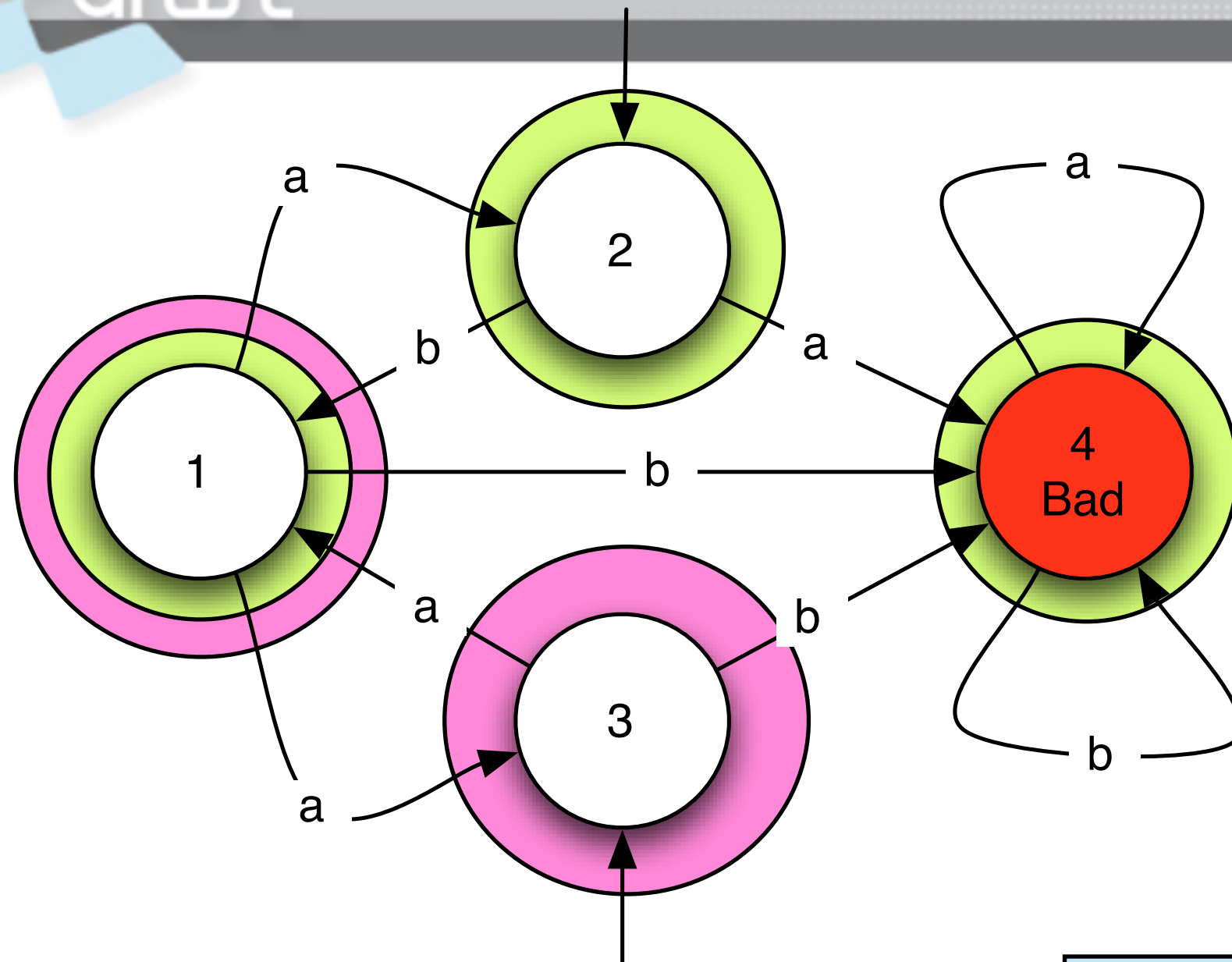
Imperfect information



Obs 0

Obs 1

Imperfect information

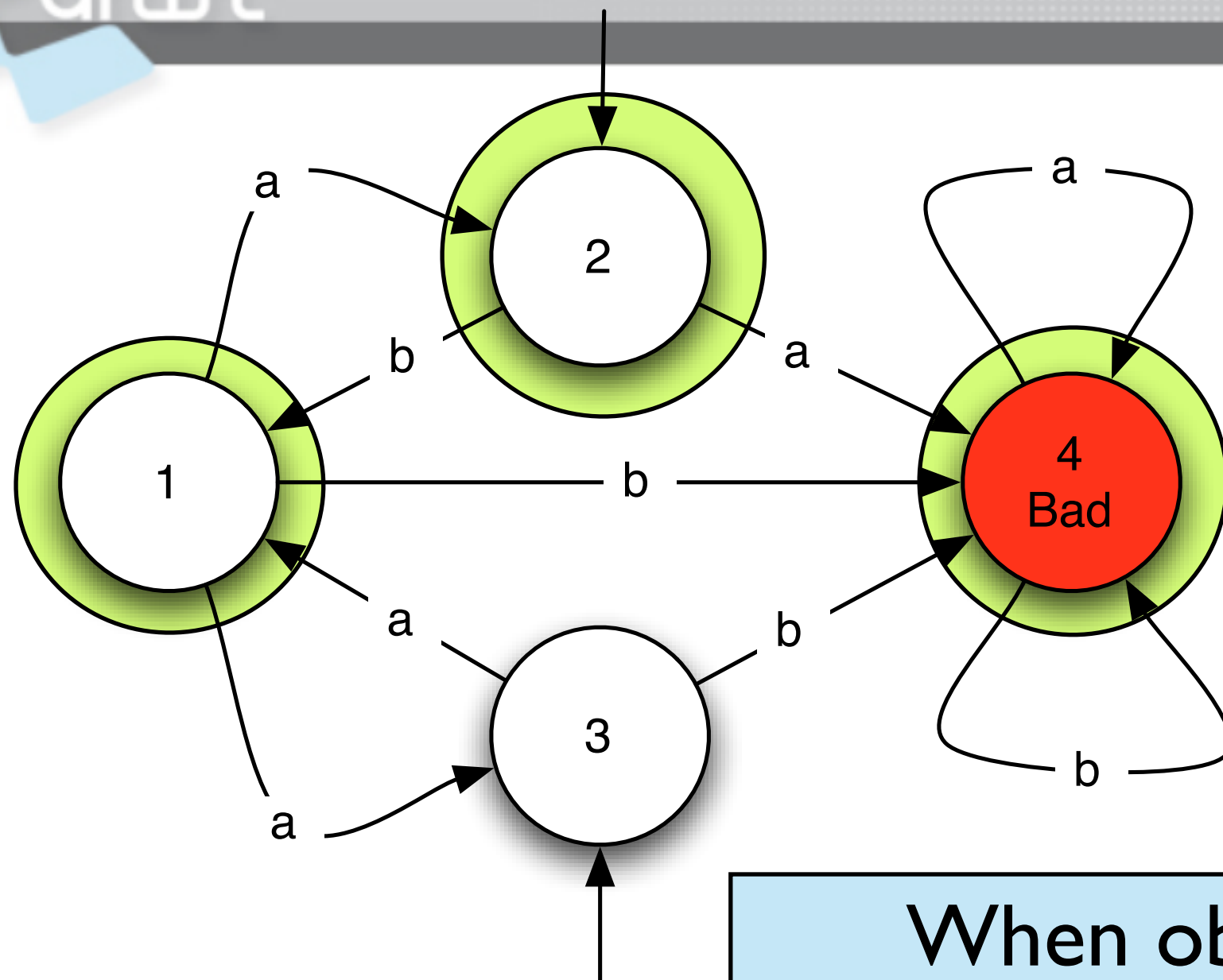


Obs 0

Obs 1

Slight generalization of
incomplete information

Imperfect information



Obs 0

When observing Obs 0,
there is no unique good choice:
memory is necessary

Imperfect information

- A game of *imperfect information*:
game structure + observation structure
- *Observation structure* : (\mathbf{Obs}, γ) where \mathbf{Obs} is a finite set of observations and γ maps every observation to a set of states (we require that every state has at least one observation).
- A *observation based strategy* is a function that maps every sequence $o_1 \sigma_1 o_2 \dots o_n$ to a letter in Σ .

Our objective is to find an algorithm to construct **observation based strategies** that avoid **Bad**.

Notation: a game structure of imperfect information is a tuple $(S, S_0, \Sigma, \rightarrow, \text{Obs}, \gamma)$.

tion structure

where **Obs** is a finite

set of observations and γ maps every observation to a set of states (we require that every state has at least one observation).

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Notation: a game structure of imperfect information is a tuple $(S, \Sigma, \gamma, \theta)$

Those games generalize games of perfect information where $\mathbf{Obs} = \mathbf{S}$ and γ is the identity function

-A *observation based strategy* is a function that maps every sequence $o_1 \sigma_1 o_2 \dots o_n$ to a letter in Σ .

Our objective is to find an algorithm to construct **observation based strategies** that avoid **Bad**.

Notation: a game structure of imperfect information is a

tuple $(S, \Sigma, \Theta, \gamma)$

Those games generalize games

set of

a set of

least

iden

-A *observa*

every sequ

Those games generalize games
of *incomplete information*:

in that case **Obs partitions**

the state space S . [Rei84]

Our objective is to find an algorithm to construct
observation based strategies that avoid **Bad**.

Classical Approaches

- To solve games of perfect information :
 - (elegant) fixed point algorithms using a **controllable predecessor** operator
- To solve games of imperfect information
 - [Reif84] builds a game of perfect information using a knowledge-based **subset construction and** then solve this games using classical techniques

Classical Approaches

- To solve After a finite prefix of a game, Player I has
- (elegant a partial knowledge of the current state of
contr the game : **a set of states**
- To solve games of imperfect information
 - [Reif84] builds a game of perfect information using a knowledge-based
subset construction and then solve this games using classical techniques

Classical Approaches

- To solve a game, Player I has a partial knowledge of the current state of the game : **a set of states**
- (elegance)

We propose here a new solution that avoid the **preliminary** explicit subset construction.

subset construction and then solve this games using classical techniques

We define a *controllable predecessor* operator for a **set of sets of states** q

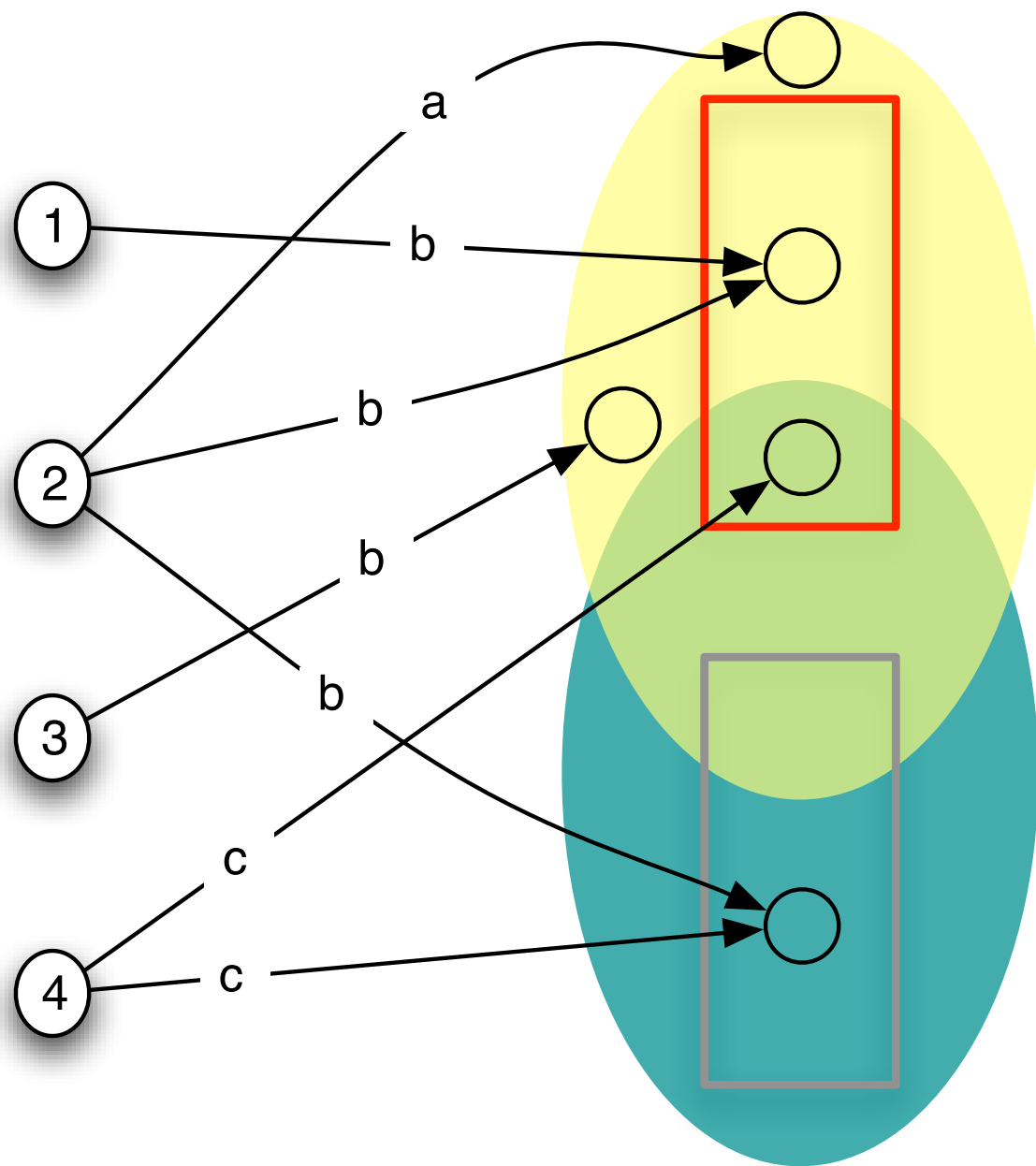
$$\text{CPre}(q) = \{s \subseteq \overline{\text{Bad}} \mid \exists \sigma \in \Sigma \cdot \forall \text{obs} \in \text{Obs} \cdot \exists s' \in q : \text{Post}_\sigma(s) \cap \gamma(\text{obs}) \subseteq s'\}$$

(i) s does not intersect with **Bad**,

(ii) there exists σ s.t. the set of possible successors of s by σ is covered by q

- (a)** no matter how the adversary resolves non-determinism,
- (b)** no matter the compatible observation **Obs**

Example

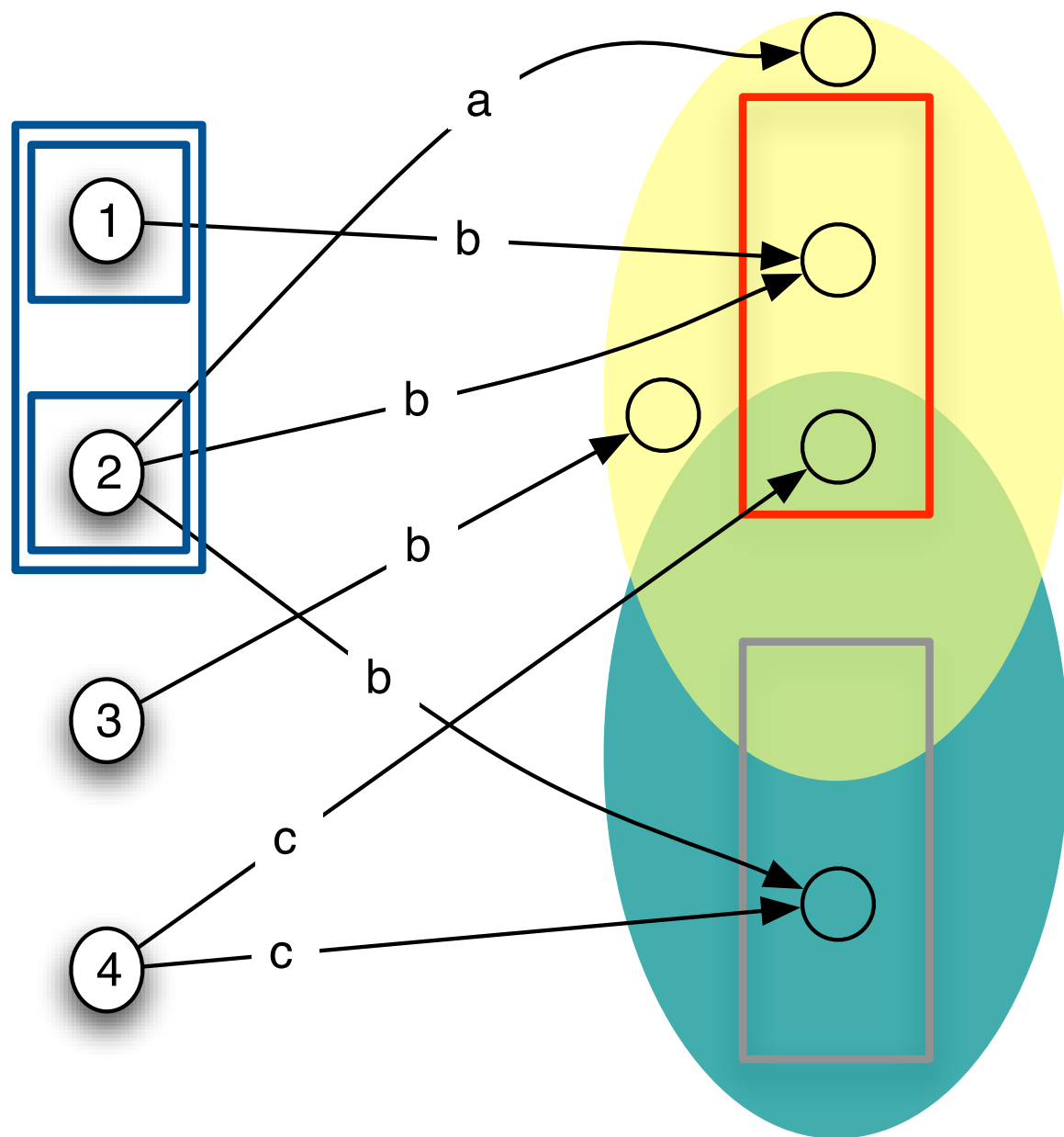


$$q = \{\textcolor{red}{A}, B\}$$

Obs 1

Obs 2

Example



$$q = \{\textcolor{red}{A}, B\}$$

Obs 1

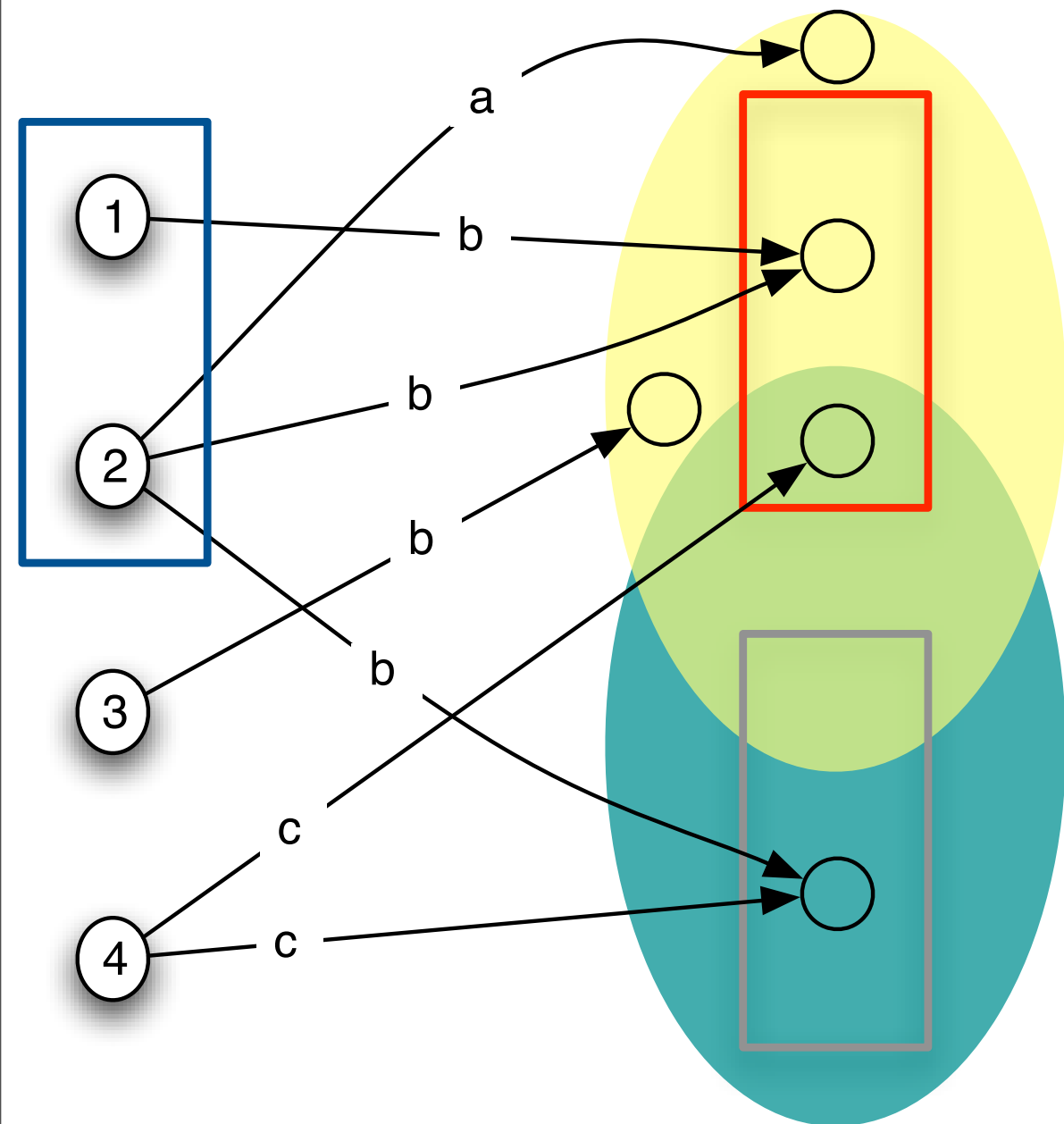
Obs 2

$$C_{\text{pre}}(\{\textcolor{red}{A}, B\}) = \text{Blue sets}$$

Example

If there is a strategy for set A,
there is a strategy for any B included in A

It is enough to keep only
the **maximal sets**



$$\text{CPre}(q) = \left[\{s \subseteq \overline{\text{Bad}} \mid \exists \sigma \in \Sigma \cdot \forall \text{obs} \in \text{Obs} \cdot \exists s' \in q : \text{Post}_\sigma(s) \cap \gamma(\text{obs}) \subseteq s'\} \right]$$

Antichains

Definition 4 [Antichain of sets of states] An *antichain* on the partially ordered set $\langle 2^S, \subseteq \rangle$ is a set $q \subseteq 2^S$ such that for any $A, B \in q$ we have $A \not\subseteq B$.

Let us call L the set of antichains on S .

Definition 5 [\sqsubseteq] Let $q, q' \in 2^{2^S}$ and define $q \sqsubseteq q'$ if and only if

$$\forall A \in q : \exists A' \in q' : A \subseteq A'$$

$$\text{lub} : q_1 \sqcup q_2 = \lceil \{s \mid s \in q_1 \vee s \in q_2\} \rceil$$

$$\text{glb} : q_1 \sqcap q_2 = \lceil \{s_1 \cap s_2 \mid s_1 \in q_1 \wedge s_2 \in q_2\} \rceil$$

The minimal element is \emptyset , the maximal element $\{S\}$.

$\langle L, \sqsubseteq \rangle$ is a complete lattice.

CPre over antichains

$$\text{CPre}(q) = [\{s \subseteq \overline{\text{Bad}} \mid \exists \sigma \in \Sigma \cdot \forall \text{obs} \in \text{Obs} \cdot \exists s' \in q : \text{Post}_\sigma(s) \cap \gamma(\text{obs}) \subseteq s'\}]$$

- CPre is a **monotone** function over the lattice of antichains
- CPre has a *least* and a *greatest* fixed point

Advantage : we only keep the needed information to find a strategy

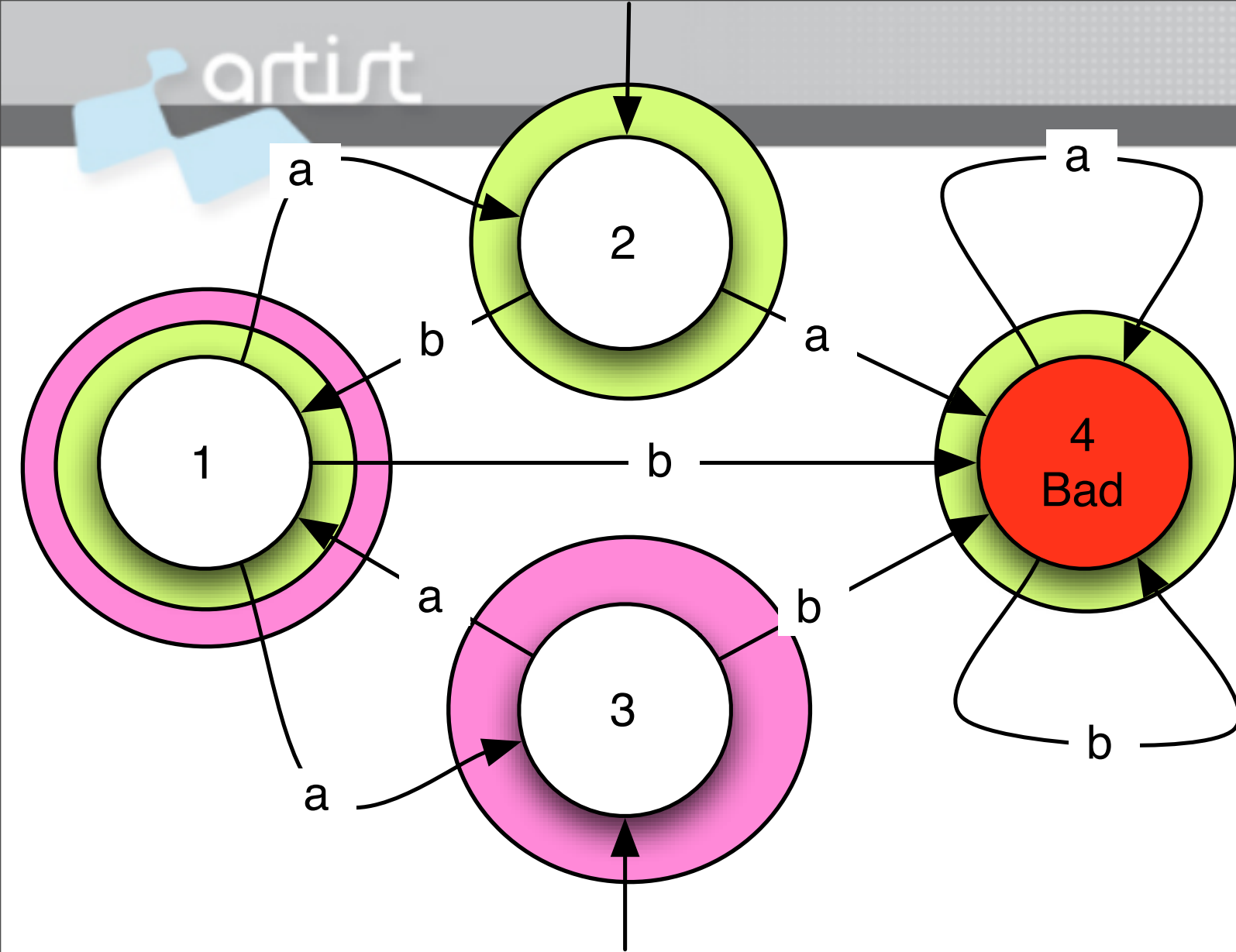
Main theorem

Let $G = \langle S, S_0, \Sigma, \rightarrow, \text{Obs}, \gamma \rangle$

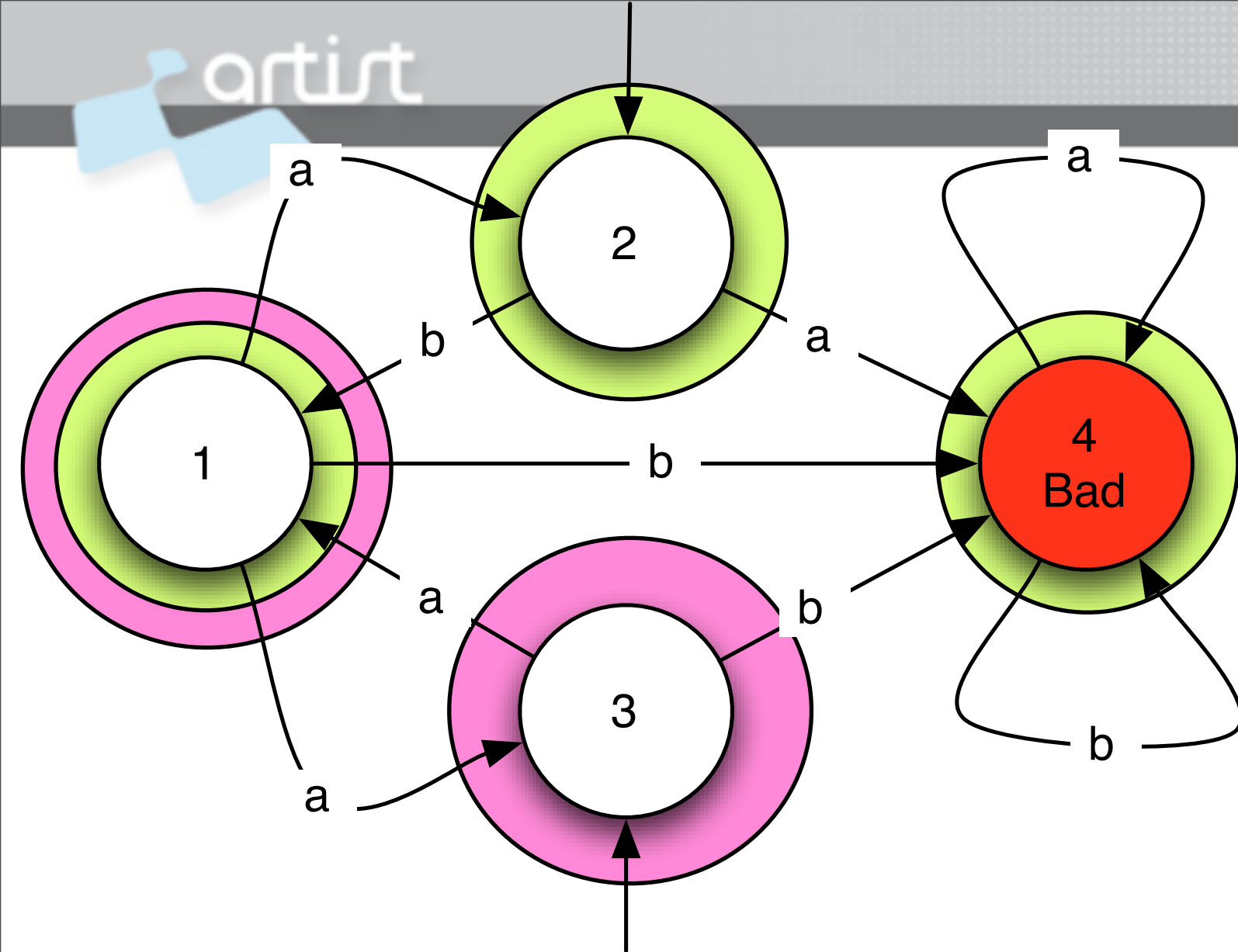
be a two-player game of imperfect information. Player I has a winning observation based strategy to avoid Bad, **iff**

$$\{S_0 \cap \gamma(\text{obs}) \mid \text{obs} \in \text{Obs}\} \sqsubseteq \bigsqcup \{q \mid q = \text{CPre}(q)\}.$$

We can extract a strategy from the fixed point

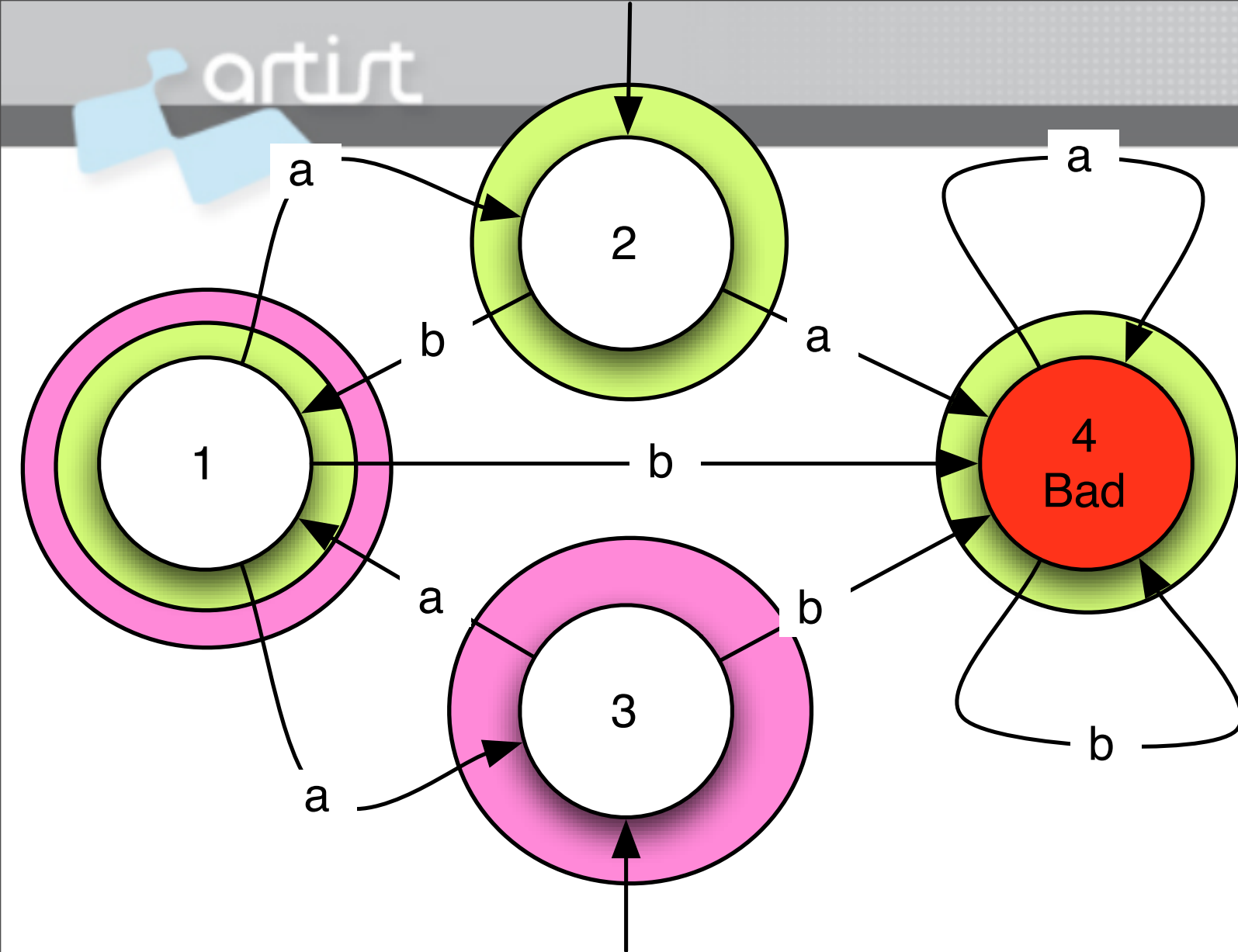


Does Player 0 have an observation based strategy to avoid Bad ?



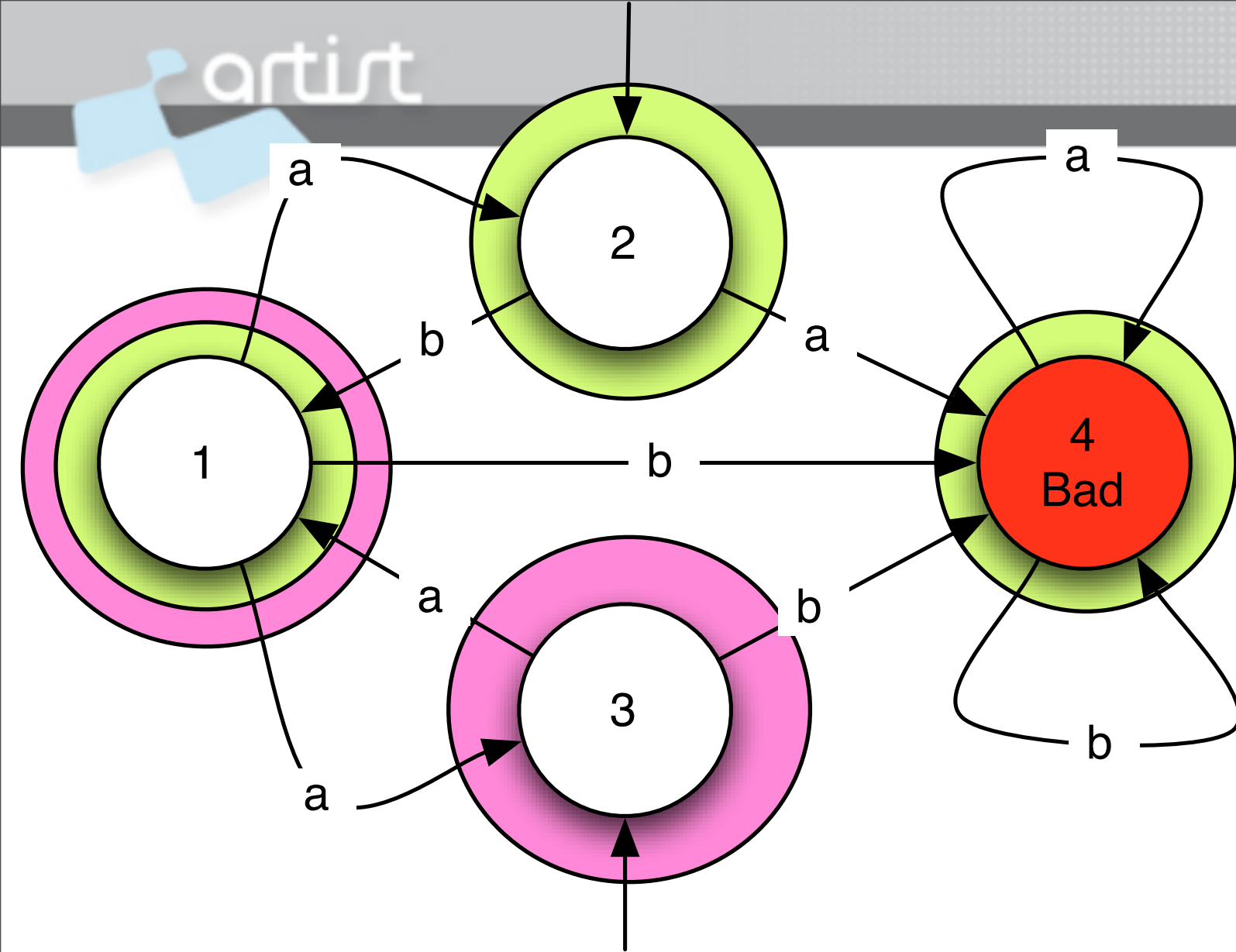
Does Player 0 have an observation based strategy to avoid Bad ?

Let us compute the *gfp* of CPre over L.



$$q_0 = \top$$

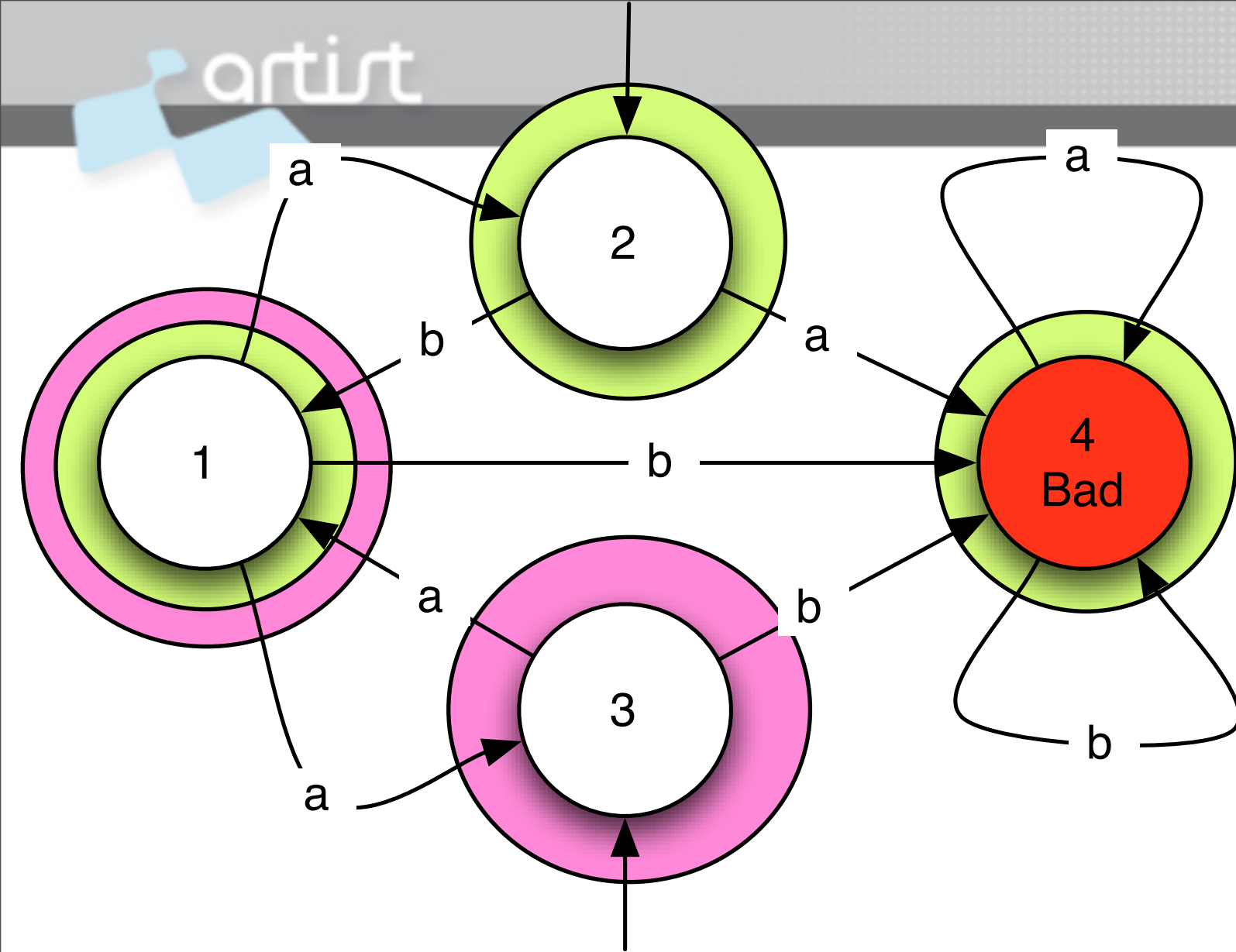
$$q_1 = \{\{1, 2, 3\}_{a,b}\}$$



$$q_0 = \top$$

$$q_1 = \{\{1, 2, 3\}_{a,b}\}$$

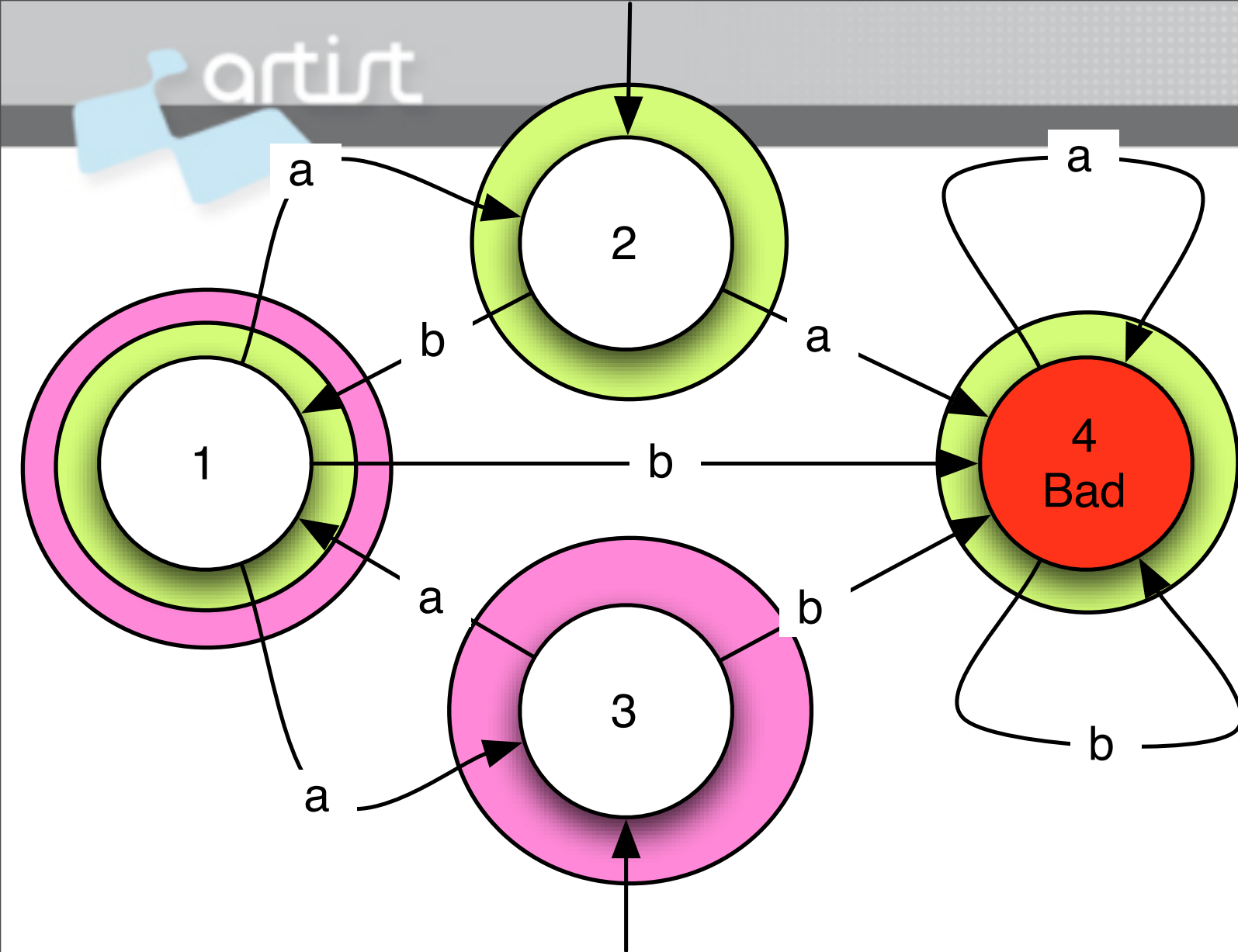
$$q_2 = \text{CPre}(\{\{1, 2, 3\}\})$$



$$q_0 = \top$$

$$q_1 = \{\{1, 2, 3\}_{a,b}\}$$

$$\begin{aligned} q_2 &= \text{CPre}(\{\{1, 2, 3\}\}) \\ &= \{\{2\}_b, \{1, 3\}_a\} \end{aligned}$$



$$q_0 = \top$$

$$q_1 = \{\{1, 2, 3\}_{a,b}\}$$

$$\begin{aligned} q_2 &= \text{CPre}(\{\{1, 2, 3\}\}) \\ &= \{\{2\}_b, \{1, 3\}_a\} \end{aligned}$$

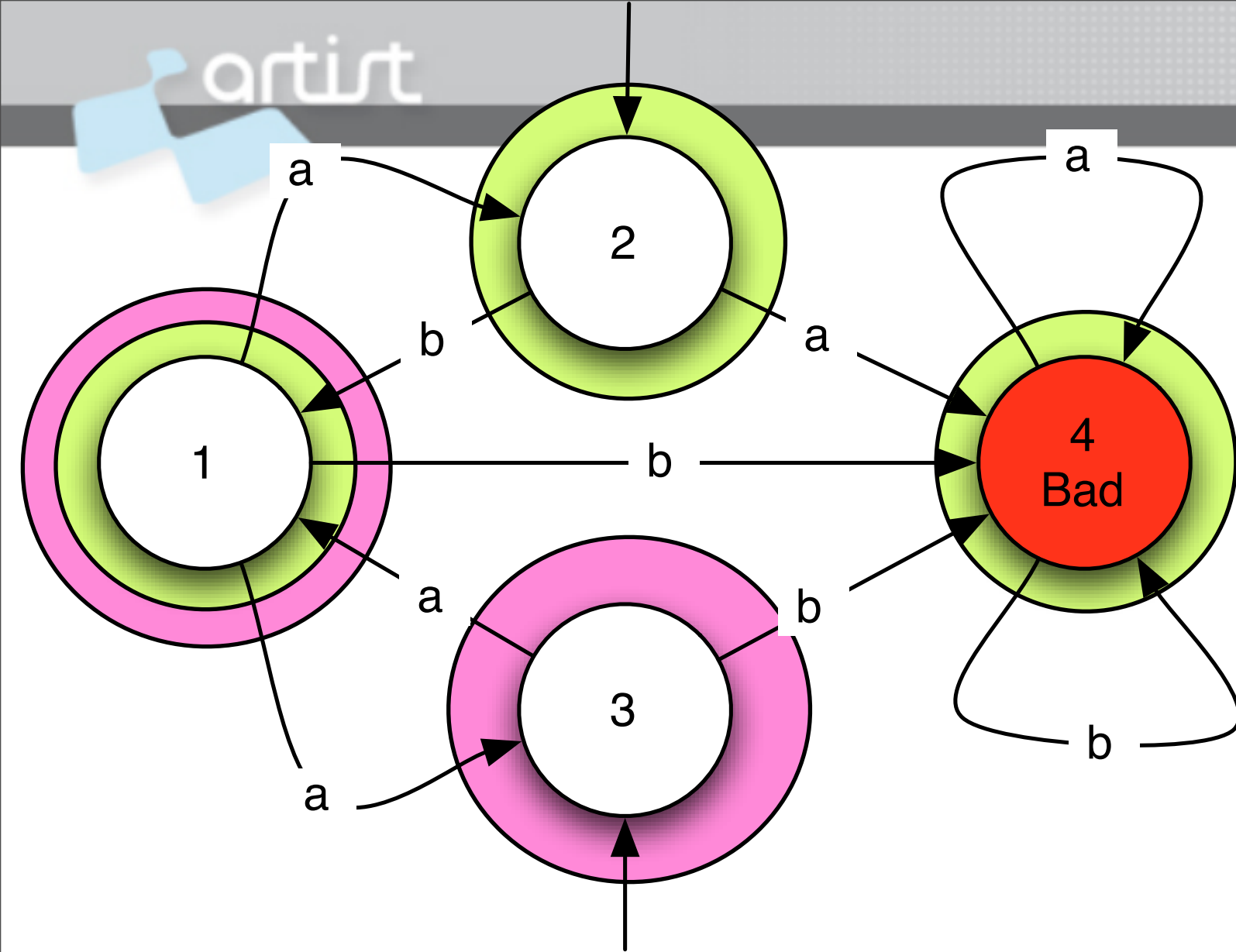
Indeed,

$$\text{Post}_a(\{1, 3\}) \cap \{1, 2, 4\} \subseteq \{1, 2, 3\}$$

$$\text{Post}_a(\{1, 3\}) \cap \{1, 3\} \subseteq \{1, 2, 3\}$$

$$\text{Post}_b(\{2\}) \cap \{1, 3\} \subseteq \{1, 2, 3\}$$

$$\text{Post}_b(\{2\}) \cap \{1, 2, 4\} \subseteq \{1, 2, 3\}$$

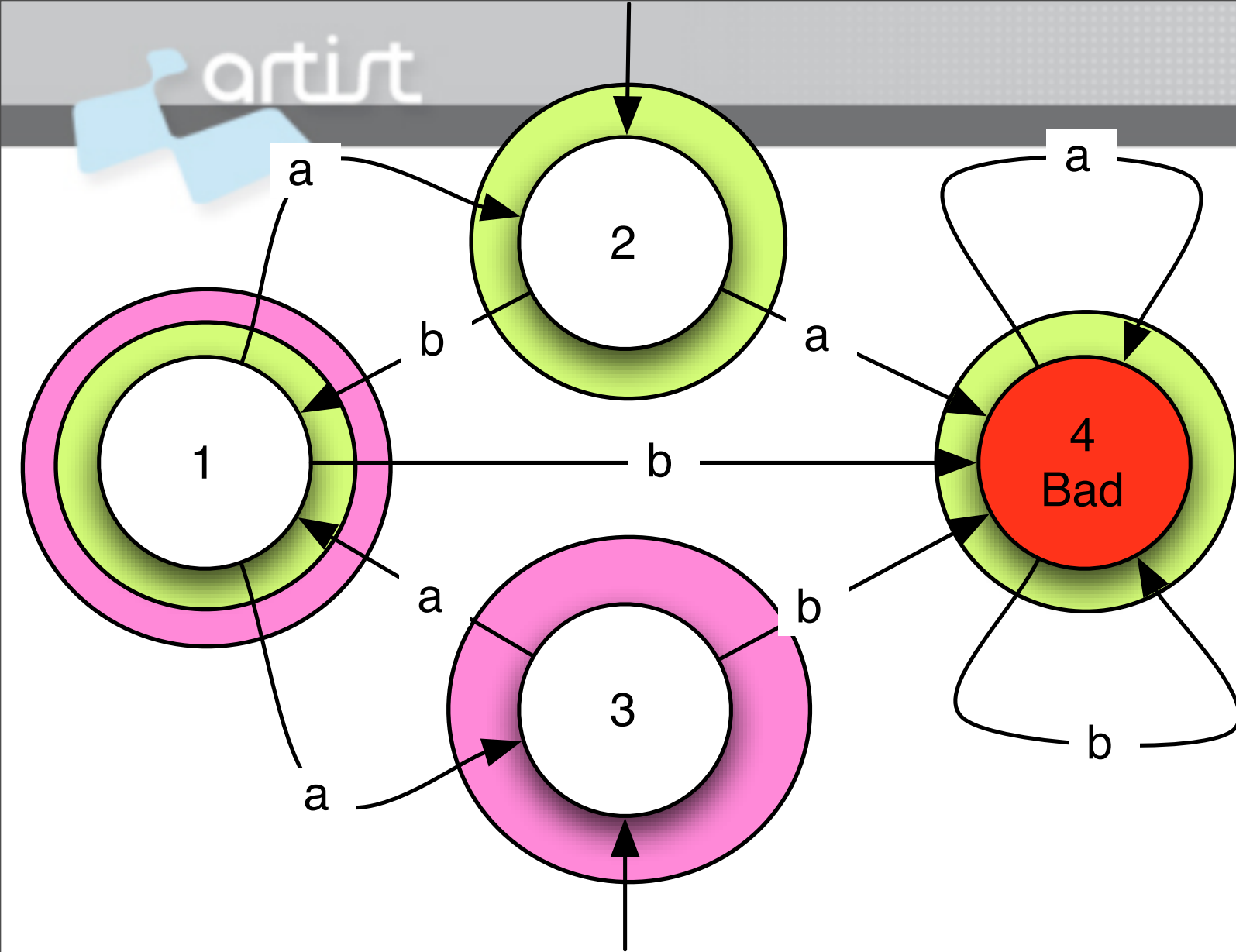


$$q_0 = \top$$

$$q_1 = \{\{1, 2, 3\}_{a,b}\}$$

$$q_2 = \{\{2\}_b, \{1, 3\}_a\}$$

$$q_3 = \text{CPre}(\{\{2\}, \{1, 3\}\})$$

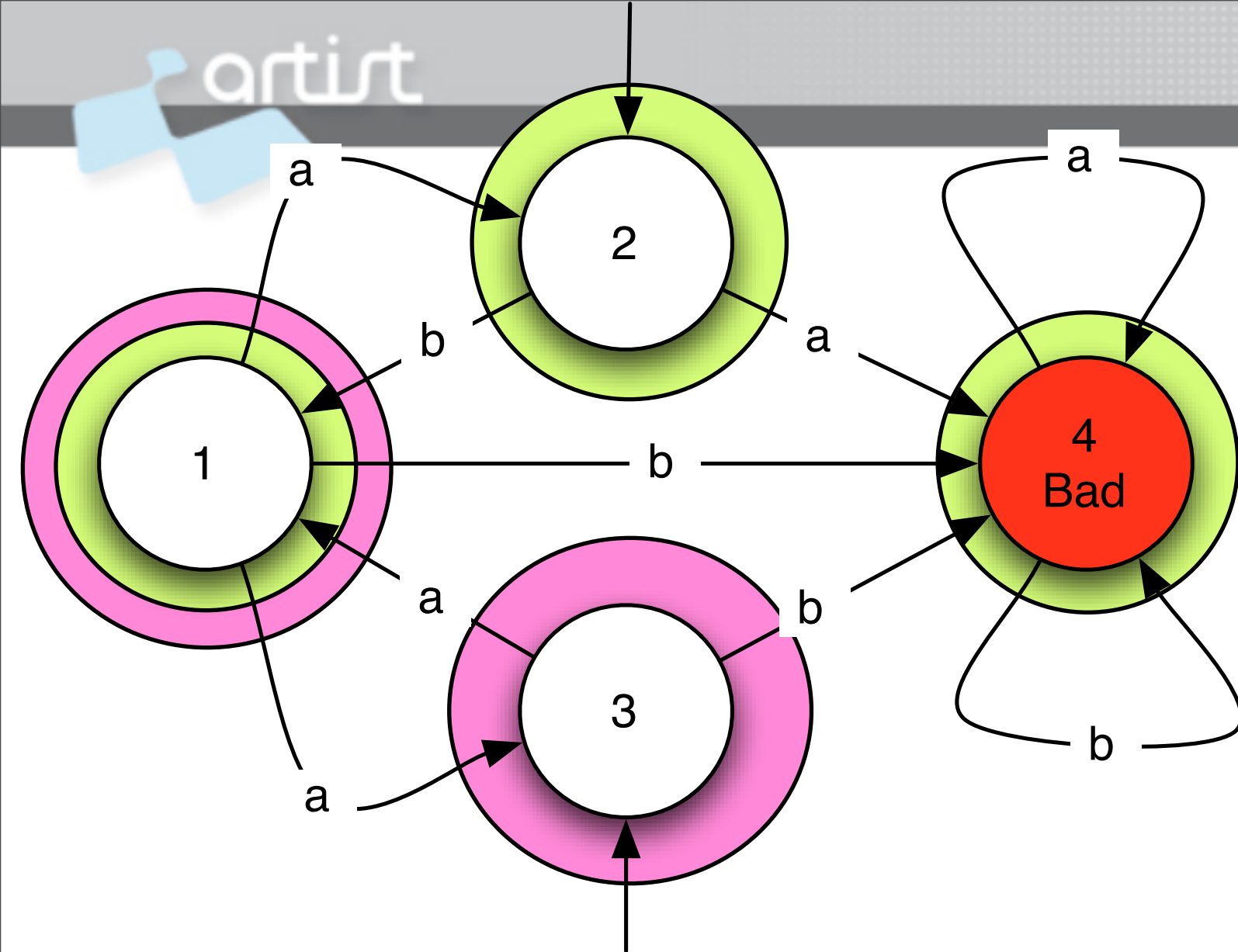


$$q_0 = \top$$

$$q_1 = \{\{1, 2, 3\}_{a,b}\}$$

$$q_2 = \{\{2\}_b, \{1, 3\}_a\}$$

$$\begin{aligned} q_3 &= \text{CPre}(\{\{2\}, \{1, 3\}\}) \\ &= \{\{1\}_a, \{2\}_b, \{3\}_a\} \end{aligned}$$



$$q_0 = \top$$

$$q_1 = \{\{1, 2, 3\}_{a,b}\}$$

$$q_2 = \{\{2\}_b, \{1, 3\}_a\}$$

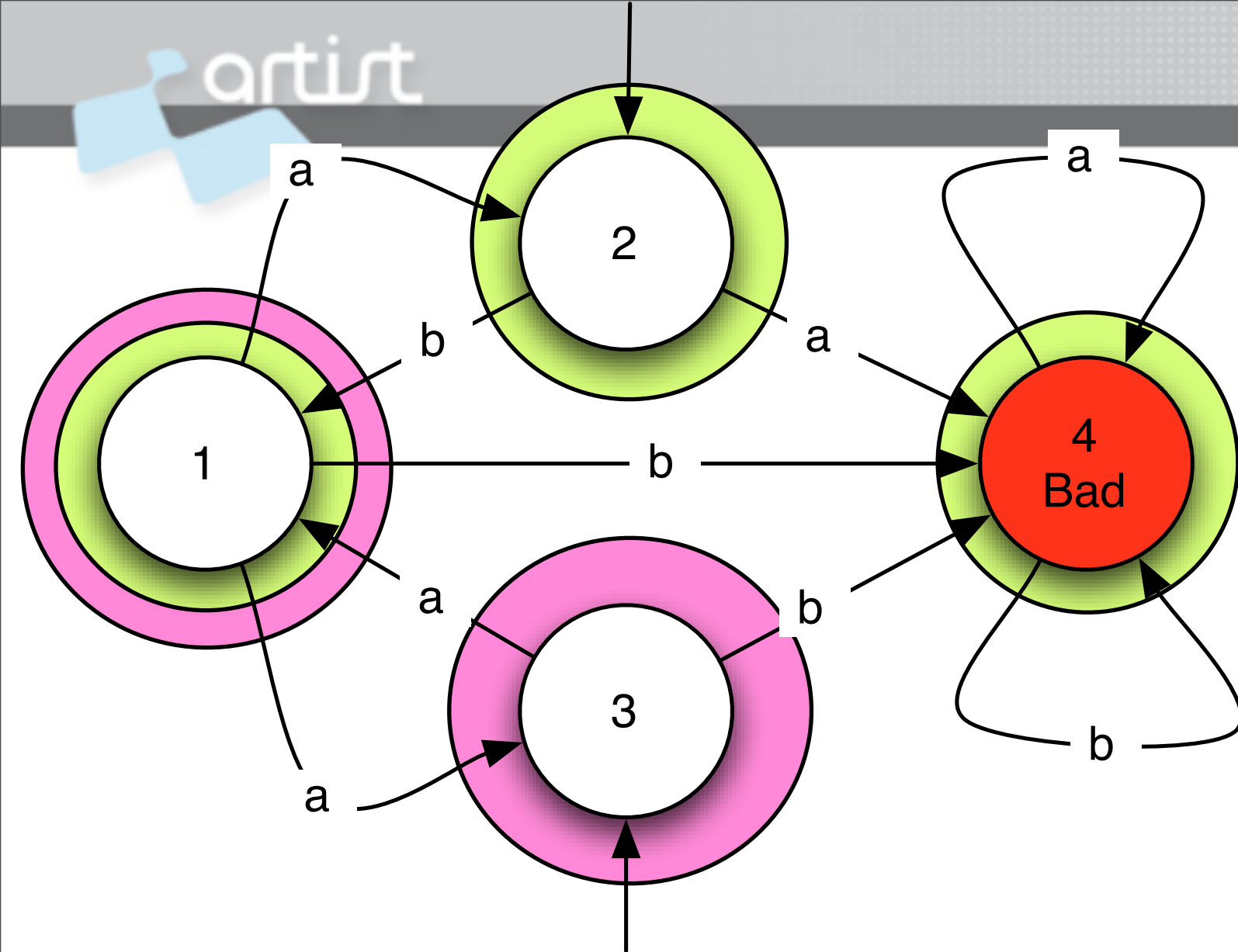
$$\begin{aligned} q_3 &= \text{CPre}(\{\{2\}, \{1, 3\}\}) \\ &= \{\{1\}_a, \{2\}_b, \{3\}_a\} \end{aligned}$$

Indeed,

$$\text{Post}_a(\{1\}) \cap \{1, 2, 4\} \subseteq \{2\}$$

$$\text{Post}_a(\{1\}) \cap \{1, 3\} \subseteq \{3\}$$

Adding any state would break this property



$$q_0 = \top$$

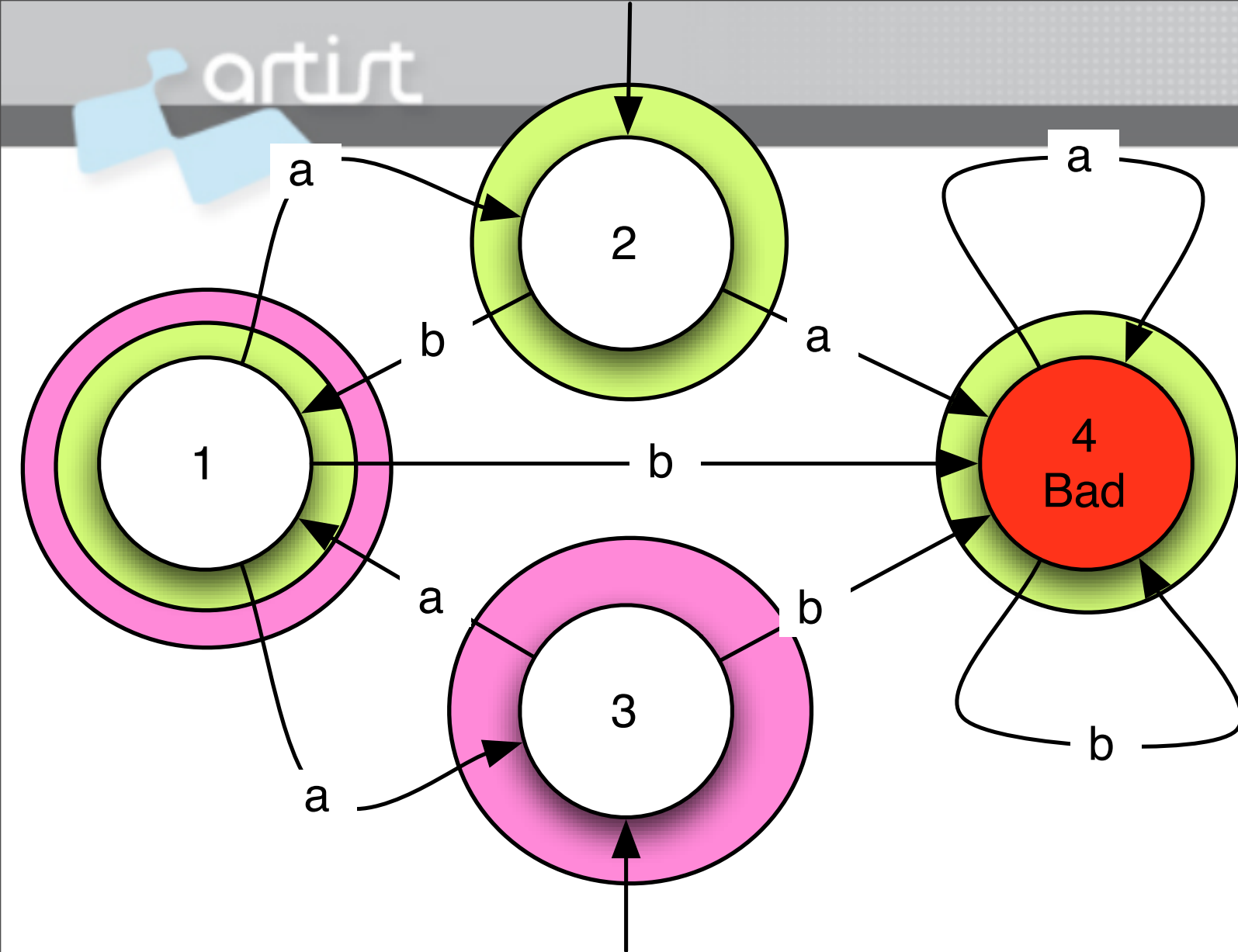
$$q_1 = \{\{1, 2, 3\}_{a,b}\}$$

$$q_2 = \{\{2\}_b, \{1, 3\}_a\}$$

$$q_3 = \{\{1\}_a, \{2\}_b, \{3\}_a\}$$

$$q_4 = \{\{1\}_a, \{2\}_b, \{3\}_a\}$$

Fixed point



$$q_0 = \top$$

$$q_1 = \{\{1, 2, 3\}_{a,b}\}$$

$$q_2 = \{\{2\}_b, \{1, 3\}_a\}$$

$$q_3 = \{\{1\}_a, \{2\}_b, \{3\}_a\}$$

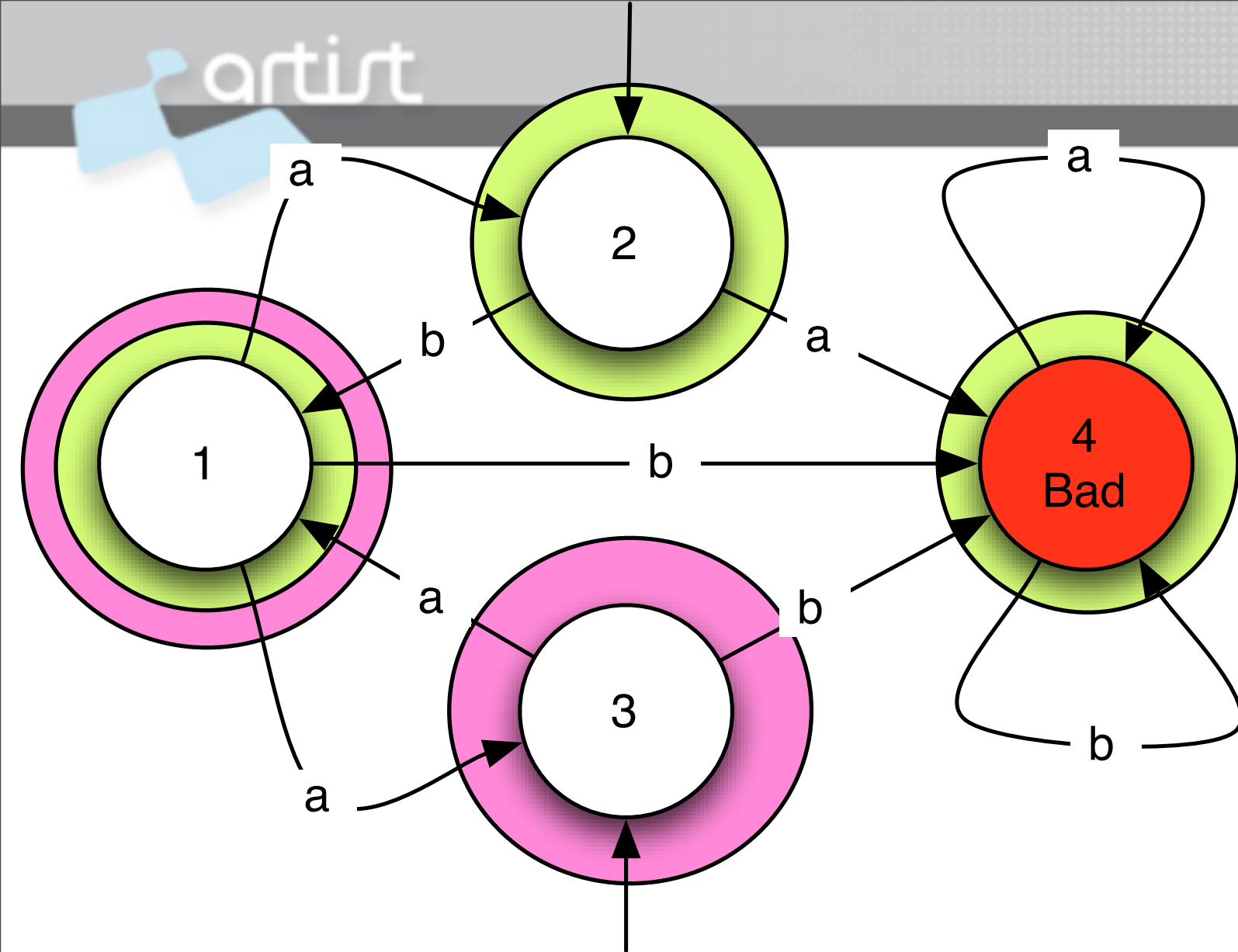
$$q_4 = \{\{1\}_a, \{2\}_b, \{3\}_a\}$$

Fixed point

We have

$$\{\{2, 3\} \cap \text{Obs}_0, \{2, 3\} \cap \text{Obs}_1\} \sqsubseteq \sqcup \{q \mid q = \text{CPre}(q)\}$$

and so, Player 0 has an observation based winning strategy to avoid Bad



$$q_0 = \top$$

$$q_1 = \{\{1, 2, 3\}_{a,b}\}$$

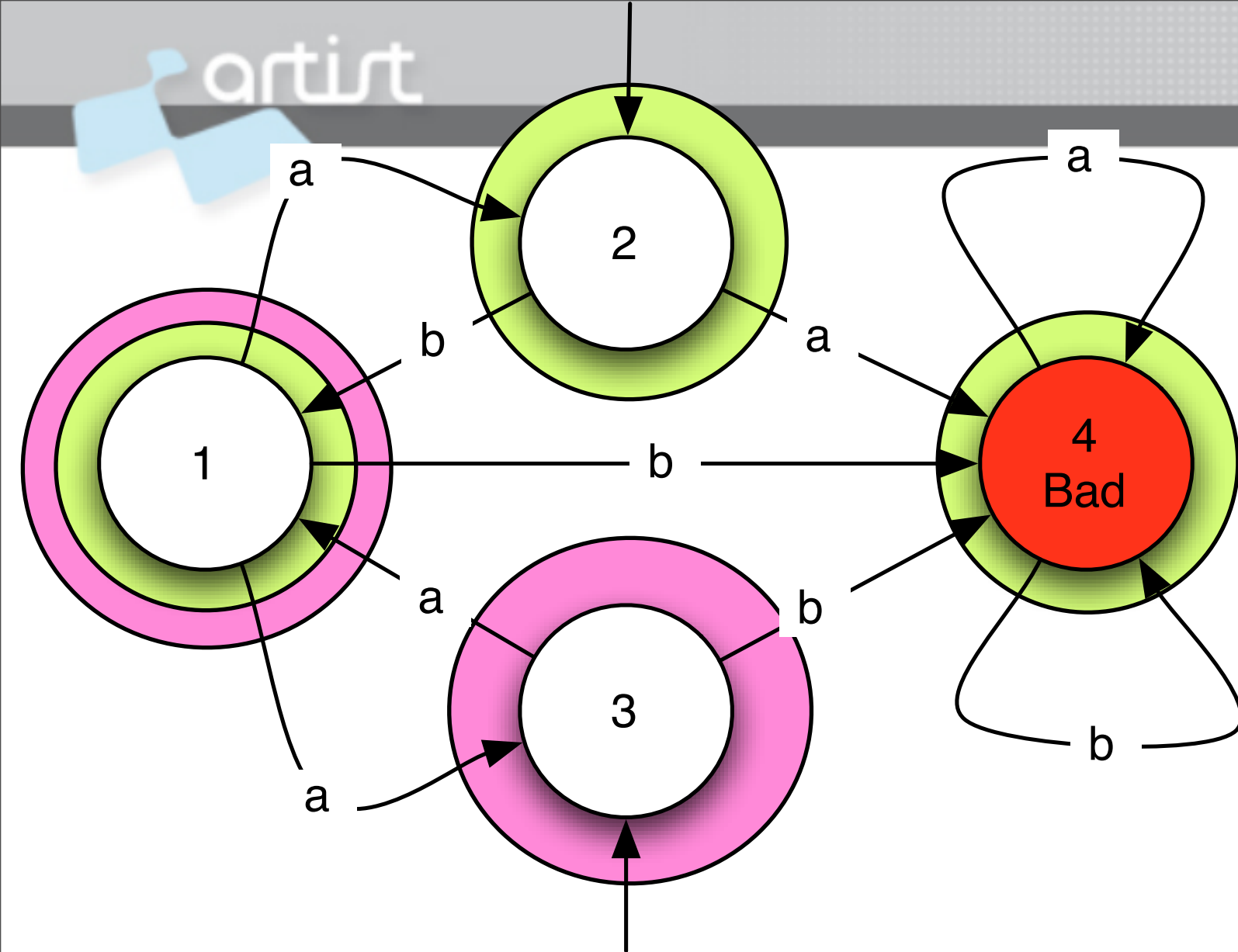
$$q_2 = \{\{2\}_b, \{1, 3\}_a\}$$

$$q_3 = \{\{1\}_a, \{2\}_b, \{3\}_a\}$$

$$q_4 = \{\{1\}_a, \{2\}_b, \{3\}_a\}$$

Fixed point

We can extract a strategy from the fixed point



$$q_0 = \top$$

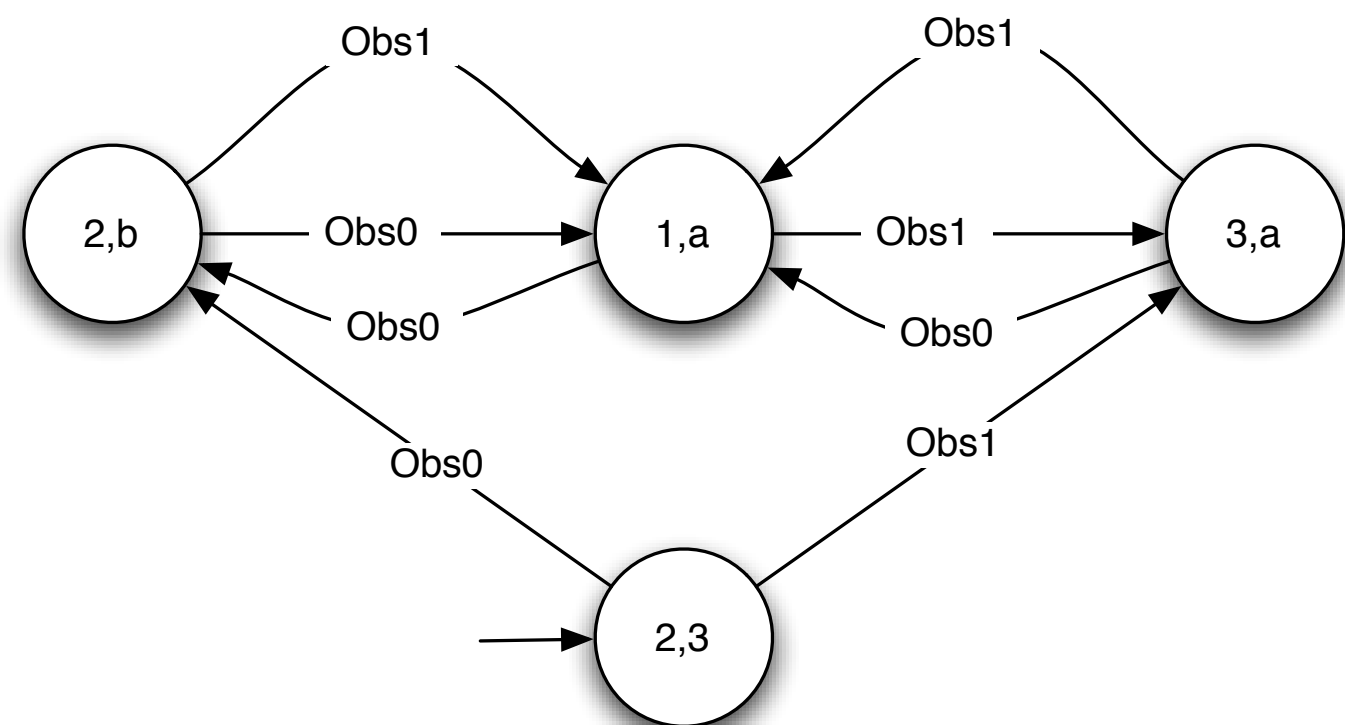
$$q_1 = \{\{1, 2, 3\}_{a,b}\}$$

$$q_2 = \{\{2\}_b, \{1, 3\}_a\}$$

$$q_3 = \{\{1\}_a, \{2\}_b, \{3\}_a\}$$

$$q_4 = \{\{1\}_a, \{2\}_b, \{3\}_a\}$$

Fixed point



Complexity for finite state games

- The imperfect information control problem is *EXPTIME-complete*
- There exist finite state games of incomplete information for which the algorithm of [Rei84] requires an exponential time where our algorithm needs only polynomial time

Complexity for finite state games

- The imperfect information control problem is *EXPTIME-complete*
- There exist finite state games of incomplete information for which the algorithm of [Rei84] requires a complexity that is exponential in the number of states. Our algorithm needs

We compute exactly what is needed to control the system for a given objective

Infinite state games

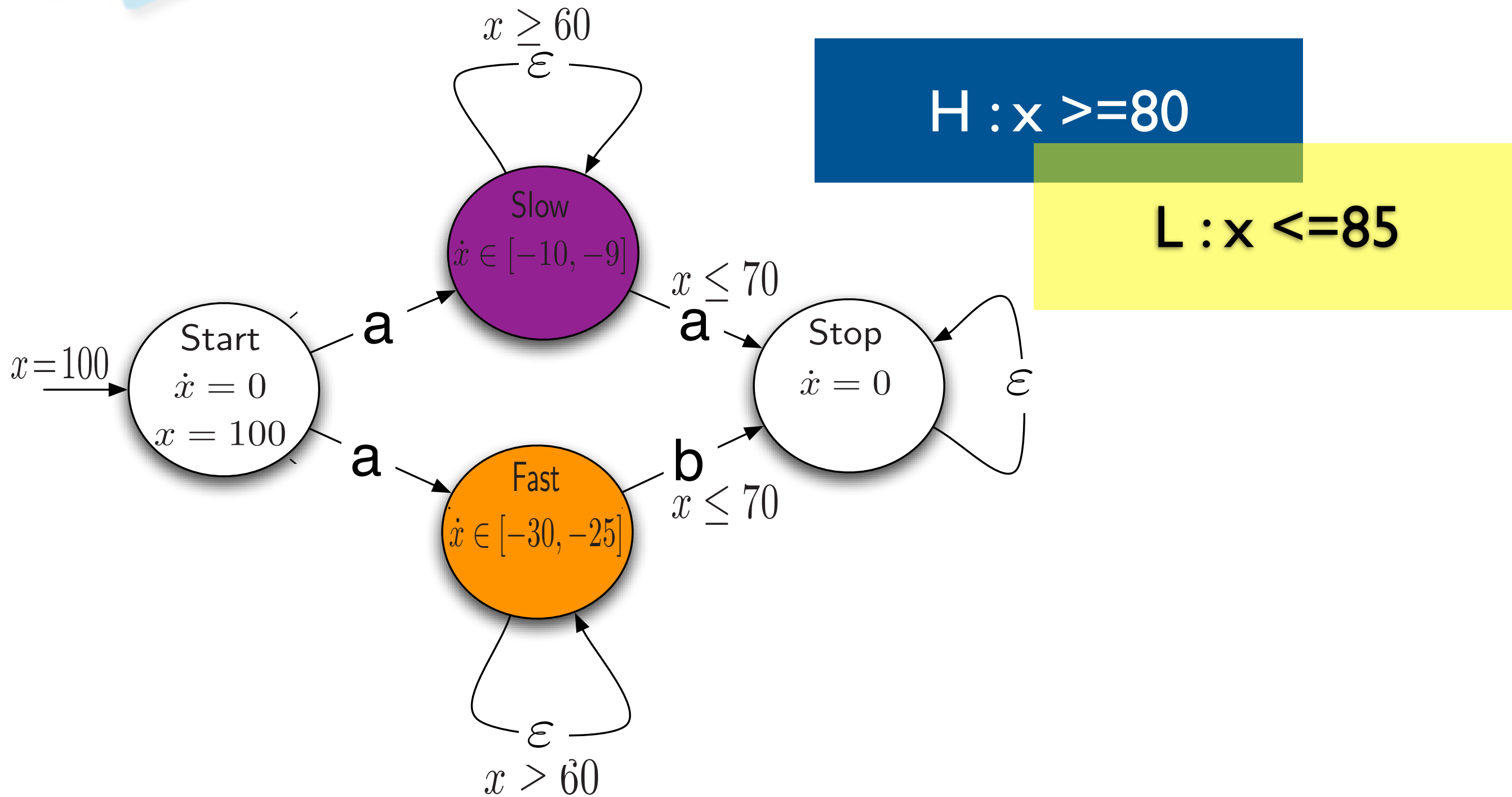
We drop the assumption that S is finite

Our fixed point algorithm will terminate **if**

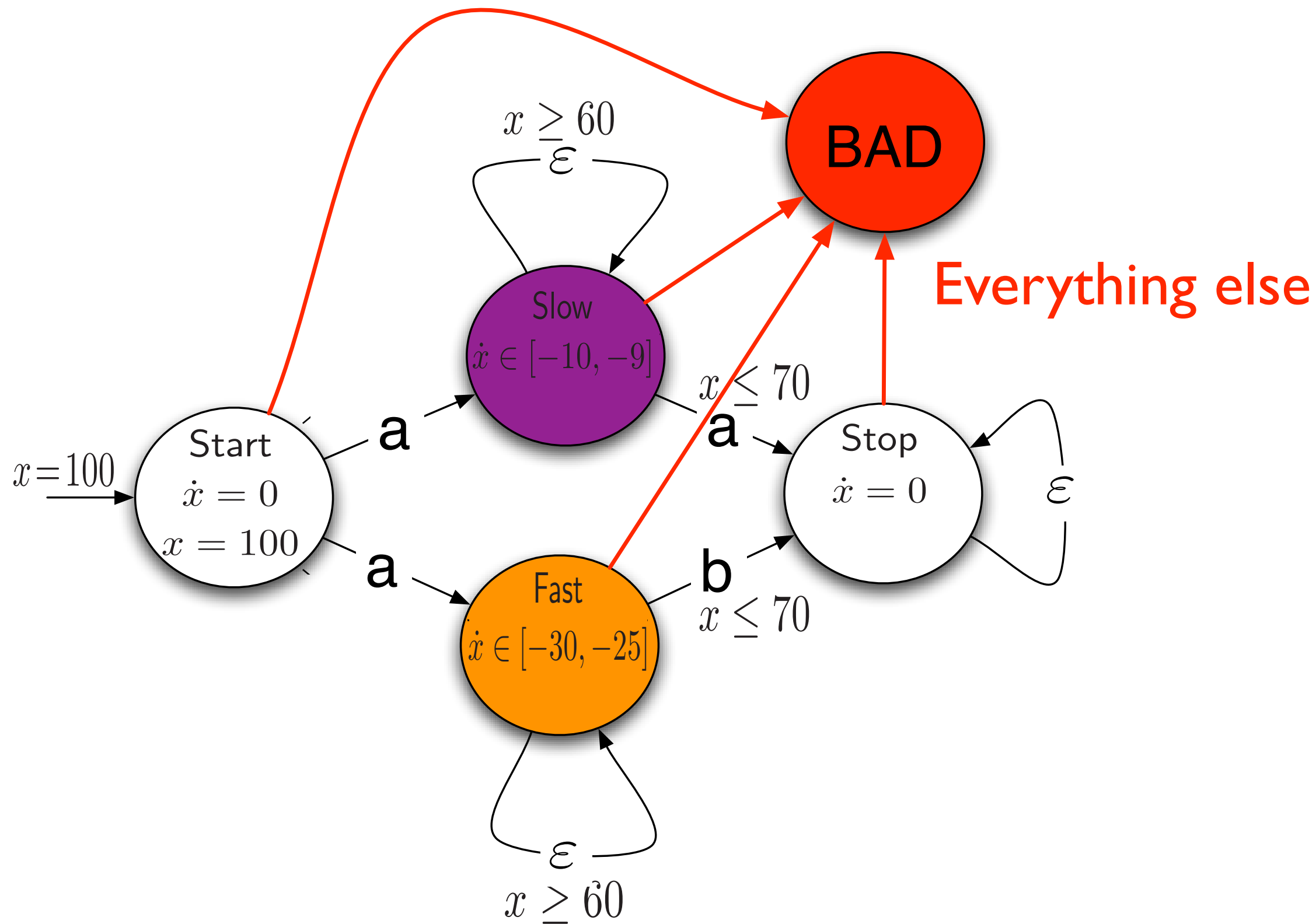
There exists a **finite quotient** of the state space

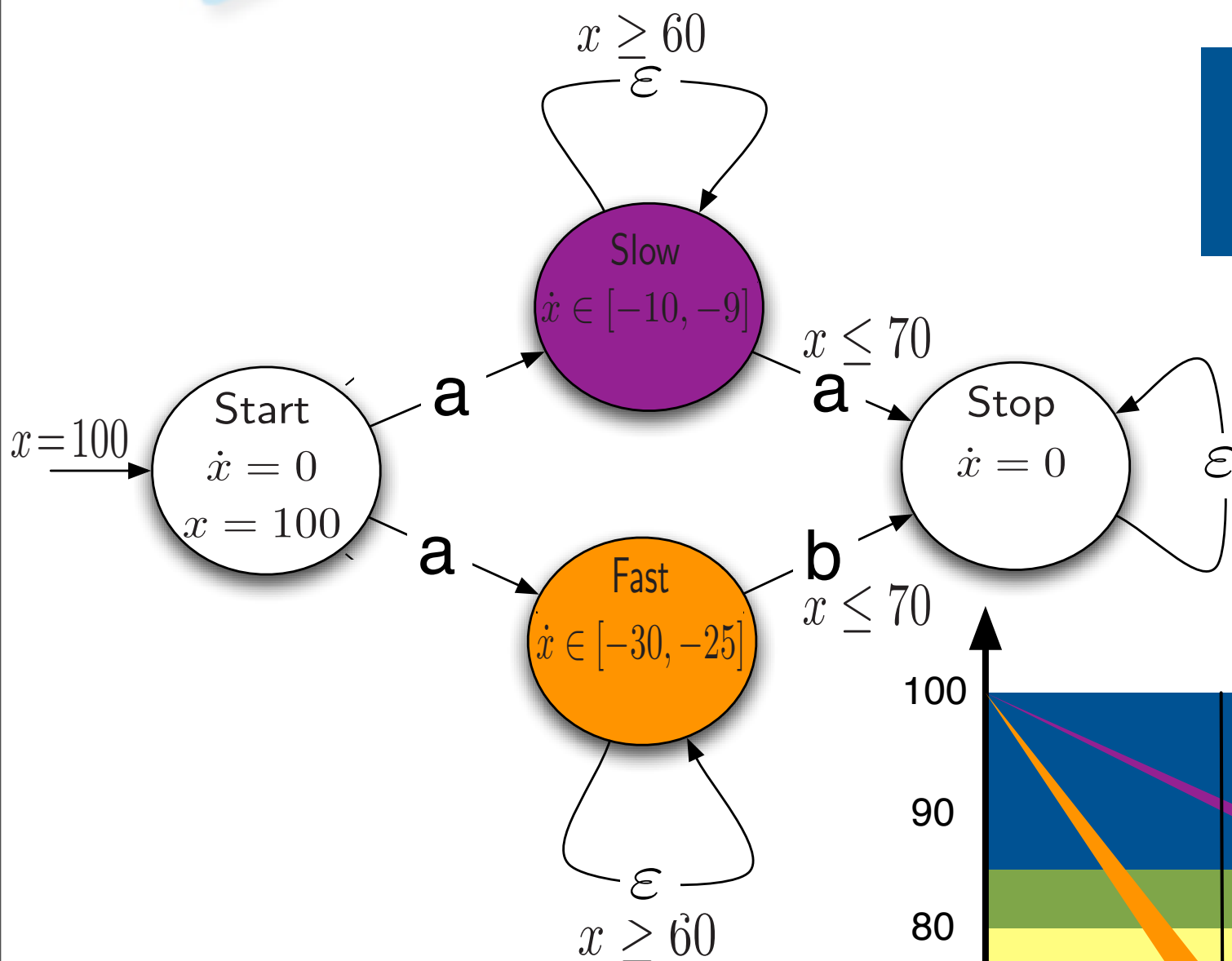
Post, Enabled, γ are **definable using this quotient**

Application : Discrete Time Control of RHA



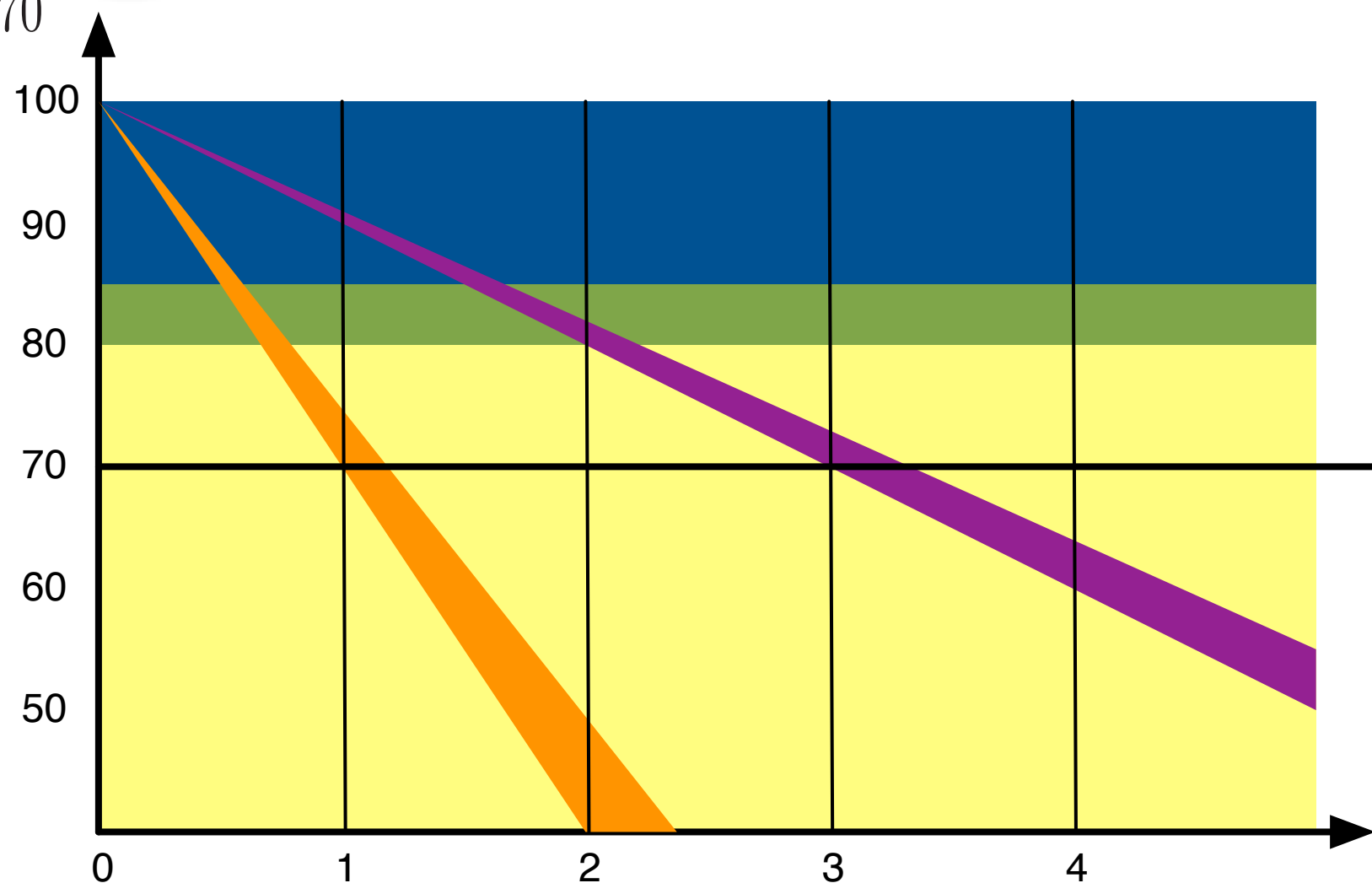
Player 1 (contr.) chooses an action every 1 time unit
 Player 2 (env.) resolves nondeterminism
 (in discrete and continuous steps).

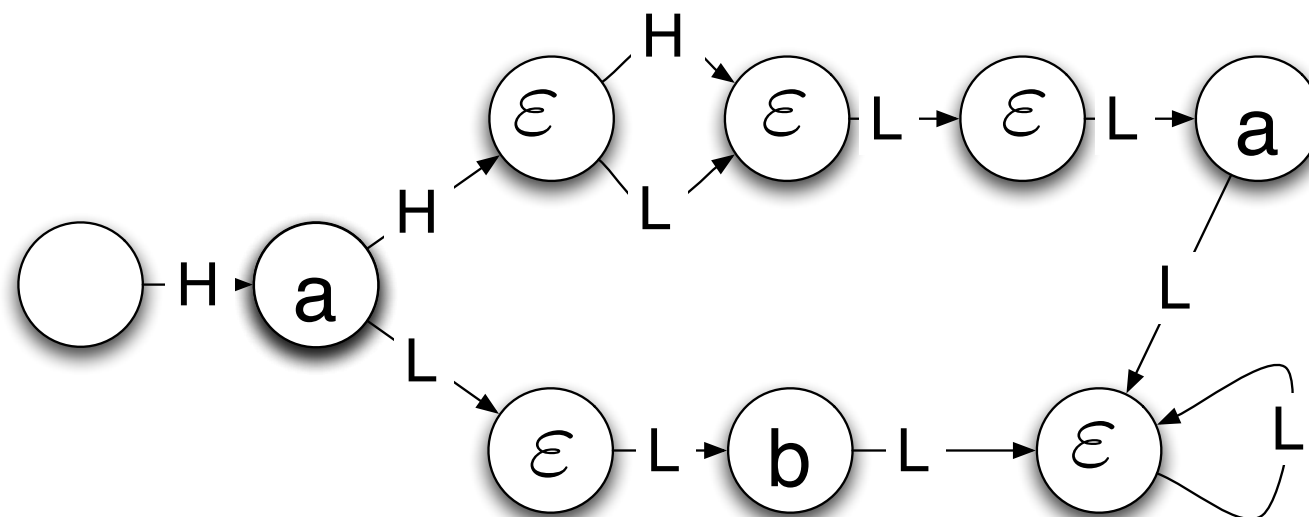
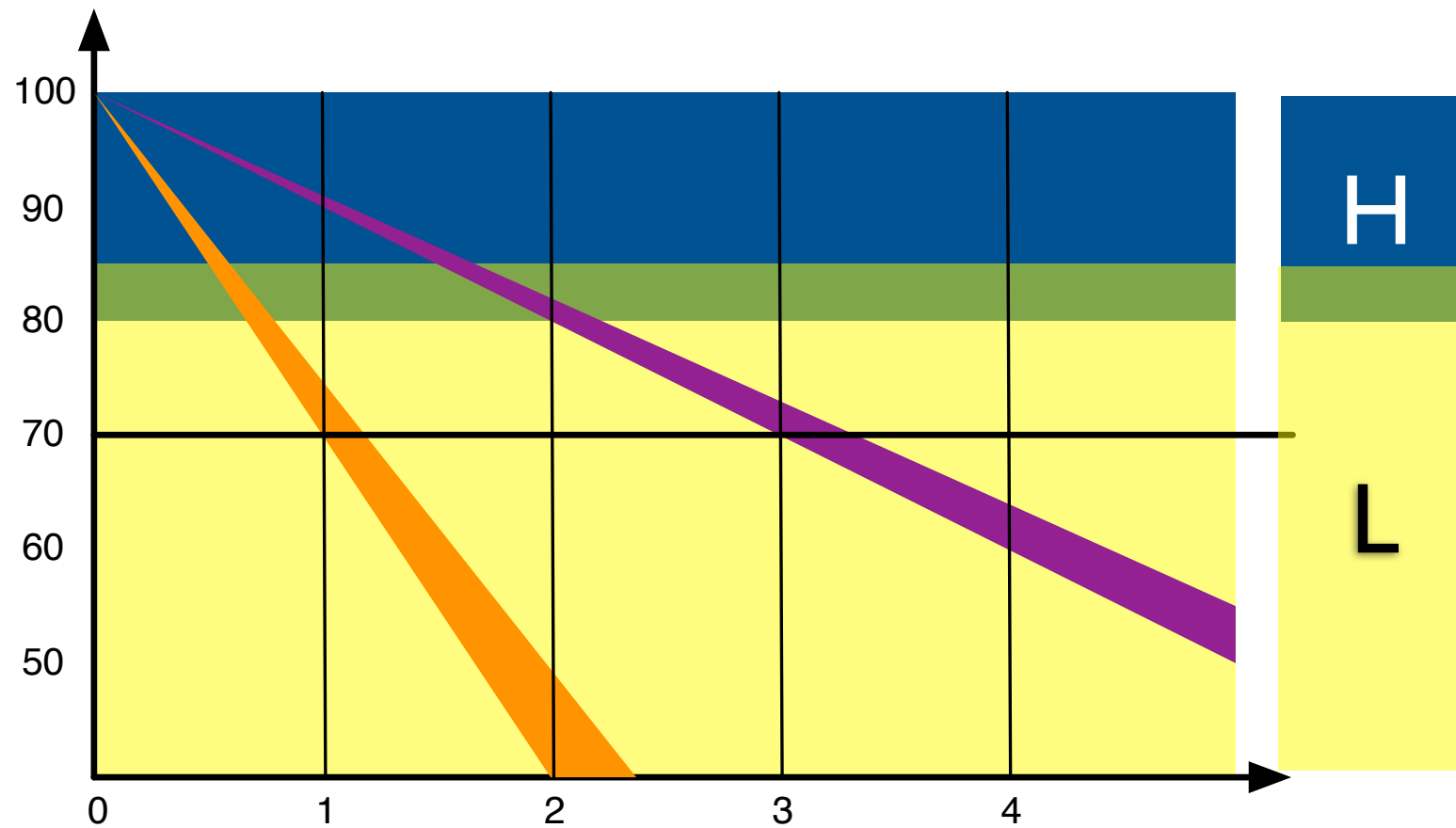
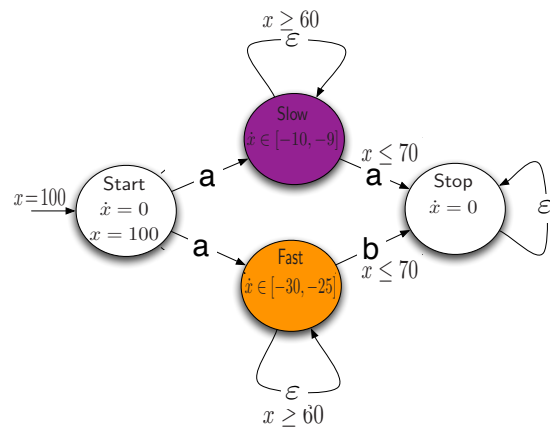




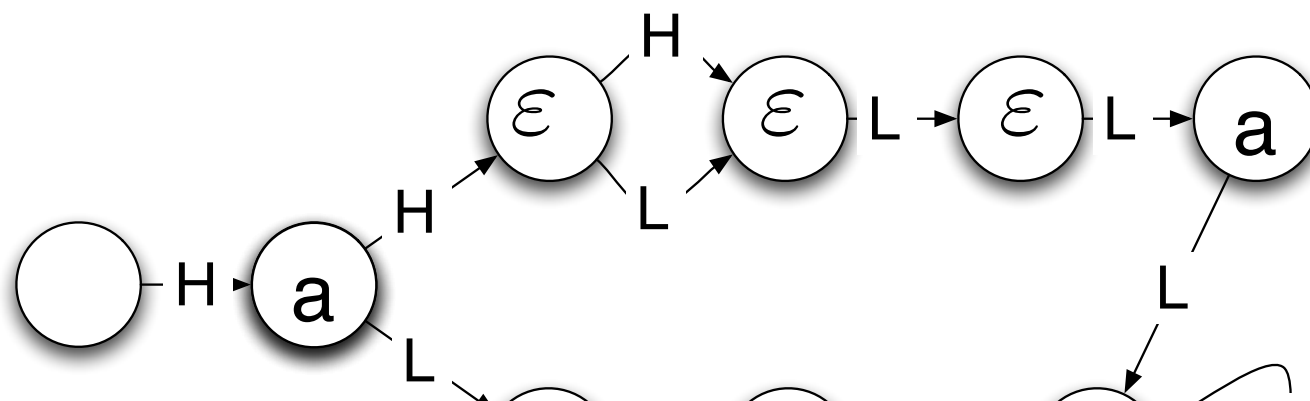
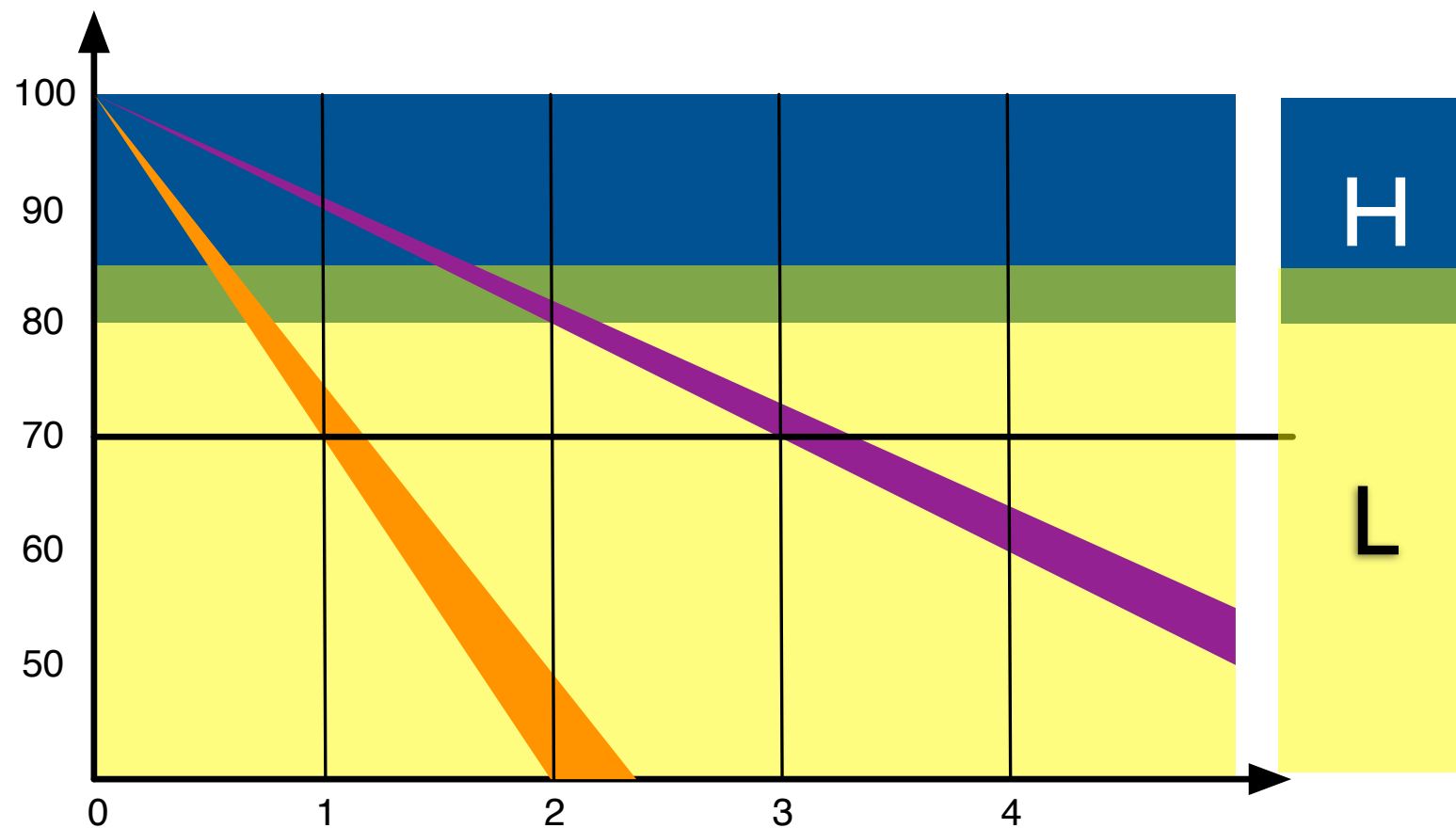
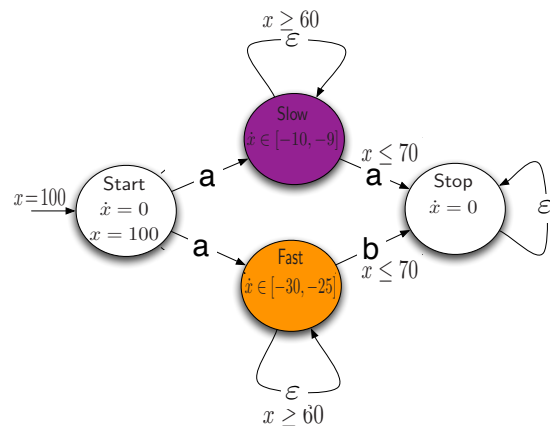
$$H : x \geq 80$$

$$L : x \leq 85$$





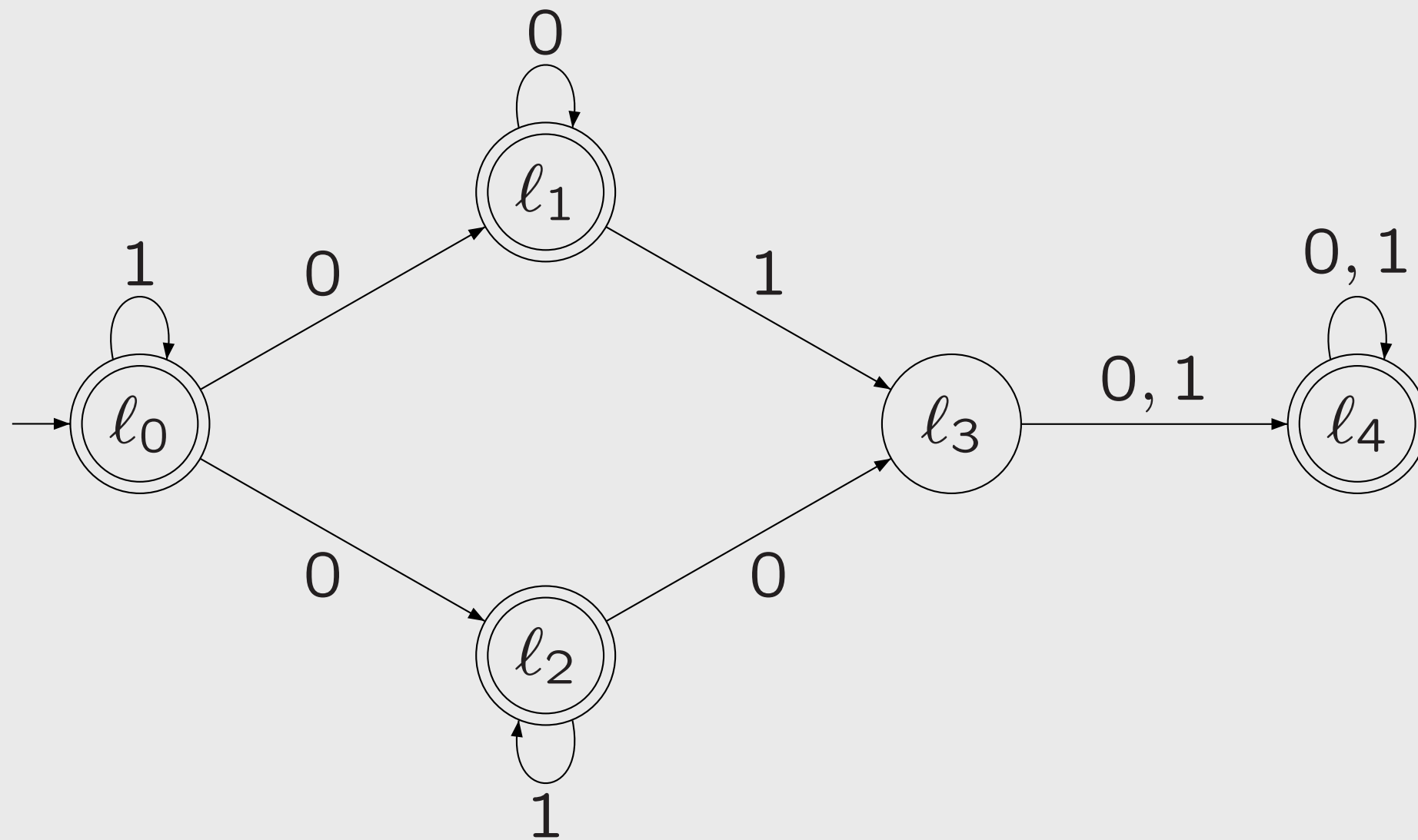
The Strategy



The symbolic CPre can be encoded in the script language of HyTech

Another application:
avoiding determinization
when testing
universability of NFA

Universality of NFA



Universality of NFA

Consider a game played by a **protagonist** and an **antagonist**

The **protagonist** wants to establish that \mathcal{A} is not universal.

The **protagonist** has to provide a finite word w such that no matter how the **antagonist** reads it using \mathcal{A} , the automaton ends up in a rejecting location.

\Rightarrow This is a **one-shot** game.

Universality of NFA

Consider a game played by a **protagonist** and an **antagonist**

The **protagonist** wants to establish that A is not universal.

The **protagonist** has to provide a finite word w such that no matter how the **antagonist** reads it using A , the automaton ends up in a rejecting location.

\Rightarrow This is a **one-shot** game.

The game is turn-based: the **protagonist** provides the word w one letter at a time, and the **antagonist** updates the state of A . The **protagonist cannot observe** the state chosen by the **antagonist**.

\Rightarrow This is a **blind** game (or game of null information).

Let $\mathcal{A} = \langle \text{Loc}, \ell_I, \Sigma, \delta_A, F \rangle$.

Consider the following **controllable predecessor operator** over sets of sets of locations. For $q \subseteq 2^{\text{Loc}}$, let:

$$\text{CPre}(q) = \{s \mid \exists s' \in q \cdot \exists \sigma \in \Sigma \cdot \forall \ell \in s \cdot \forall \ell' \in \text{Loc} : \delta_A(\ell, \sigma, \ell') \rightarrow \ell' \in s'\}$$

So $s \in \text{CPre}(q)$ if there is a set $s' \in q$ that is reached from any location in s , reading input letter σ , that is $\text{Post}_\sigma(s) \subseteq s'$.

\implies CPre encodes the **blindness** of the game.

Let $\mathcal{A} = \langle \text{Loc}, \ell_I, \Sigma, \delta_A, F \rangle$.

Theorem:

$\{\ell_I\} \in \mu x. (\text{CPre}(x) \cup \{T\})$
iff
Protagonist has a strategy to win G_T
iff
 \mathcal{A} is not universal

Claim: For $s_1 \subseteq s_2$, if $\text{Post}_\sigma(s_2) \subseteq s'$ then $\text{Post}_\sigma(s_1) \subseteq s'$
and if $s_2 \in \text{CPre}(\cdot)$, then $s_1 \in \text{CPre}(\cdot)$

Idea: Keep in $\text{CPre}(x)$ only the **maximal** elements.

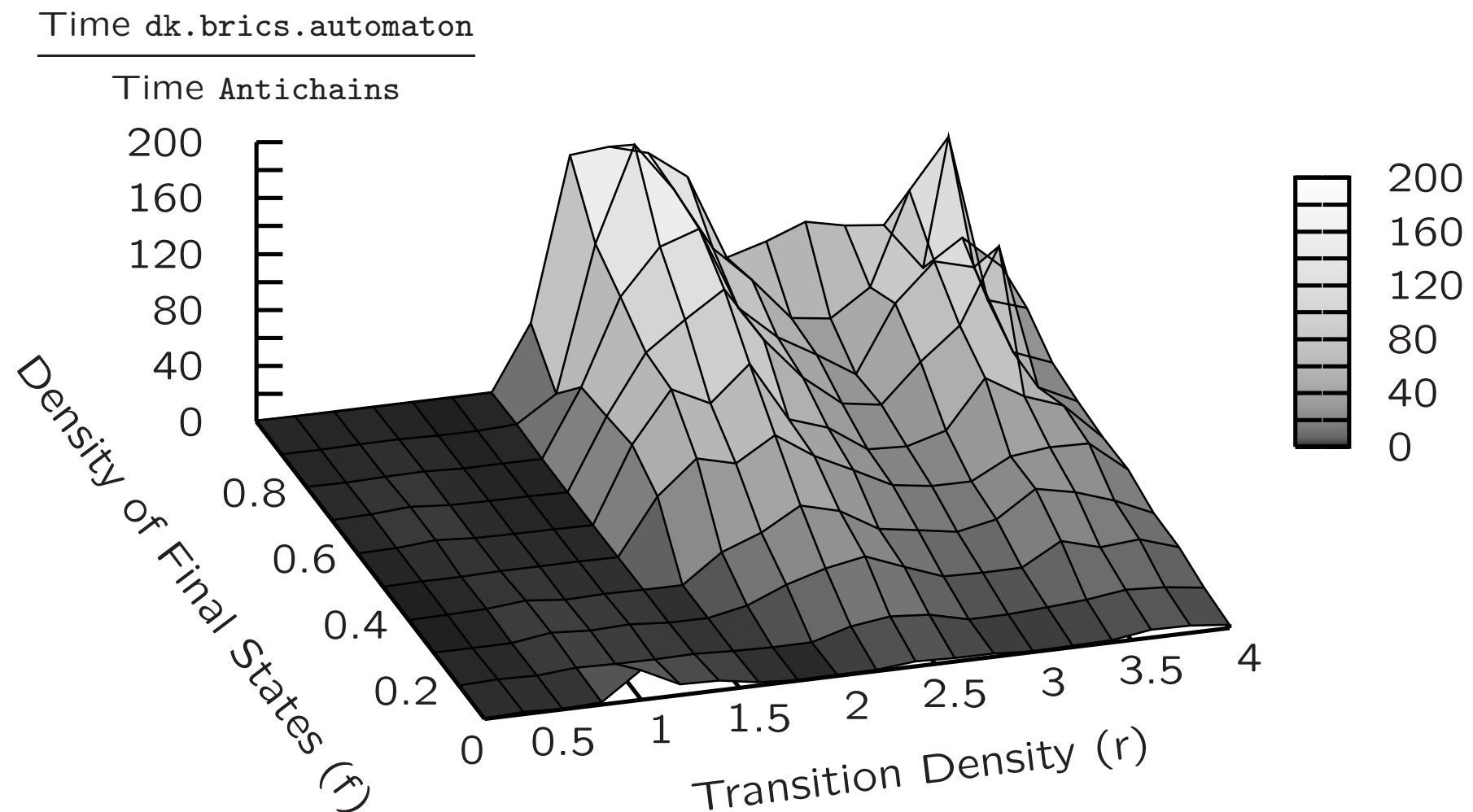
Universality - Experimental results (1)

- We compare our algorithm `Antichains` with the best⁽¹⁾ known algorithm `dk.brics.automaton` by Anders Møller.

(1) According to "*D. Tabakov, M. Y. Vardi. Experimental Evaluation of Classical Automata Constructions. LPAR 2005*".

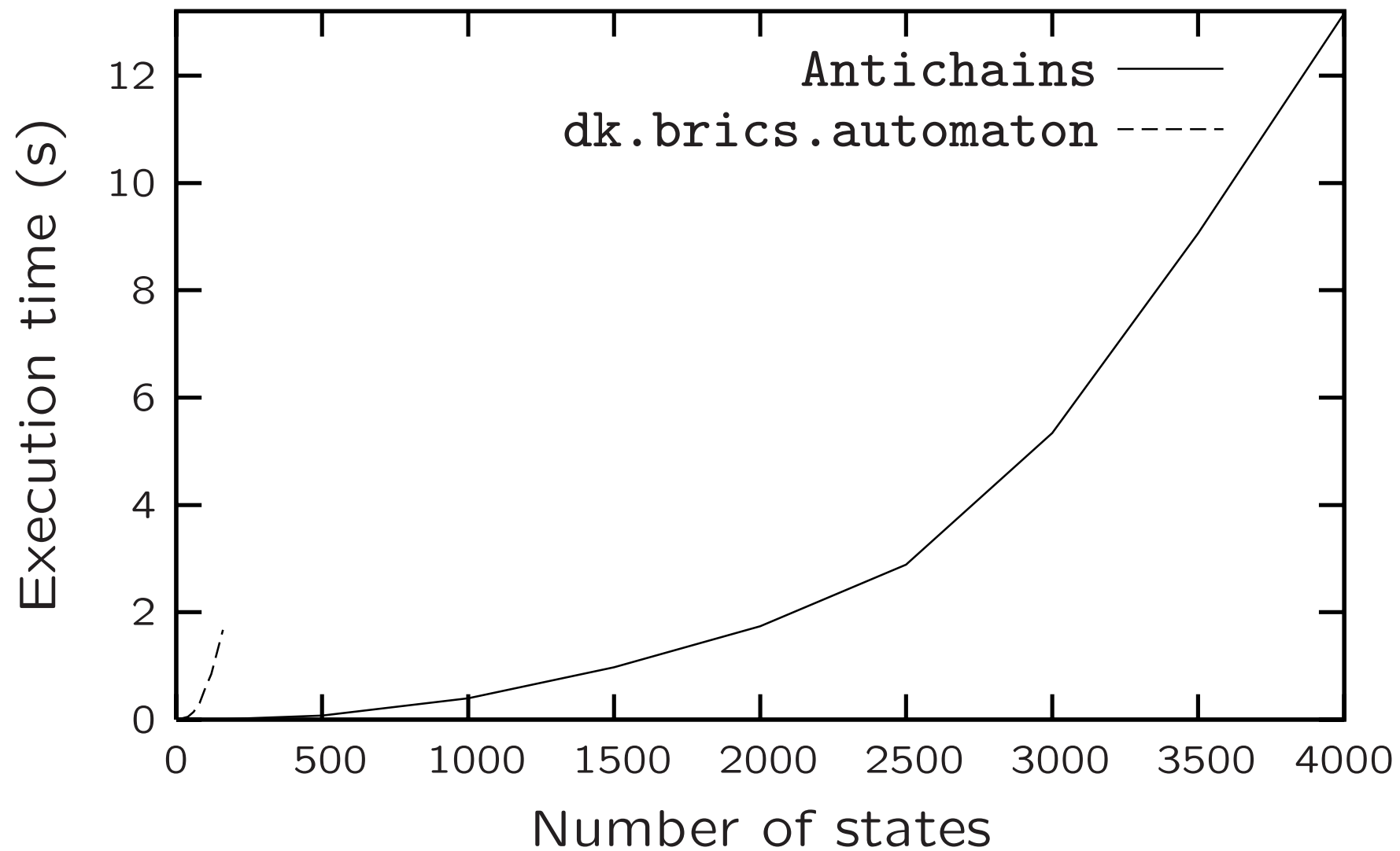
- We use a randomized model to generate the instances (automata of 175 locations). Two parameters:
 - Transition density: $r \geq 0$
 - Density of accepting states: $0 \leq f \leq 1$

Universality - Experimental results (2)



Each sample point: 100 automata with $|\text{Loc}| = 175$, $\Sigma = \{0, 1\}$.

Universality - Experimental results (3)



- Transition density: $r = 2$.
- Density of accepting states: $f = 1$.

Works also for

- *language inclusion* between NFA
- *emptiness* of AFA
- See proceedings of CAV 2006

(joint work with Martin De Wulf, Laurent Doyen and Tom Henzinger)

Conclusion/Perspectives

- Winning strategies are controllers. We review a **lattice theory** to solve games.
- We have extended this theory for games of imperfect information, those games are needed to make the synthesis of **robust controllers** (= finite precision).
- Our technique computes only the information that is needed to find a winning strategy, i.e. we **avoid** the explicit subset construction.
- Applicable to **discrete time control** of RHA and useful to solve efficiently **classical problems** for NFA and AFA.
- Perspectives : continuous time control, finite automata on infinite words, efficient implementation issues, etc.

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