



## ARTIST2 Summer School 2008 in Europe

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# Implementation of Control Systems in Resource-Constrained Embedded Systems

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# Outline

- *In*
- *T*
- *R*
- *E*
- *F*
- *C*





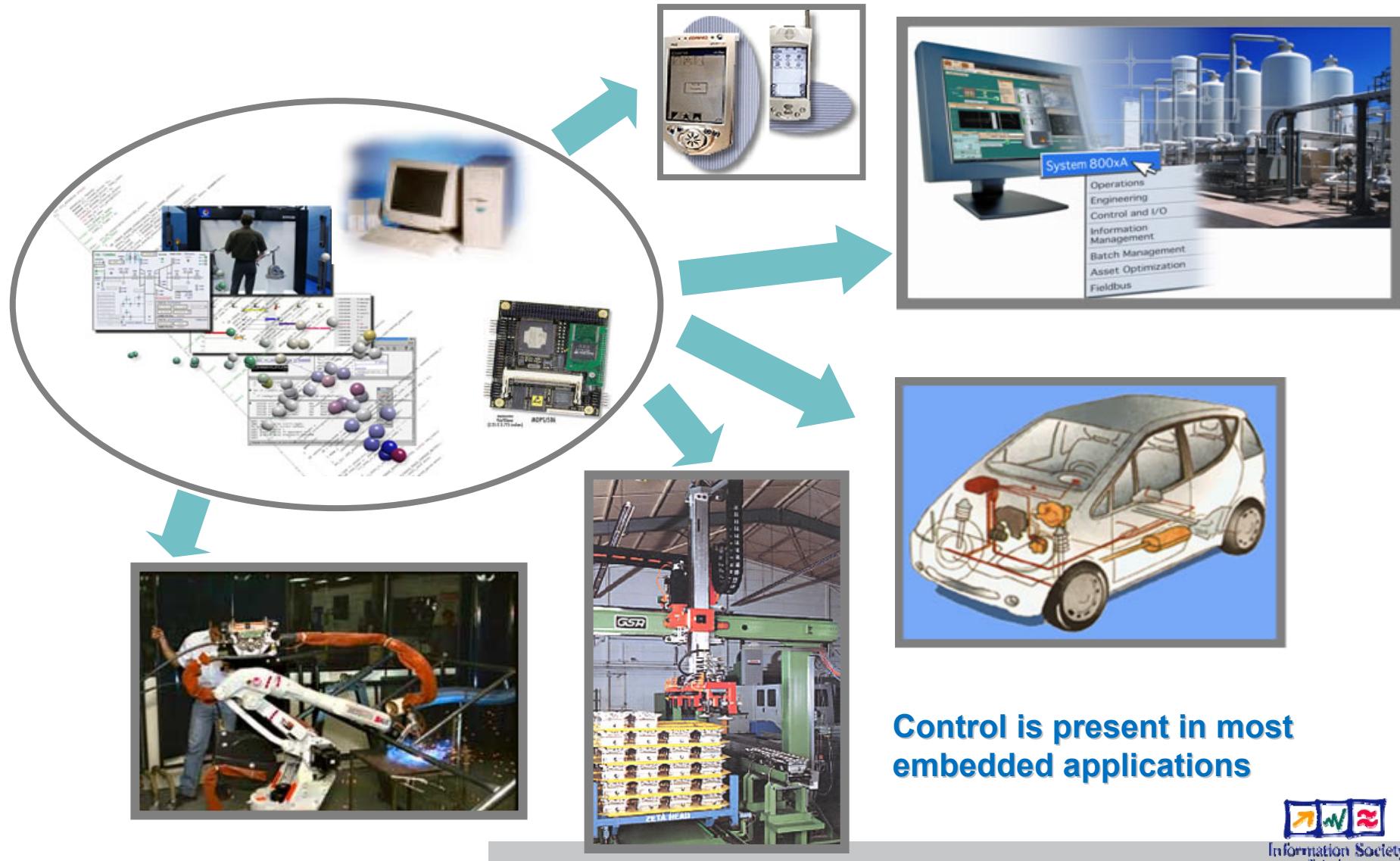
# Introduction



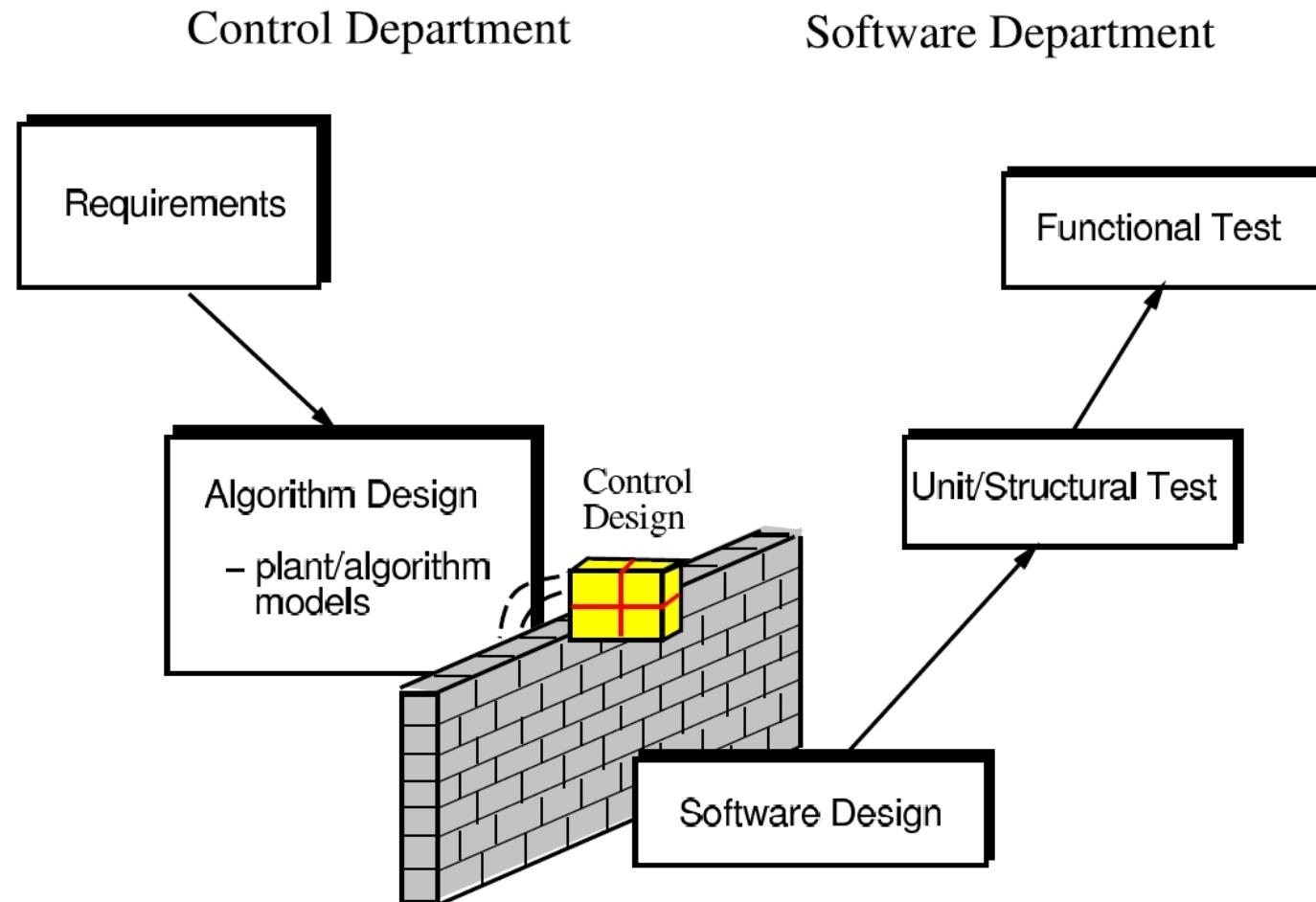
## Why Control in Artist2?

- Important application area for RT embedded systems
  - Many embedded systems are control systems
  - Motivation for real-time systems
- Embedded control systems have special characteristics and challenges
- Control is a basic technology for managing uncertainty that also can be used to generate robustness and performance also in embedded systems

# Embedded systems



# Industrial Control System Development



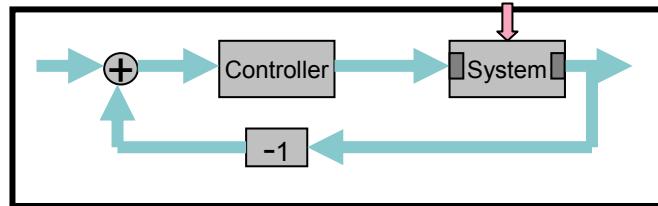
# Problems

- The control engineer does not care about the implementation
  - “**trivial**”
  - “**buy a fast computer**”
- The software engineer does not understand controller timing
  - “ $\tau_i = (T_i, D_i, C_i)$ ”
  - “**hard deadlines**”
- Little mutual understanding

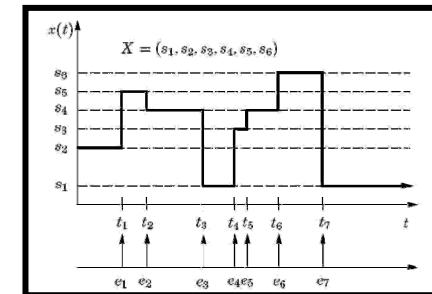


# Different Types of Control

## Continuous



## Discrete



- Time-driven
- Deterministic (periodic) sampling
- Jitter and latency

- Event-driven
- Reactive
- Finite state machines



## Hybrid Control

# Embedded Control Characteristics

What distinguishes embedded control?

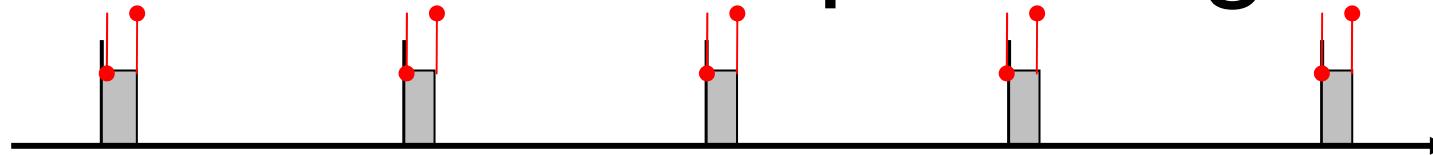
- **Limited computing and communication resources**
  - CPU time, communication bandwidth, memory, energy, ...
- **Autonomous operation**
  - No human "operator"
  - Complex functionality
  - Often large amounts of software
  - Need for formal approaches
  - Need for design methodology



# Embedded Control Characteristics

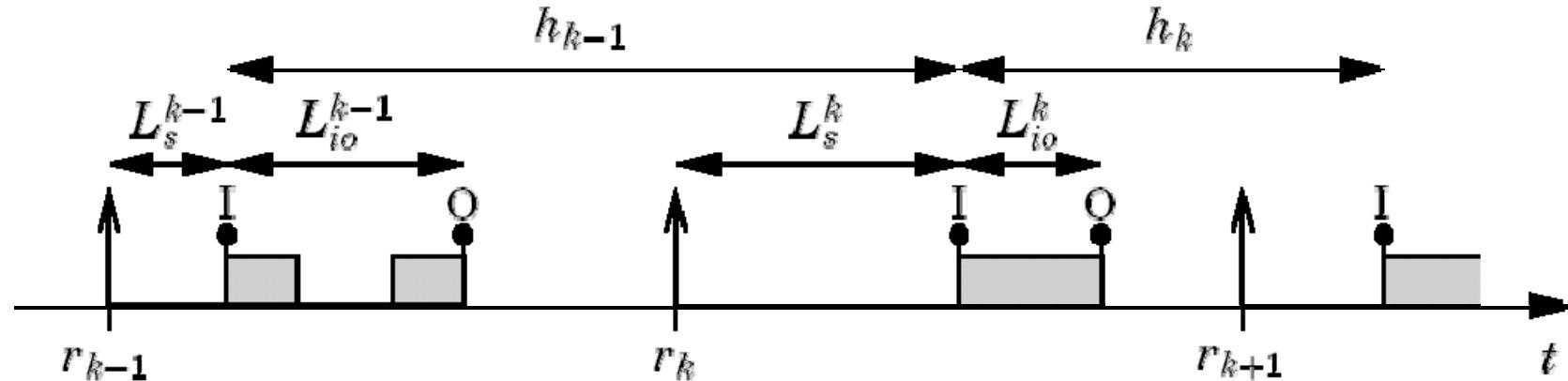
- Limited resources → **Efficiency**
  - Code-size efficient
  - Run-time efficient
  - Energy efficient
  - Size and weight efficient
  - Cost efficient
- Autonomous operation → **Dependability**
  - Reliability
  - Maintainability
  - Availability
  - Safety
  - Security

# Control Loop Timing



- Classical control normally assumes periodic sampling
  - too long sampling interval or too much jitter give poor performance or instability
- Classical control assumes negligible or constant input-output latency (from sampling! to actuation!)
  - if the latency is small compared to the sampling interval it can be ignored
  - if the latency is constant it can be included in the control design
  - too long latency or too much latency jitter give poor performance or instability
- Not always possible to achieve with limited computing resources that are shared with other applications

## Networked Embedded Control Timing

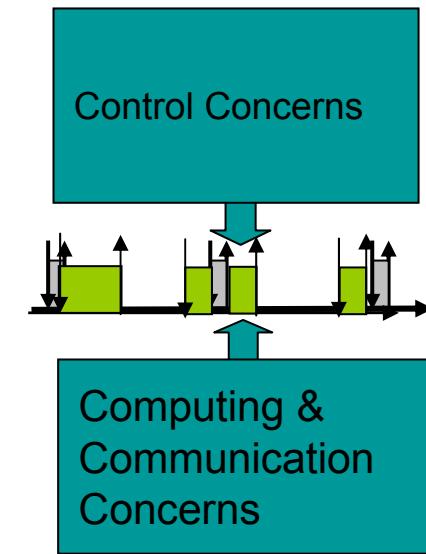


- Embedded control often implies temporal non-determinism
  - resource sharing
  - How should we handle this?
- Networked control often implies temporal non-determinism
  - network interface delay, queuing delay, transmission delay, propagation delay, link layer resending delay, transport layer ACK delay, ...
  - lost packets

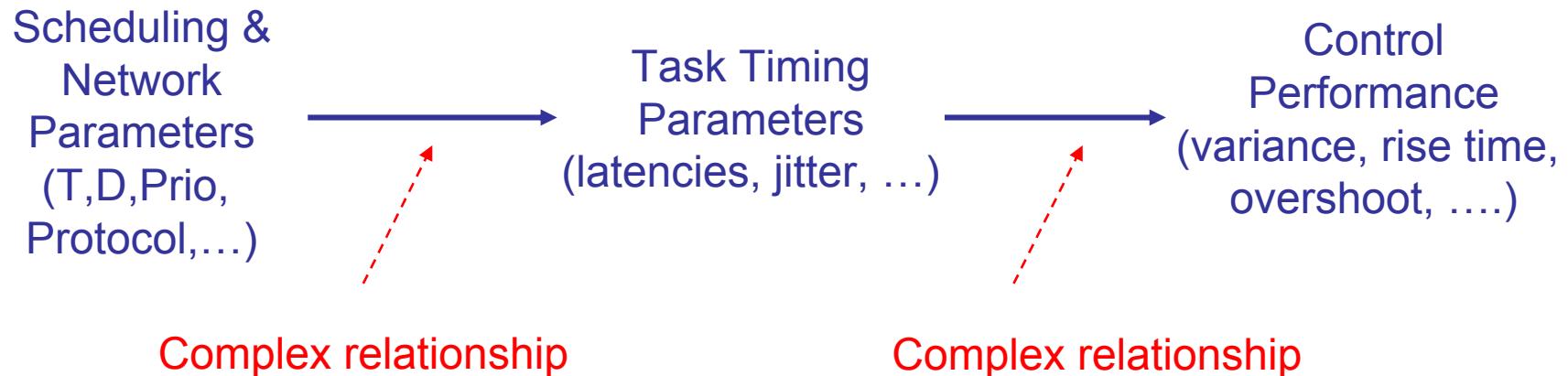


# Design Approaches

- Separation-of-concerns
  - Time-triggered and synchronous approaches
  - Simple, deterministic, dependability, ...
  - But, difficult to achieve in practice due to
    - Lack of resources
    - Incorrect assumptions
    - Technology incompatibility
- Integration
  - Optimize performance subject to limited resources
  - Codesign of control computing and communication
    - Implementation-aware control techniques
    - Control-aware computing and communication techniques
    - New analysis and design tools



# Control Performance



- In general:
  - sampling jitter has a negative effect on performance
  - a short latency is better than a long latency
  - latency jitter is bad, but a short jittery latency is in most cases better than a longer constant latency, also if the latter is compensated for
- However, anomalies exists



# Control Design Methodology

## 1. Maximize temporal determinism

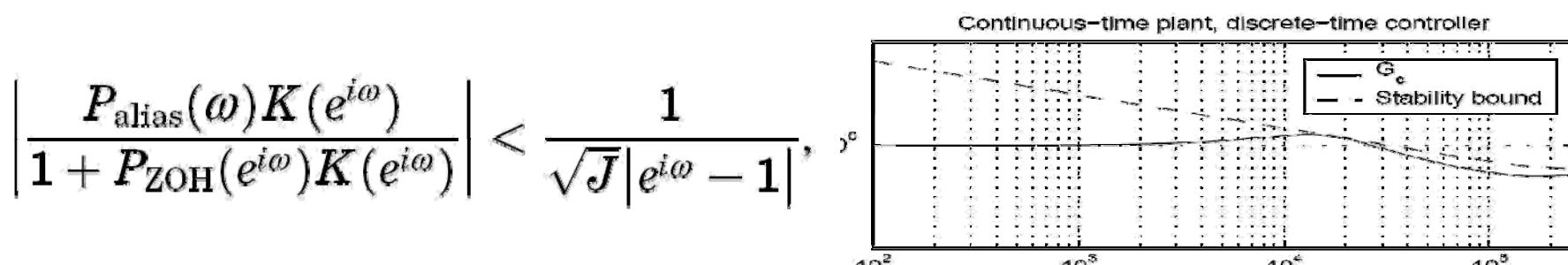
- Time-triggered implementation techniques
  - static scheduling, TDMA protocols, ...
  - **but**, inflexible, scalability problems, ....
- Event-triggered approaches
  - dynamic scheduling techniques (RMA, EDF)
  - **but**,
    - often disregards latency jitter
    - seldom any concern for best-case and average-case values
- Buffering schemes to achieve constant latencies
  - buffers at the actuator nodes in networked control loops
  - one sample latency for control tasks
- Estimation of signal values at unavailable time points
- ....

# Control Design Methodology

1. ....

## 2. Temporal Robustness Analysis

- Apply analysis techniques that determine how much temporal non-determinism that the control loop can tolerate
- Use this information to relax the requirements on the implementation platform
- E.g. The Jitter Margin
  - A measure of how much time-varying input-output latency a control loop can tolerate before becoming unstable





# Control Design Methodology

1. ....
2. ....

## 3. Off-line (Passive) Compensation

- design the controller to be robust towards the timing variations using the knowledge about the timing variations that is available at design-time
  - mean value
  - variance
  - max/min values
  - distributions,
  - ....
- example:
  - a controller that compensates for average i-o latency



# Control Design Methodology

1. ....
2. ....
3. ....

## 4. Online (Active) Compensation

- dynamic compensation based on measurements
- Example:
  - Controller where the parameters are expressed as function of the sampling interval (gain-scheduling)



# Task Models for Control

- **Periodic task  $\tau_i = (C_i, D_i, T_i)$  with hard deadlines**
  - The classical
  - Does not take the internal structure of the task into account
  - Does not allow for occasional overruns
- **Subtask models**
  - Two parts: CalculateOutput and UpdateStates
  - Four parts: Sampling, CalculateOutput, Actuation, UpdateStates
  - Minimize input-output latency
- **Firm task models**
  - E.g. (m,k) models
  - Allow for occasional overruns
- **Elastic task models**
  - Models how the control performance depends on the size of the overruns
- **Imprecise task models**
  - Mandatory part + optional “anytime” part
  - Suitable for controllers that do online optimization, e.g., MPC
- ....



# Other Issues

- Safety & Fault-tolerance
  - Feedback often safety-critical
- Security
  - E.g. wireless control makes security highly relevant also in embedded control
- Verification
  - Control loops involve both physical parts, hardware, and software
  - Necessary to verify the operation of the entire system, not only the software → Hybrid verification
- Component technology
  - Used a long time in industrial automation (e.g., IEC 61131-3)
  - The need to minimize input-output latency puts important requirements on component frameworks, e.g., AUTOSAR – not always well understood



# Control Kernel



Information Society  
Technologies

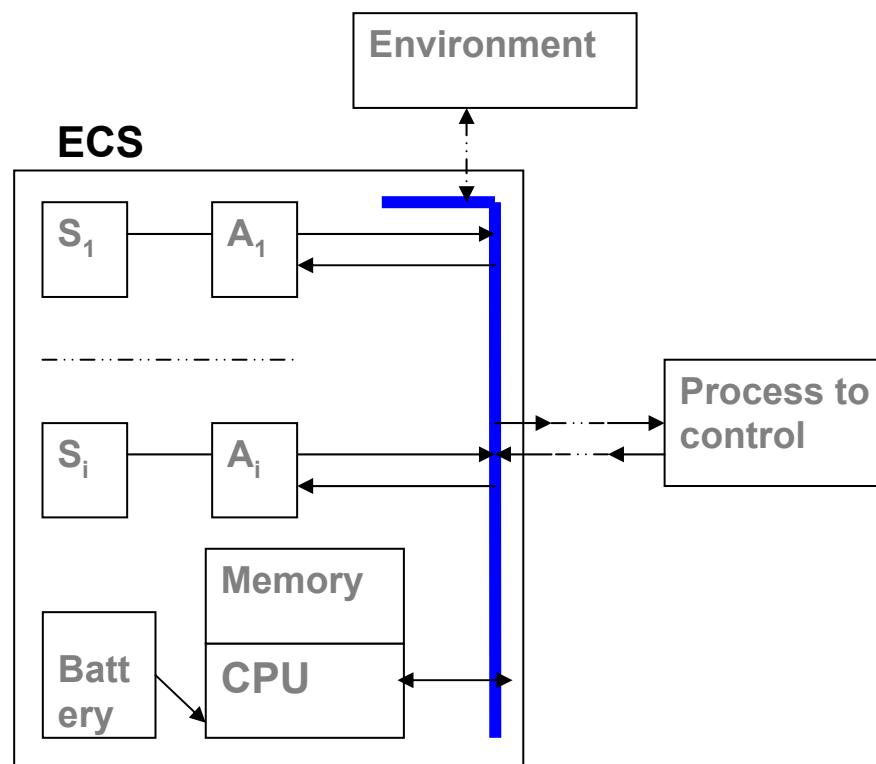
# Main Concerns in Computer Control

- Unavoidable delays between sampling & updating
- Sampling period may be changed
- Signal transmission may be delayed (or missing)
- Time sequencing may depend on other tasks
- Additional tasks may change the allocated resources:  
computation time, memory, data access

## as a result →

- ✓ Non conventional sampling/updating pattern
- ✓ Delays and missing data
- ✓ Modes and sampling rate changes (alternatives)

# ECS Operating Conditions



- ✓ Multiloop control
- ✓ No regular sampling
- ✓ Information loss
- ✓ CPU optimization
- ✓ Variable delays
- ✓ Sampling period changes
- ✓ Mode changes
- ✓ Fault tolerant
- ✓ Battery control
- ✓ **Safe operation**

ECS Design →

# ECS: Control Algorithm viewpoint

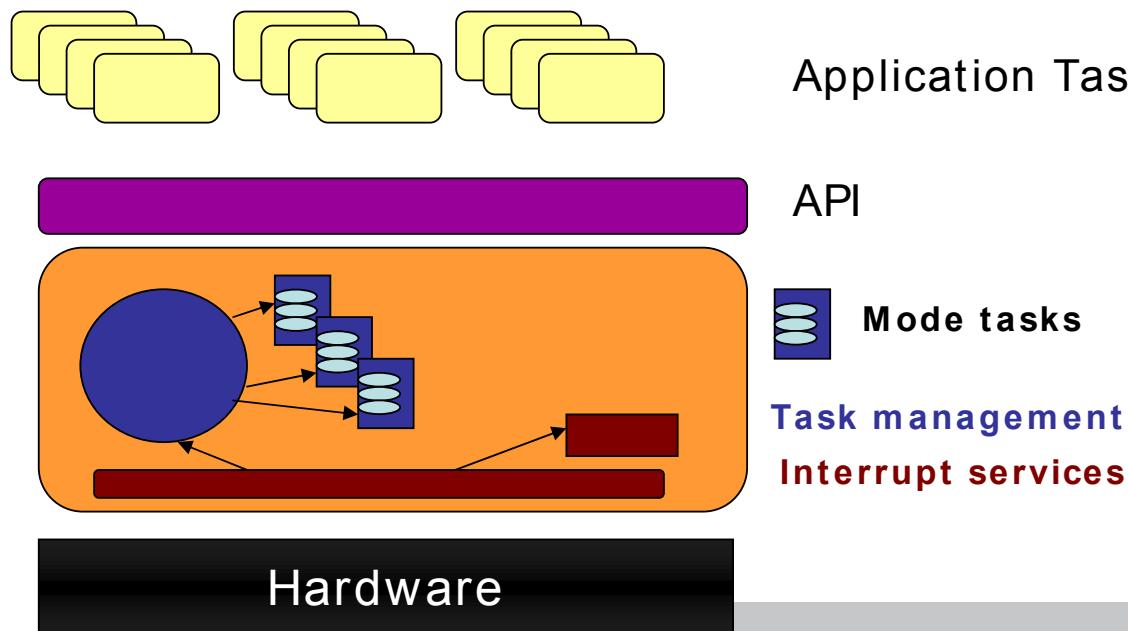
- Reduced order models
- Non-conventional sampling and updating patterns
  - Missing data control
  - Event-triggered control
- Decision and supervisory control
  - Hybrid control systems
  - Multimode control
  - Sampling rate changes
- Fault-tolerant control
- Degraded and back-up (safe) control strategies
- Battery monitoring and control

# Kernel Concept

## OS kernel:

- Basic services:
  - Task and time management
  - Interrupt handling
  - Interface to the applications (API)
  - Mode changes
  - Fault tolerance

## OS Kernel structure



- |                           |  |
|---------------------------|--|
| <b>Application Tasks</b>  | <b>Additional services</b>   |
| <b>API</b>                | <ul style="list-style-type: none"><li>✓ File management</li><li>✓ Quality of service</li><li>✓ Tracing and debugging</li></ul> |
| <b>Mode tasks</b>         |  |
| <b>Task management</b>    |  |
| <b>Interrupt services</b> |  |

# OS Kernel for control

The OS Kernel provides the minimal services that should be included in any embedded **control** system.

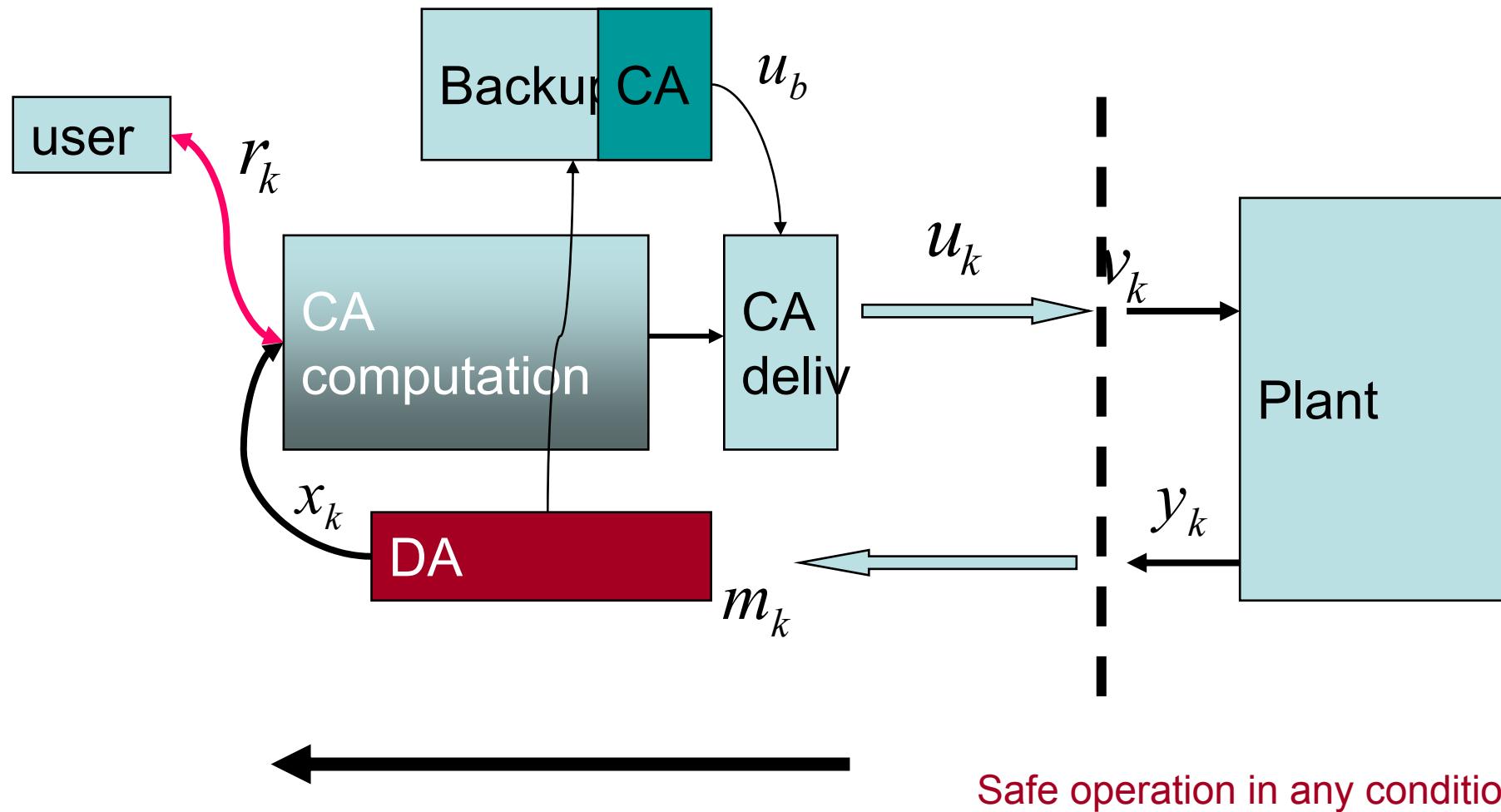
- **Fault tolerance**

- Degrade task activity (when a task does not guarantee some timing constraints, the degraded behavior is executed)
- Change mode events raised when some faults can not be managed.

- **Mode changes**

- Mode definition (set of tasks associated to a mode)
- Mode change events (event to change from one mode to another)
- Mode change protocol

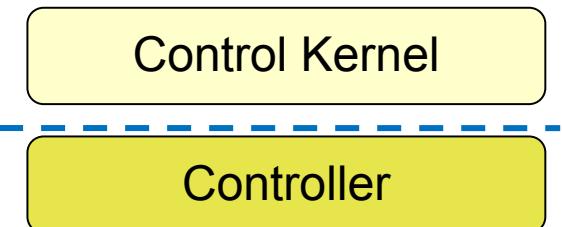
# The control kernel concept





## Control Kernel

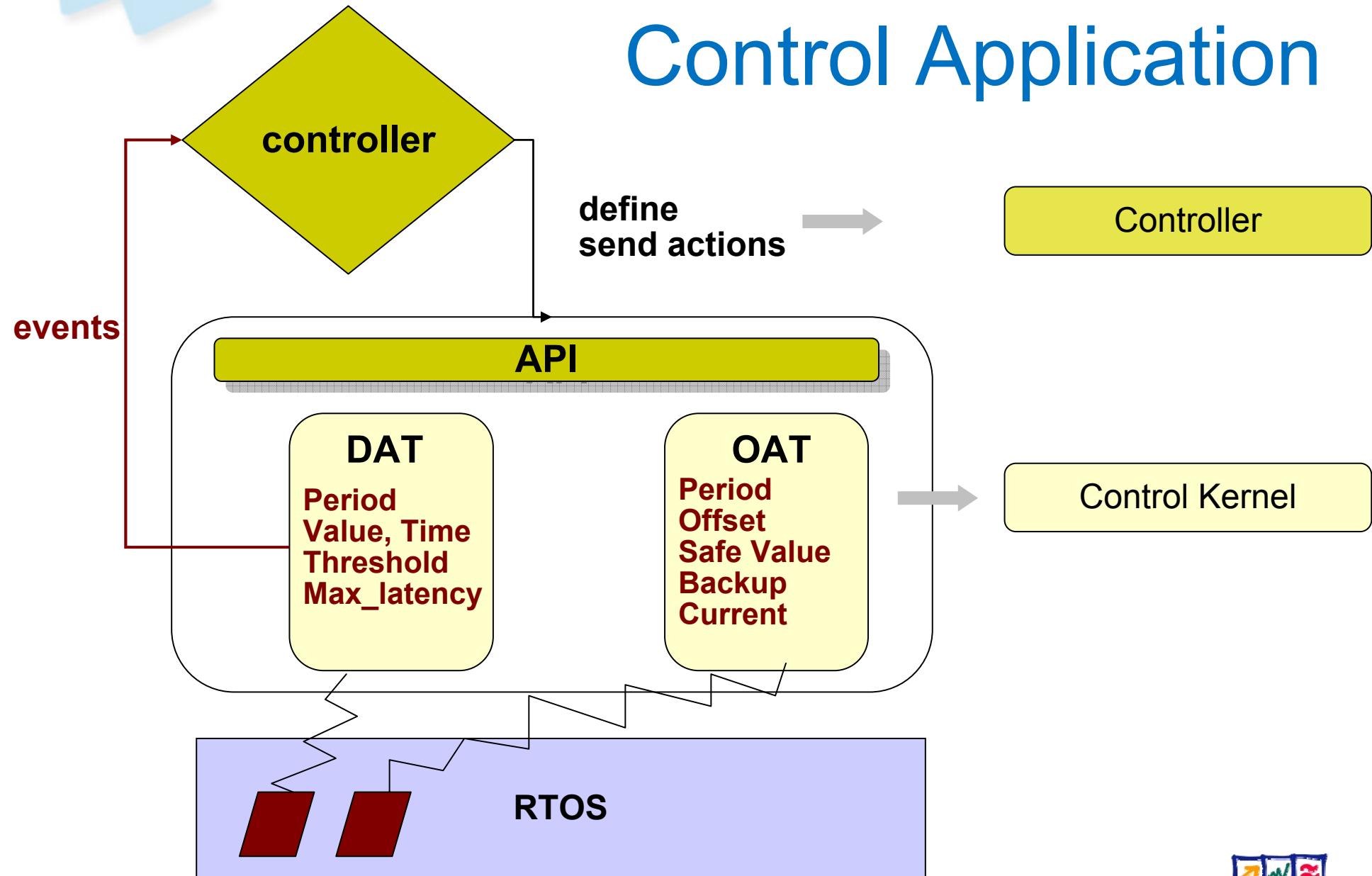
- Ensures control action (CA) delivering
  - Safe (back-up) CA computation
  - Safe CA computation based on previous data
- Data acquisition of major signals
  - Safe CA computation based on current data
- Transfer to new control structure
  - Basic control structure parameters computation
  - CA computation



- ✓ Full DA
  - ✓ Control structures evaluation and selection
  - ✓ CA computation (different levels)
- ✓ Communication facilities
- ✓ Coordination facilities



# Control Application





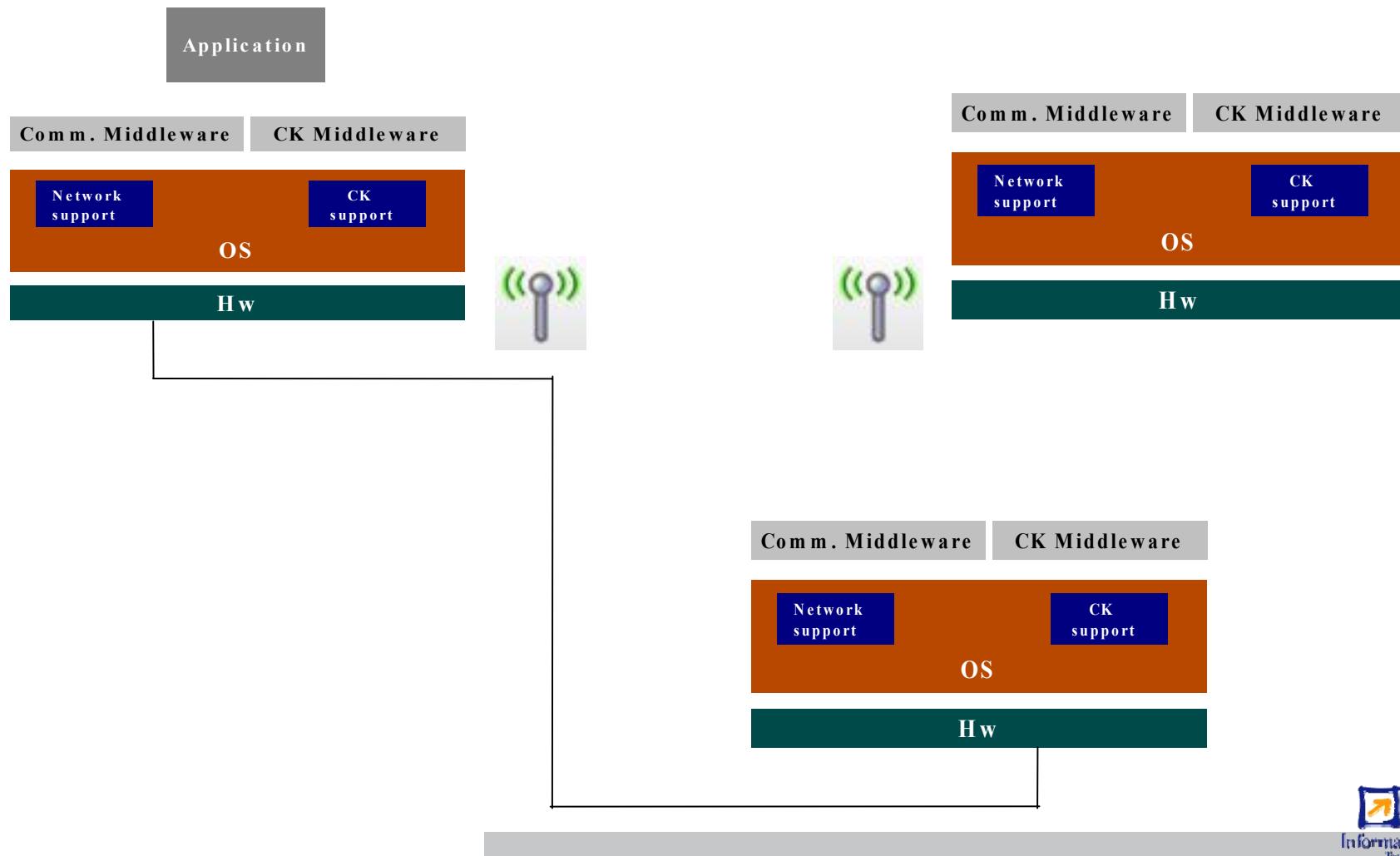
# CK Middleware



Comm. Middleware      CK Middleware



# Middleware architecture





# CK Middleware functionality

- Provides object classes for sensors, actuators, controllers
- Remote communication through Comm. Middleware
- Pool of threads at different priority levels (acquisition, data acquisition, basic computation)
- Admission control (negotiation)
- Mode change (task + controllers commutation)

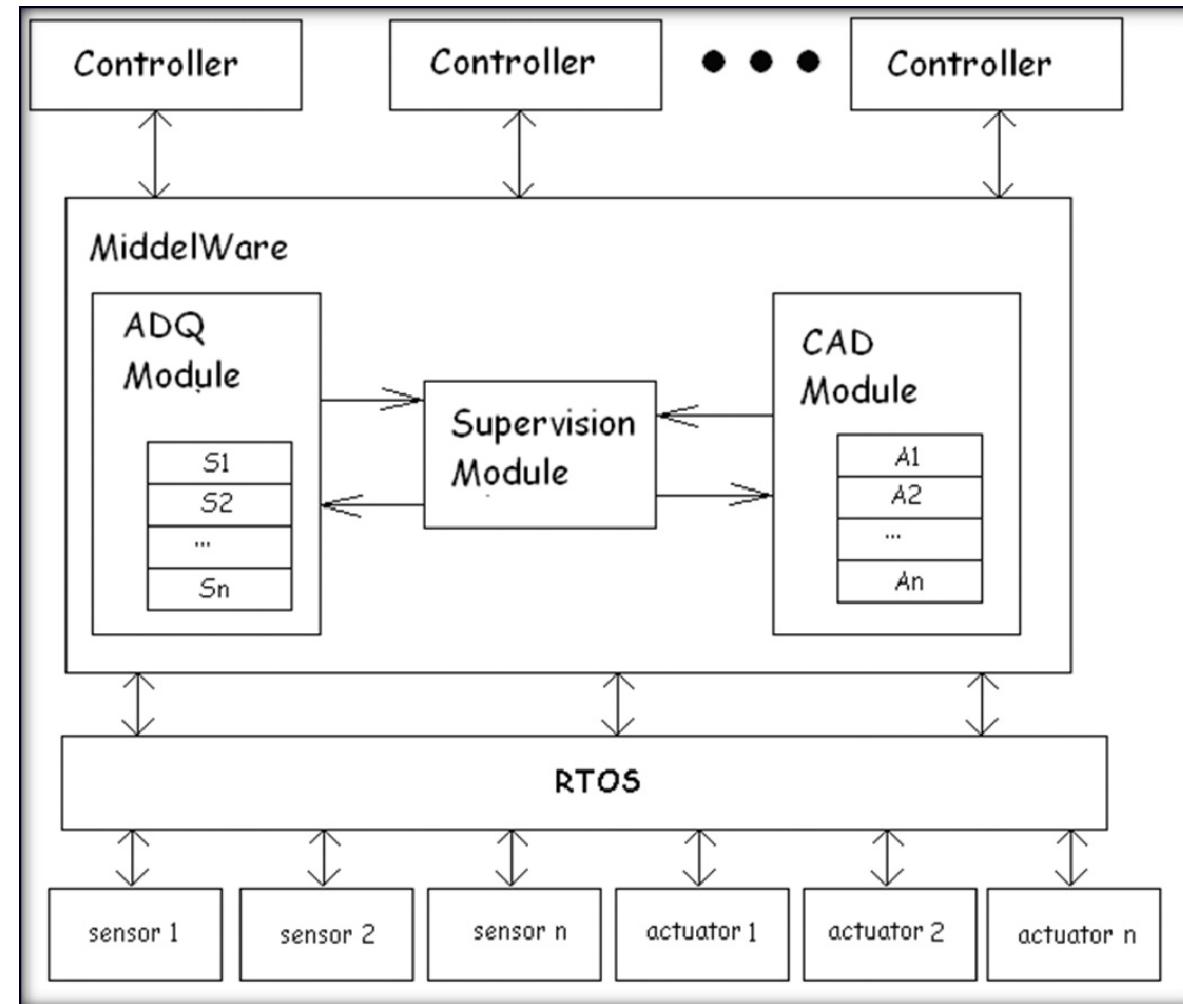
## CK Middleware functionality (II)

- Definition of controller parameters:
  - Reduced model controller
  - Backup actuation
  - Sensor characteristics (virtual/real, range, acquisition period, filter, threshold, ...)
  - Actuator characteristics
  - Call-back function
- Compute RMController (locally)

# CK Middleware structure

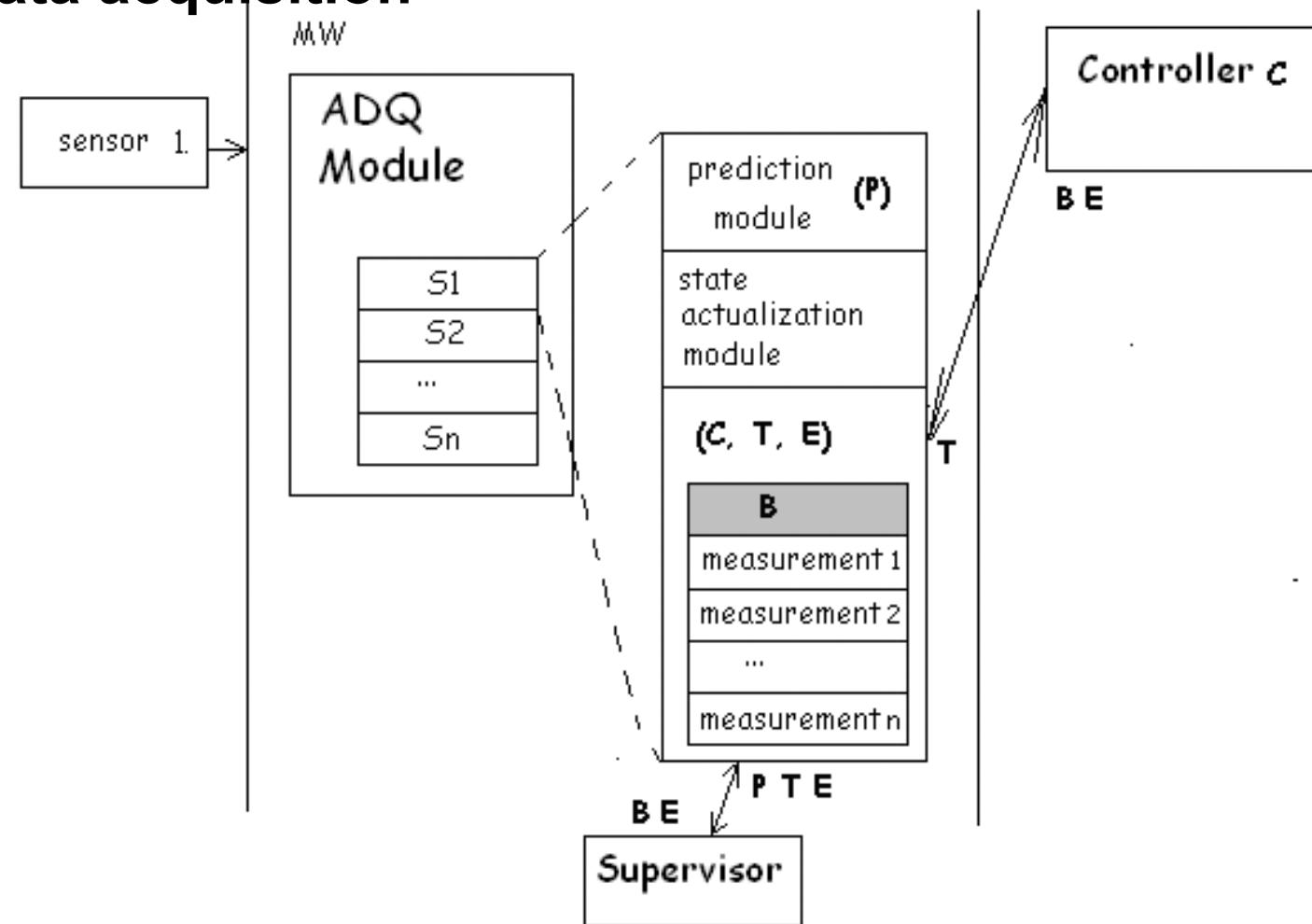
Controller

Control Kernel



# CK Middleware structure

## Data acquisition



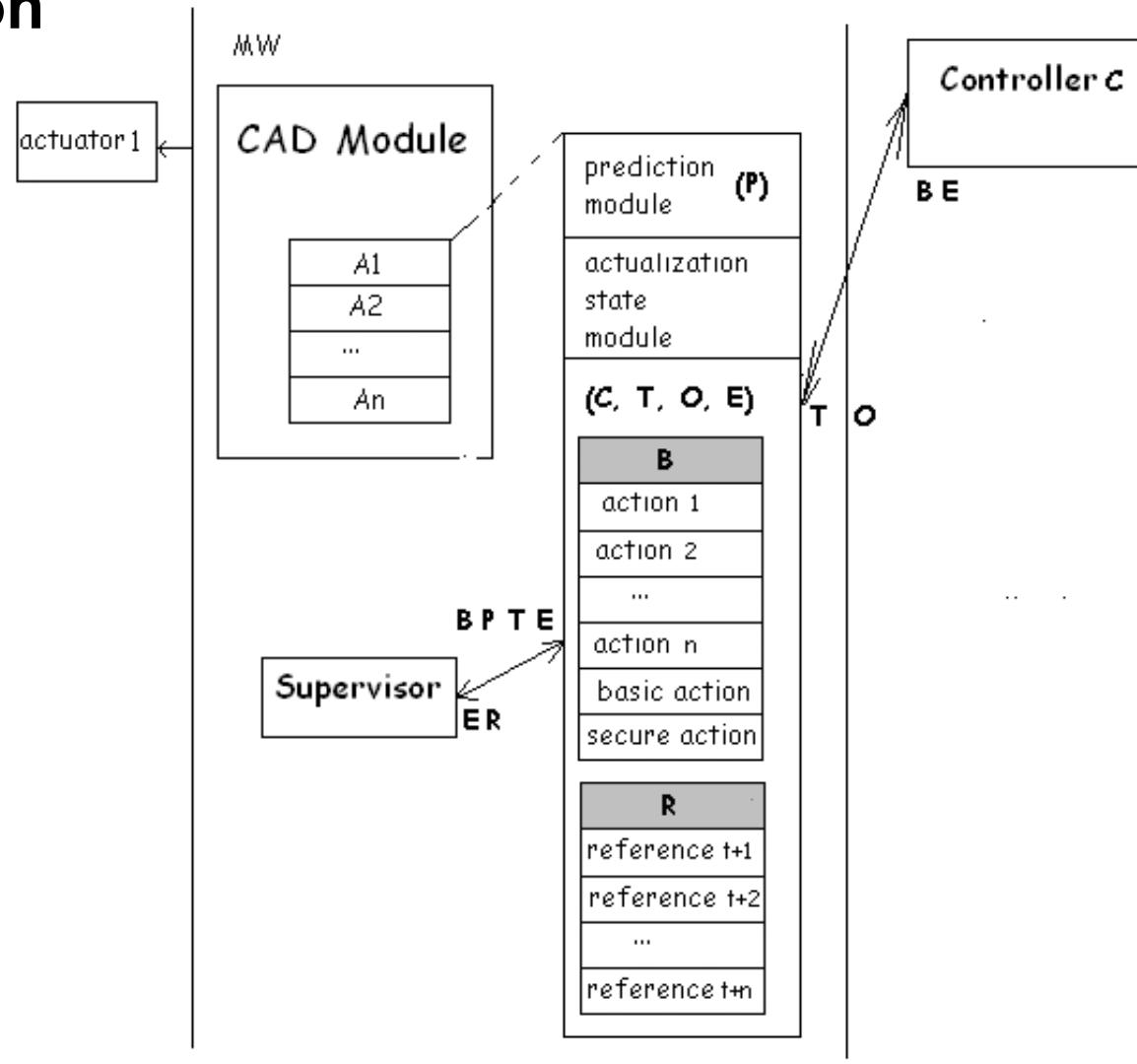
# CK Middleware structure

- Each physical sensor S has:
- $S = \{T, B, C, E\}$ ,
  - T: sampling period,
  - B: buffer n values,
  - C: the controller function
  - E: the sensor state {fail, event, no\_fail}
- Acquisition quality
  - Via data acquisition interval (DAI) concept

# CK Middleware structure



## Actuation



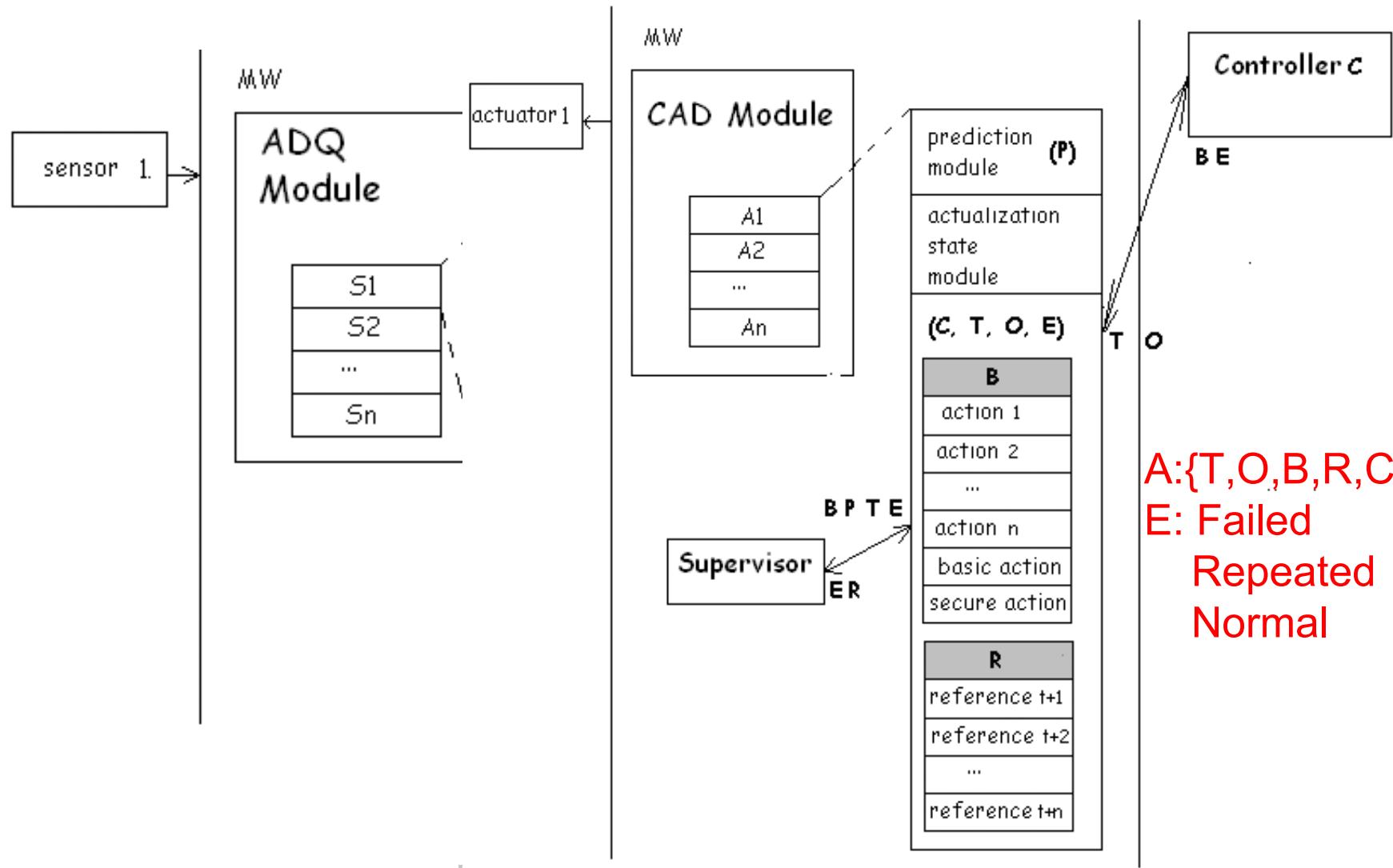
# CK Middleware structure

## Actuation

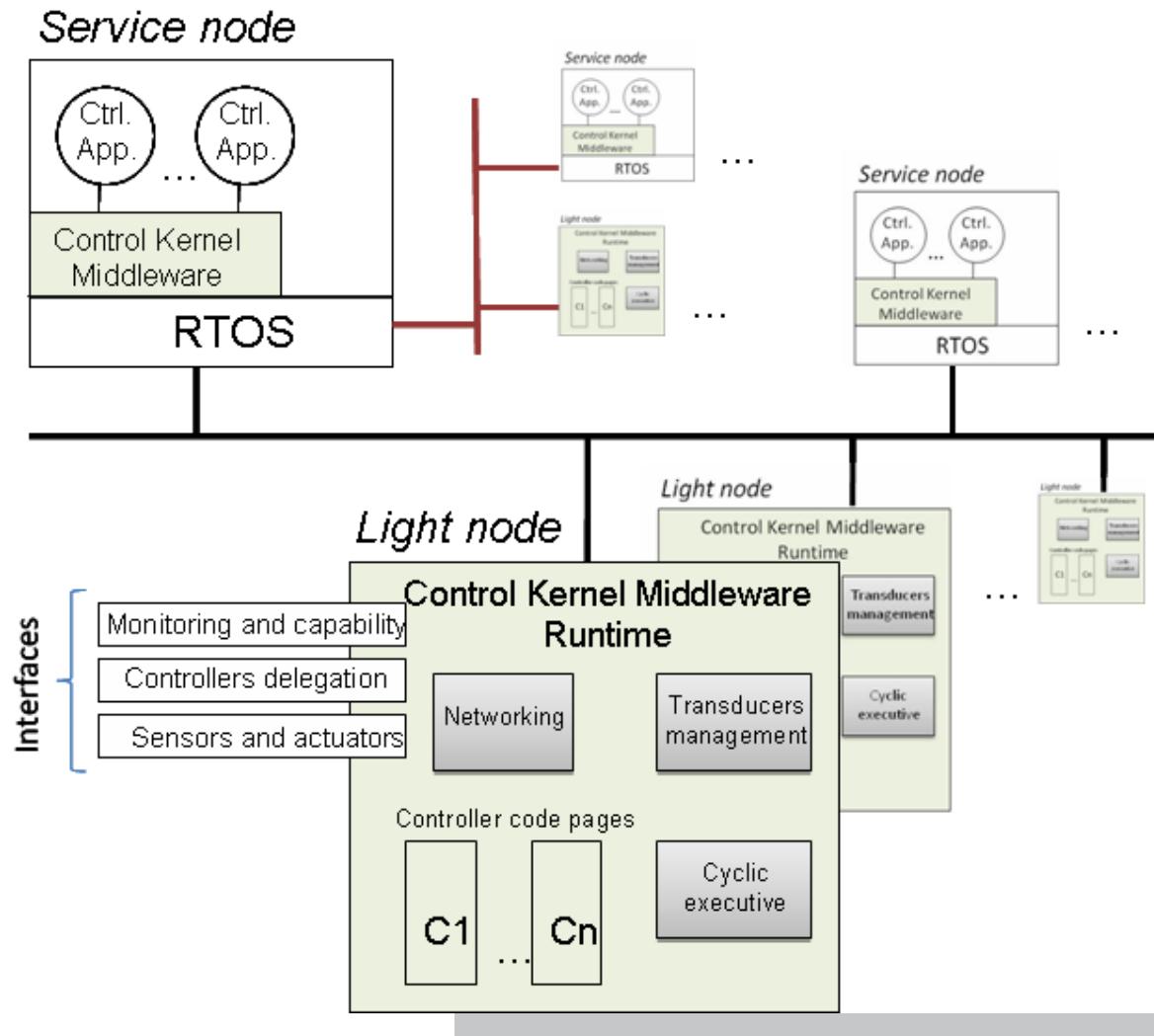
- Each physical actuator A has:
  - $A = \{T, O, B, R, C, E\}$
  - T: sampling period,
  - O: Offset between delivering of the action and acquisition of data.
  - B: buffer n values.
  - R: To store the n future references values.
  - C: the controller function.
  - E: the sensor state {fail, event, no\_fail}
- Delivering actions quality
  - Via data acquisition interval (CAI) concept



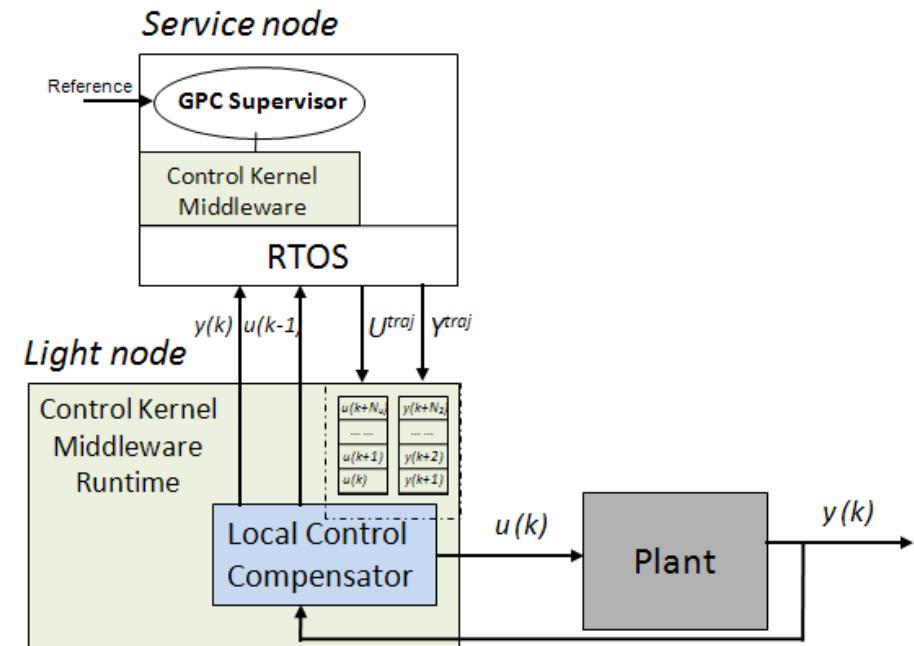
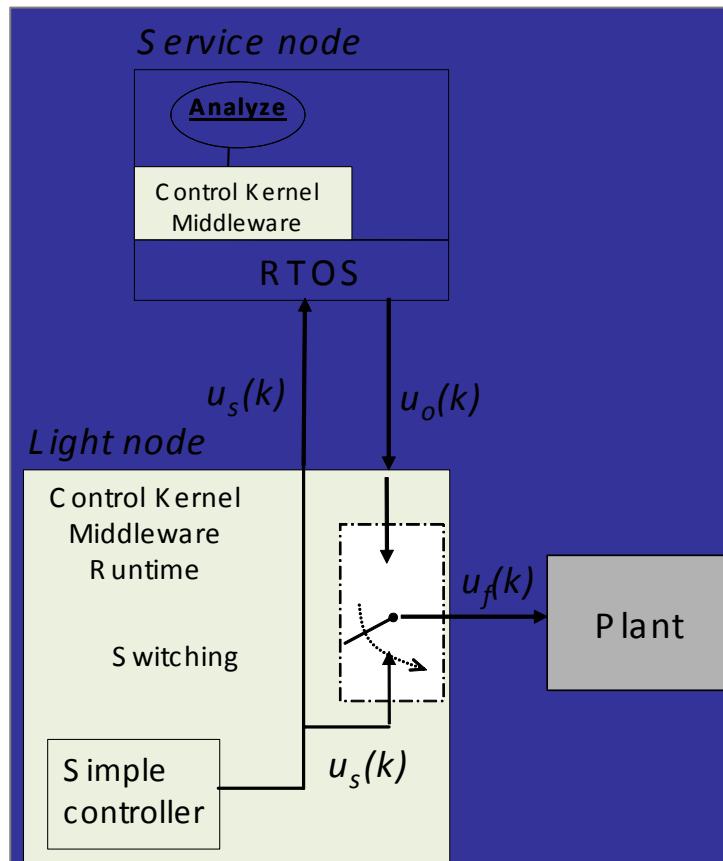
# Control Kernel structure



# CK layout



# CK detail





Controller

Control Kernel

# Scheduling Policies

- Several scheduling policies can coexist depending on the thread level.
- Kernel threads (DAThread and OAThread) are executed as part of the RTOS. Both are periodics and serve acquisition and delivery actions
- Both have a **queue** where requests are served on deadline basis.
- Values are written/read to/from control kernel middleware.



# Programming



- The application has to define the elements involved in a controller:

```
procedure configuration {  
//allocating resources and failure handlers  
// definition of the objects.....  
  
    idq1=register_sensor(t,p1,buffersize);  
    idq2=register_sensor(t,p2,buffersize);  
    register_fail_detection(idq1,twindow, thrsh, nthresh, maxInc, sc, p , r);  
    register_fail_detection(idq2,wt, h, cd, d, sc, p , r);  
    idu=register_actuator(t,o,p1,buffersize);  
    register_controller(user_controller, [idq1 idq2] ,idu);  
// start control  
    start_controllers();  
}  
procedure finish {  
// free the resources allocated  
    stop_controllers();  
}
```



# Implementation

- Current version of the CK Middleware has been implemented in C
- The RTOS used is PartiKle and open-source rtos which is the new core of RTLinux\_GPL
- It can be executed in x86 or ARM processors
- Different execution platforms

# Conclusions about the implementation

- **Control kernel extracts** the **basic control services** and defines a middleware for control purposes.
- The scheduling scheme that support this architecture permits the execution of the control kernel and control loops.
- Several scheduling policies are applied to the different control levels based on EDF and DM.
- Also, the control kernel obtains an improvement with respect to the CPU use when the system is in stable conditions.
- An implementation of the control kernel in a rtos has been experimented



# Fixed-Point Arithmetic



# Arithmetic in Embedded Control

- Microcontrollers used in small embedded systems and sensor networks typically do not have hardware support for floating-point arithmetic
- Options
  - Software emulation of floating-point arithmetic
    - Compiler/library supported → easy
    - Large code size, slow
  - Fixed-point arithmetic
    - Often manual implementation → hard
    - Fast and compact
    - Fallen out of most curricula

# Fixed-Point Arithmetic

- Idea: Represent all numbers (signals, parameters) using **integers**
- Use **scaling** to make all numbers fit into one of the integer data types, e.g.,
  - 8 bits (char, int8\_t): [-128, 127]
  - 16 bits (short, int16\_t): [-32768, 32767]
  - 32 bits (long, int32\_t): [-2147483648, 2147483647]

# Challenges

- Must select data types to get sufficient numerical precision
- Must know (or estimate) the minimum and maximum value of every variable in order to select appropriate scaling factors
- Must keep track of the scaling factors in all arithmetic operations
- Must handle potential arithmetic overflows
- Must choose a good controller realization



# Fixed-Point Representation

In fixed-point representation, a real number  $x$  is represented by an integer  $X$  with  $N = QI + QF + 1$  bits, where

- $N$  is the word length
- $QI$  is the number of integer bits (excluding the sign bit)
- $QF$  is the number of fractional bits

**Q-format:**  $X$  is called a  $Q[QI].[QF]$  number

Conversion from real to fixed-point number:

$$X := \text{round}(x \cdot 2^{QF})$$

Conversion from fixed-point to real number:

$$x := X \cdot 2^{-QF}$$



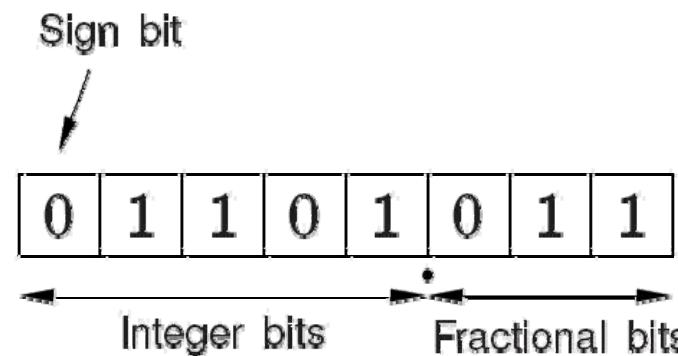
# Example

Convert the real number  $x = 13.4$  to a fixed-point number

$X \square Q4.3$

$$X = \text{round}(13.4 \cdot 2^3) = \text{round}(107.2) = 107$$

In the computer,  $107_{10}$  will be stored as  $01101011_2$ :



- Ordinary two's complement is used for negative numbers
- Converting back, we get  $x := 107 \times 2^{-3} = 13.375$  (quantization)

# Fixed-Point Addition/Subtraction

- Two fixed-point numbers with the same number of fractional bits can be added or subtracted directly
- The result will have the same number of fractional bits

$$z = x + y \Leftrightarrow Z = X + Y$$

$$z = x - y \Leftrightarrow Z = X - Y$$

(If the variables have different numbers of fractional bits,  
scaling must be performed before the operation)

# Fixed-Point Multiplication and Division

- If both the operands and the result are in the same Q-format, multiplication and division are done as

$$z = x \cdot y \Leftrightarrow Z = (X \cdot Y)/2^{QF}$$

$$z = x/y \Leftrightarrow Z = (X \cdot 2^{QF})/Y$$

- Double word length is needed for the intermediate result
- Multiplication and division by  $2^{QF}$  is implemented as a left-shift and right-shift by QF bits

If the operands have different Q-formats additional scaling is needed

## Example: Multiplication

- Q5.3 operands and result

```
#define QF 3           /* number of fractional bits */
int8_t X, Y, Z;      /* Q5.3 operands and result */
int16_t temp;         /* Q10.6 intermediate result */
temp = (int16_t)X * Y; /* cast operands to 16 bits */
Z = temp >> QF;     /* divide by 2^QF */
```



# Numerical Errors

- Addition and subtraction are error-free as long as there are no overflows
- Multiplication and division involve quantization
  - truncation or rounding



# Overflow

- All fixed-point operations are prone to overflow
- Because of the internal 2-complement's representation, unexpected results can appear - **wraparound**
- Example: Two numbers in Q4.3 format are added:

$$x = 12.25 \Rightarrow X = 98$$

$$y = 14.75 \Rightarrow Y = 118$$

$$Z = X + Y = 216$$

- This number is out of range and will be interpreted as

$$216 - 256 = -40 \Rightarrow z = -5.0$$



# Saturation

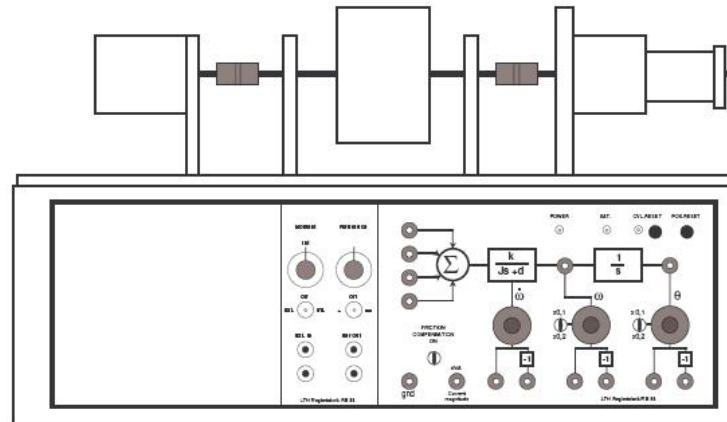
- Some DSPs automatically saturate on overflow
- On ordinary micro-controllers, saturation must be implemented manually.
- Example (multiplication):

```
#define N 8           /* word length          */
#define QF 3          /* number of fractional bits   */
int8_t X, Y, Z;      /* Q5.3 operands and result */
int16_t temp;        /* Q10.6 intermediate result */

temp = (int16_t)X * Y;
if (temp < -(1<<(N-1)))    /* check lower saturation */
    temp = -(1<<(N-1));    /* smallest possible number */
else if (temp >= 1>>(N-1)) /* check upper saturation */
    temp = (1>>(N-1))-1;  /* largest possible number */
Z = temp >> QF;          /* divide by 2^QF          */
```

## Evaluation of Execution Time and Code Size

- Atmel AVR ATmega16(L) micro-controller @14.7 MHz with 16K ROM controlling a rotating DC servo



- C program that implements simple state feedback controllers
  - **velocity control (one state is measured)**
  - **position control (two states are measured)**

- Position controller with integral action

$$u(k) = l_1 y_1(k) + l_2 y_2(k) + l_3 I(k)$$

$$I(k+1) = I(k) + r(k) - y_2(k)$$

- Three multiplications + some summations (accumulations)
- As simple as it can get ☺



# Measurements

Software-emulated floating-point using `float` data types:

- Velocity control: 835 µs
- Position control: 980 µs
- Code size: 13708 bytes

Fixed-point using 16-bit integers (Q 3.12):

- Velocity control: 15 µs
  - Position control: 40 µs
  - Code size: 3748 bytes
- **Speedup of 25-50 times!**
  - **Floating-point math library takes 10k (out of 16k)!**



# Coefficient Quantization

- General problem when implementing controllers and filters using fixed-point arithmetic
  - Poles and zeros end up somewhere else
  - The magnitude of the problem is very much dependent on the controller realization

# Realizations

A digital controller

$$u(k) = H(q^{-1})y(k) = \frac{b_0 + b_1q^{-1} + \cdots + b_mq^{-m}}{1 + a_1q^{-1} + a_2q^{-2} + \cdots + a_nq^{-n}}y(k)$$

can be realized in a number of different ways with the same input-output behaviour, e.g.

- Direct form
- Companion (canonical) form
- Series (cascade) or parallel form

## Direct form

$$u(k) = \sum_{i=0}^m b_i u(k-i) - \sum_{i=1}^n a_i y(k-i)$$

- Nonminimal (all old inputs and outputs are used as states) and hence consume RAM memory
- Very sensitive to coefficient roundoff
- **Avoid!**



# Companion Forms

E.g. controllable canonical form:

$$x(k+1) = \begin{pmatrix} -a_1 & -a_2 & \cdots & -a_{n-1} & -a_n \\ 1 & 0 & & 0 & 0 \\ 0 & 1 & & 0 & 0 \\ \vdots & & & & \\ 0 & 0 & & 1 & 0 \end{pmatrix} x(k) + \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} y(k)$$

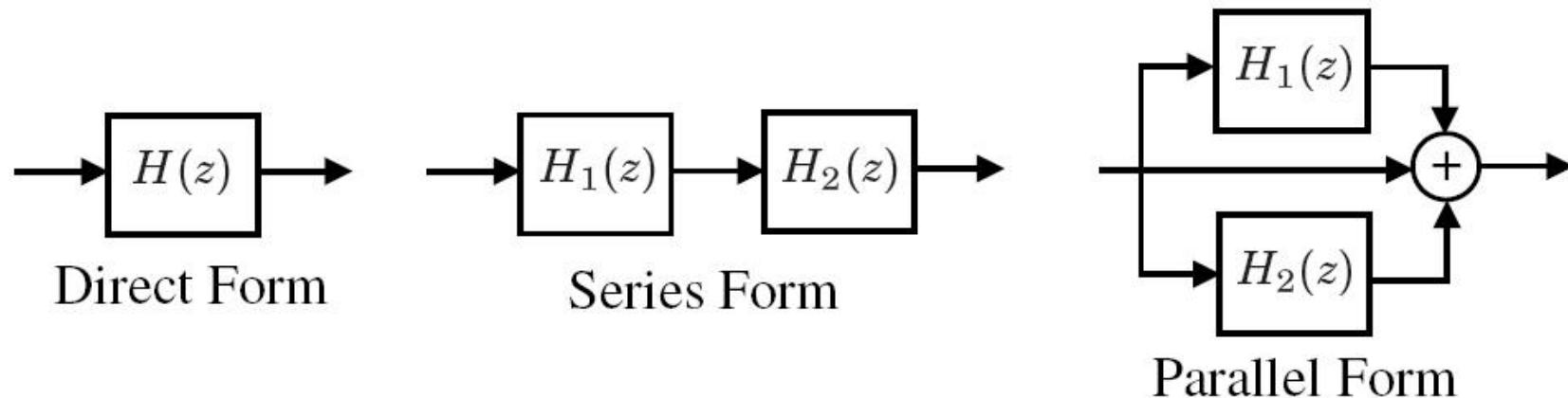
$$u(k) = \begin{pmatrix} b_1 & b_2 & \cdots & b_n \end{pmatrix} x(k)$$

- Very sensitive to coefficient roundoff
- **Avoid!**



# Series and Parallel Forms

Divide the controller into a number of first- or second-order subsystems:



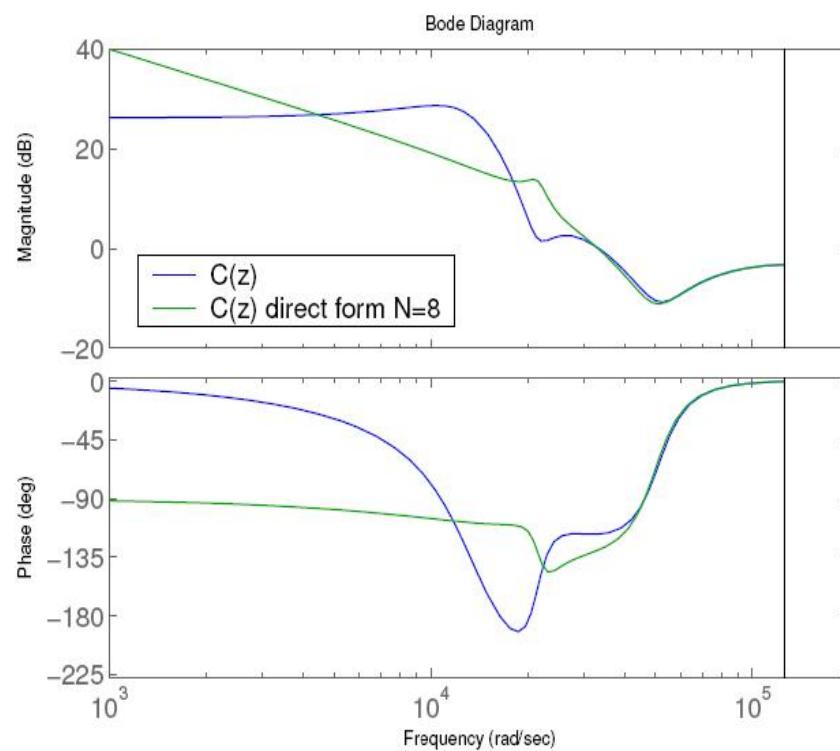
- Try to balance the gains such that each subsystem has about the same gain
- **Good numerical properties!**



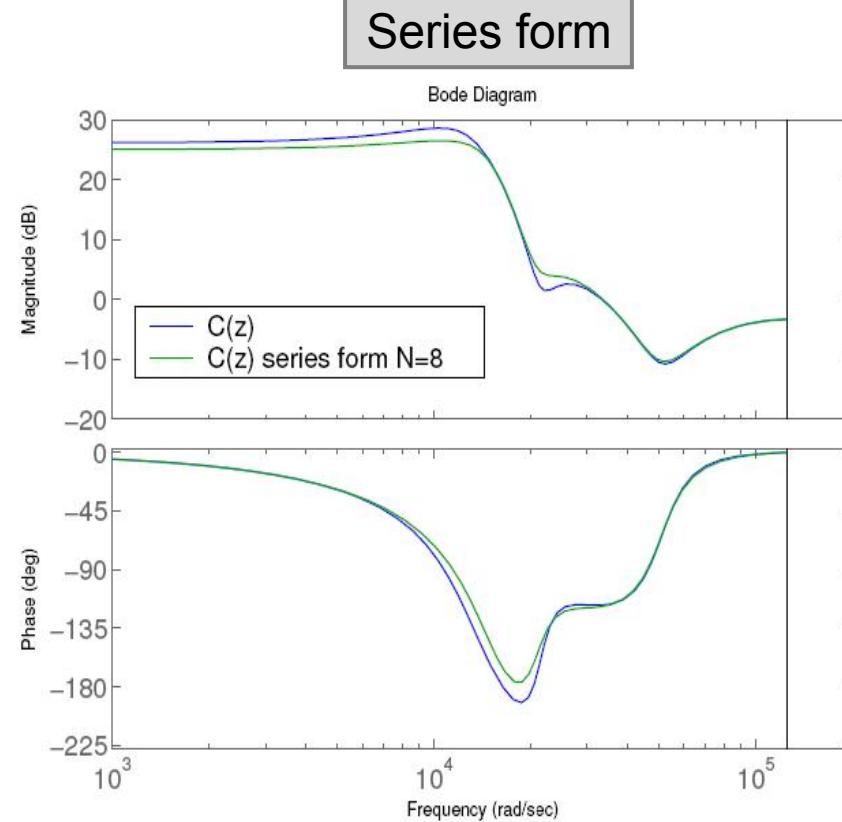
# Example

$$H(z) = \frac{z^4 - 2.13z^3 + 2.351z^2 - 1.493z + 0.5776}{z^4 - 3.2z^3 + 3.997z^2 - 2.301z + 0.5184}$$

Direct form



Series form

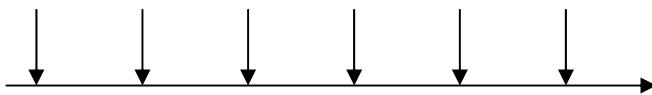




# Event-Based Control



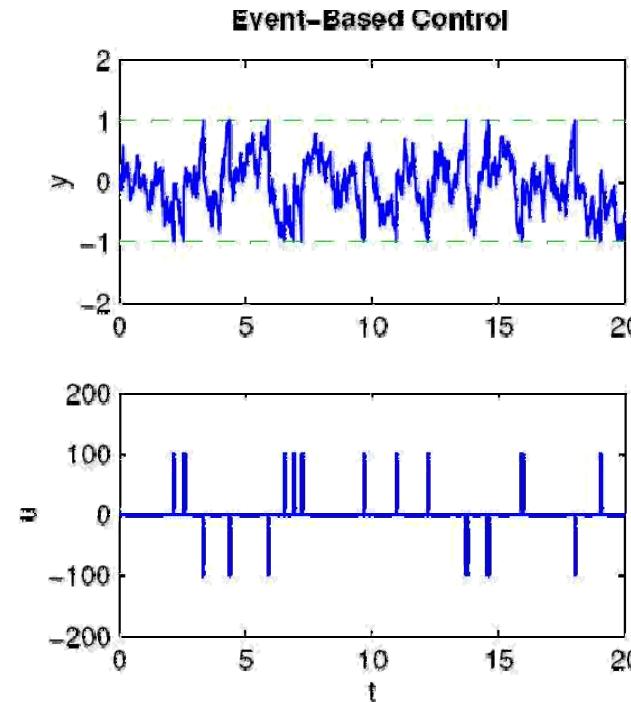
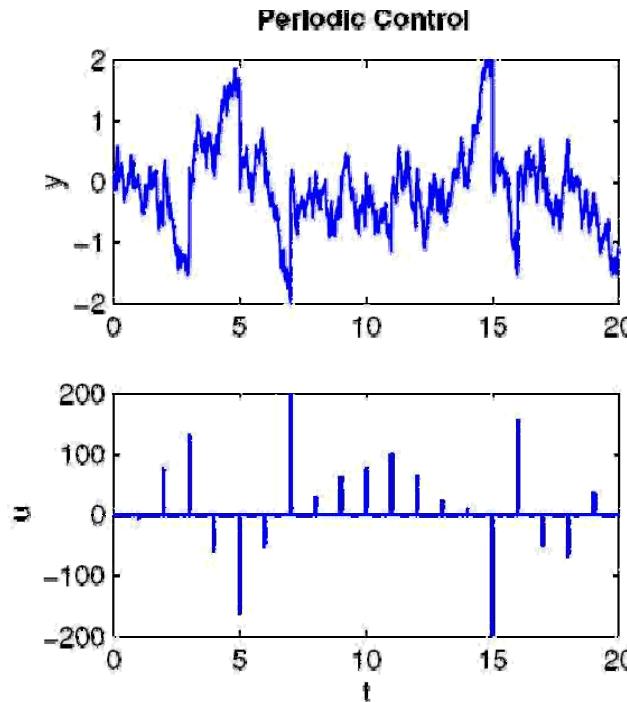
# Motivation for Event-Based Control

- Control almost always assumes and requires **periodic** sampling
  - Well-developed theory
- Cornerstone for large part of real-time systems
- However, what if we relax this assumption?
  - Sample and control only when an **event** has occurred, e.g., a threshold crossing
  - Most likely closer to how nature performs feedback
  - Several practical observations have reported that event-based control can perform as good or better than time-based control
  - But, very very little theory
- Applications where sampling and computation are costly
  - Networked embedded control systems with limited bandwidth
  - ...

# Aperiodic Control

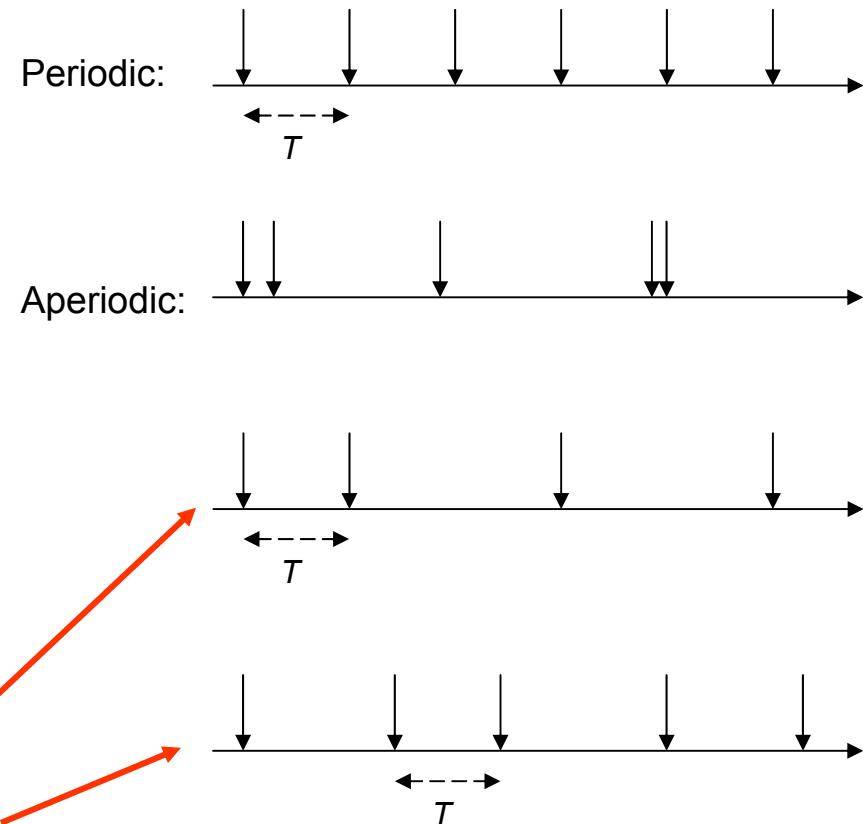
[Åström and Bernhardsson, 1999]

- Aperiodic event-based control of first-order stochastic systems
- Reduction of variance by a factor 3 for an integrator systems, assuming the same average sampling interval

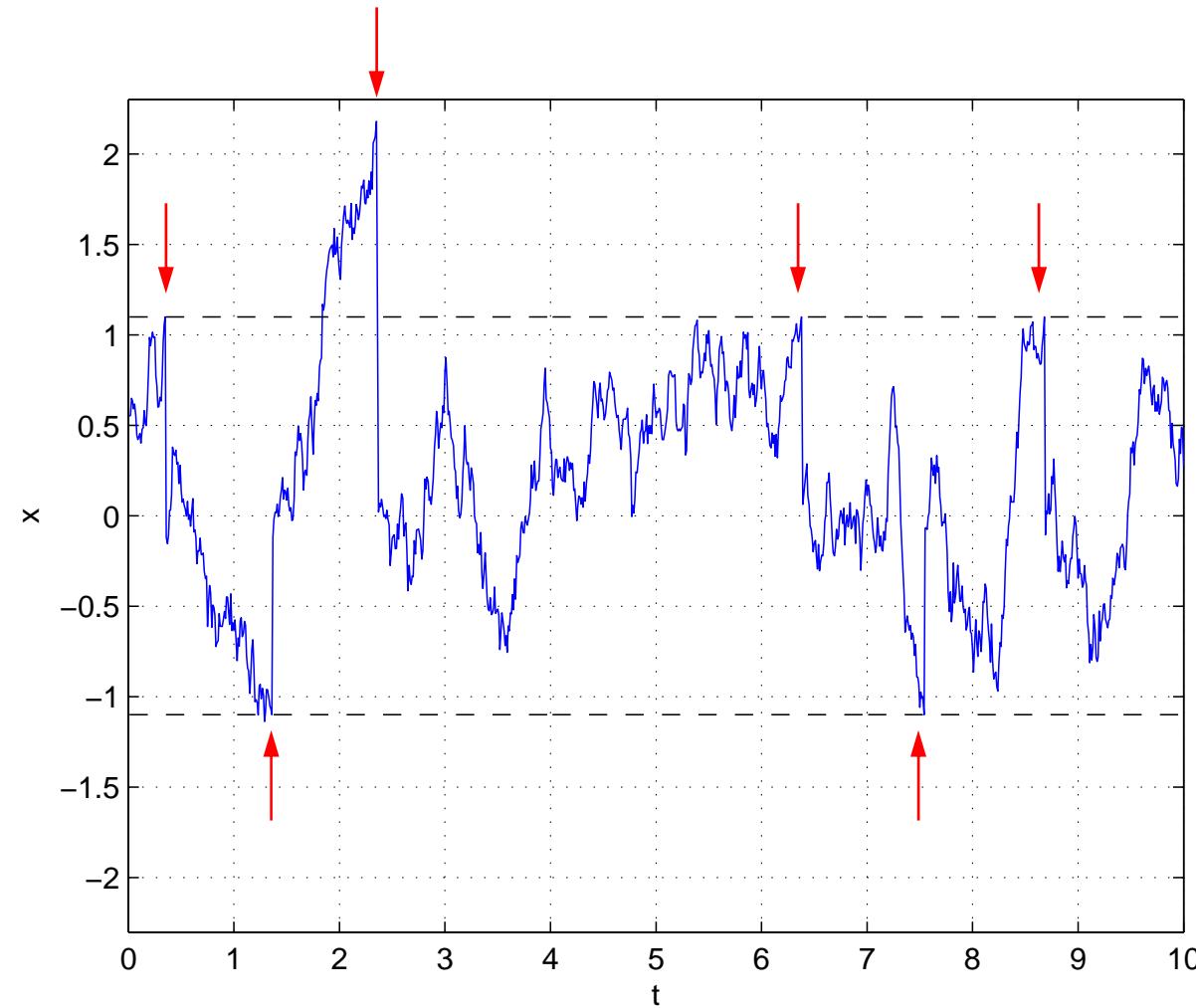


# Sporadic Event-Based Control

- Recent work by Johannesson, Henningsson and Cervin, Lund
- Apply impulse control when  $|x| > r$ 
  - State is reset to zero
  - Dirac-pulse control signal
- Sporadic Event-Based Control**
  - Minimum inter-event time  $\rightarrow$  finite utilization factor
- Two versions:**
  - Discrete-time measurements
  - Continuous-time measurements



# Example: Sporadic Control





# Cost Function

$$J = \underbrace{\lim_{t \rightarrow \infty} \frac{1}{t} \int_0^t x^2(\tau) d\tau}_{J_x} + \rho \underbrace{\lim_{t \rightarrow \infty} \frac{1}{t} N_u(0, t)}_{J_u}$$

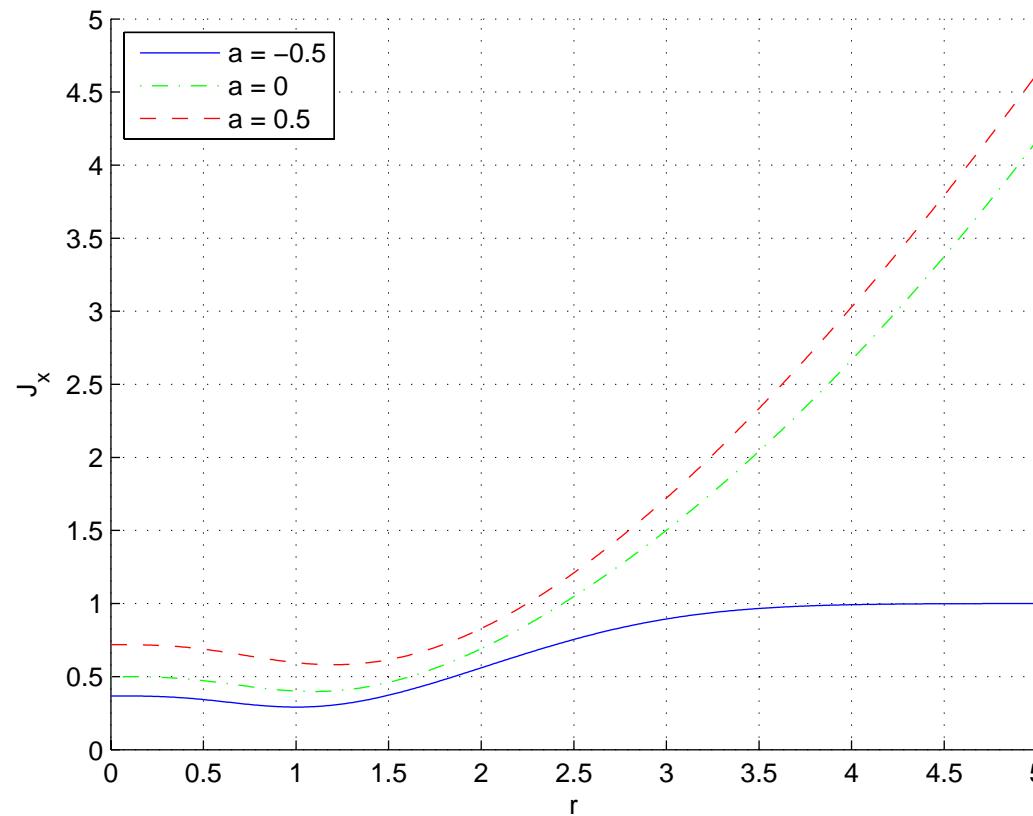
$J_x$  = State cost

- Stationary process variance

$J_u$  = Control cost

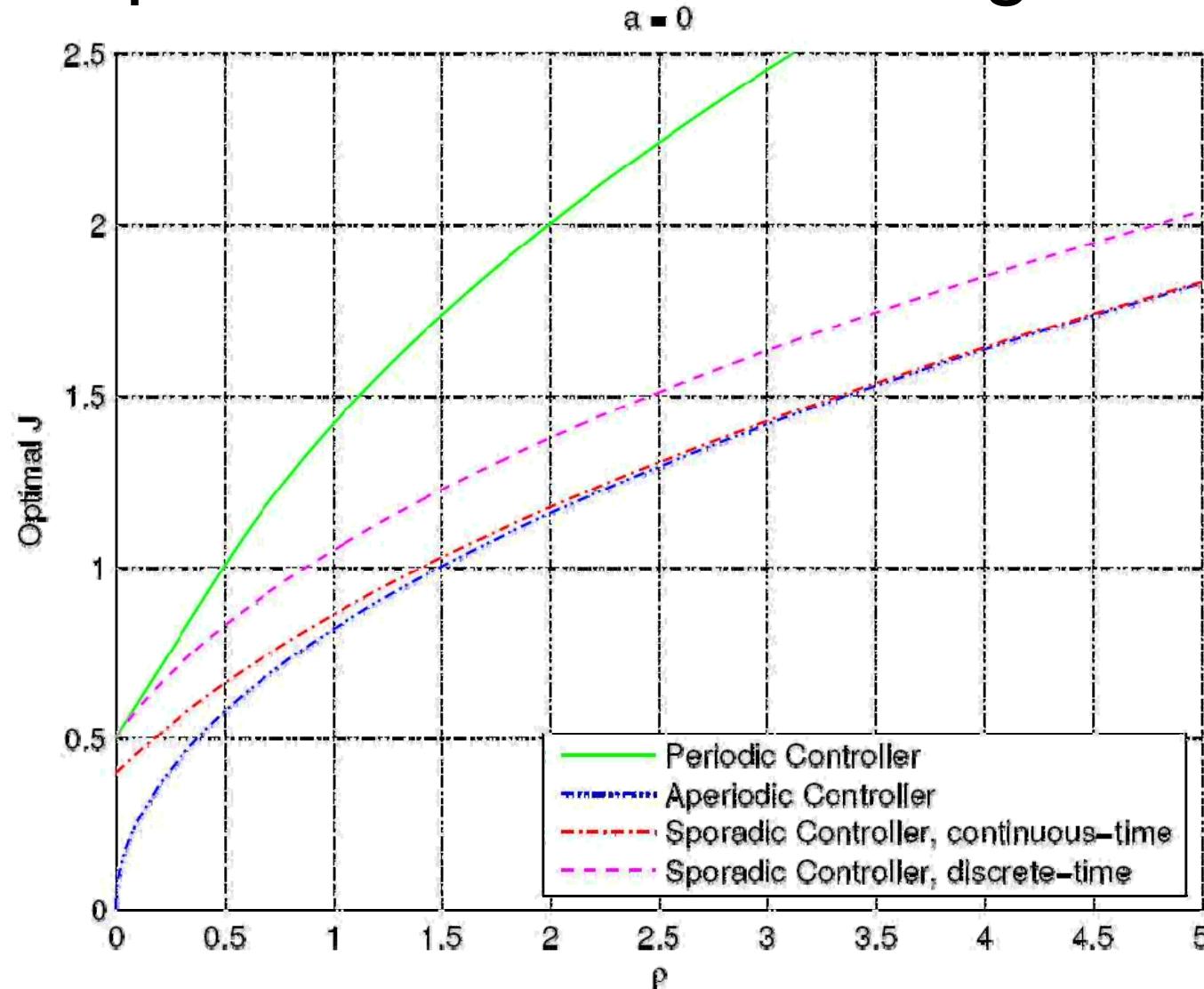
- Average number of events / time unit

## Optimal Choice of Threshold under Sporadic Control



- Local minimum for some  $r > 0$ 
  - For small errors, it is better to wait than to control!

# Optimal Cost for the Integrator



# Conclusions

- Sporadic control can reduce the process variance and/or the control frequency compared to periodic control
- More realistic than aperiodic control
- Many interesting further problems for higher-order systems
  - When to generate events?
  - What control actions to apply?
  - Event-based observers



# Feedback Scheduling





# Feedback Scheduling

- Feedback as a technique to manage uncertainty and achieve performance and robustness in computer and communication systems.
- Feedback-based resource management
  - Adaptive or flexible scheduling
  - Dynamic allocation based on
    - measurements of actual resource consumption and comparison with the available resources , or
    - measurements of quality-of-service (QoS) and a comparison with the desired QoS

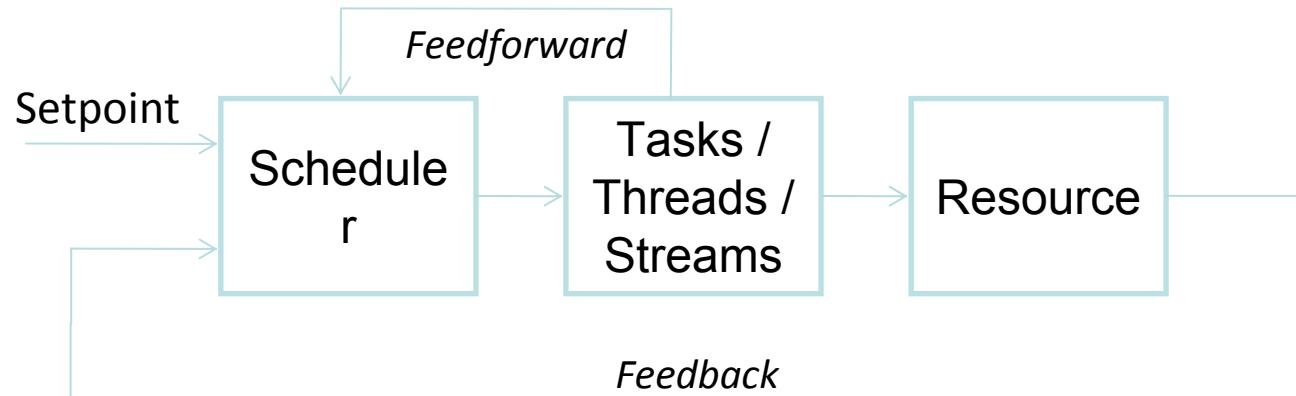


# Why?

- Many applications have time-varying or unknown resource demands
- Static allocation leads to problems
  - Pessimistic → under-utilization (expensive)
  - Optimistic → overload
- Current hardware development makes static worst-case design increasingly difficult
  - Shared memory multi-cores (> 10% of all embedded systems already today)
  - Sub-40 nm chip technology



# Structure



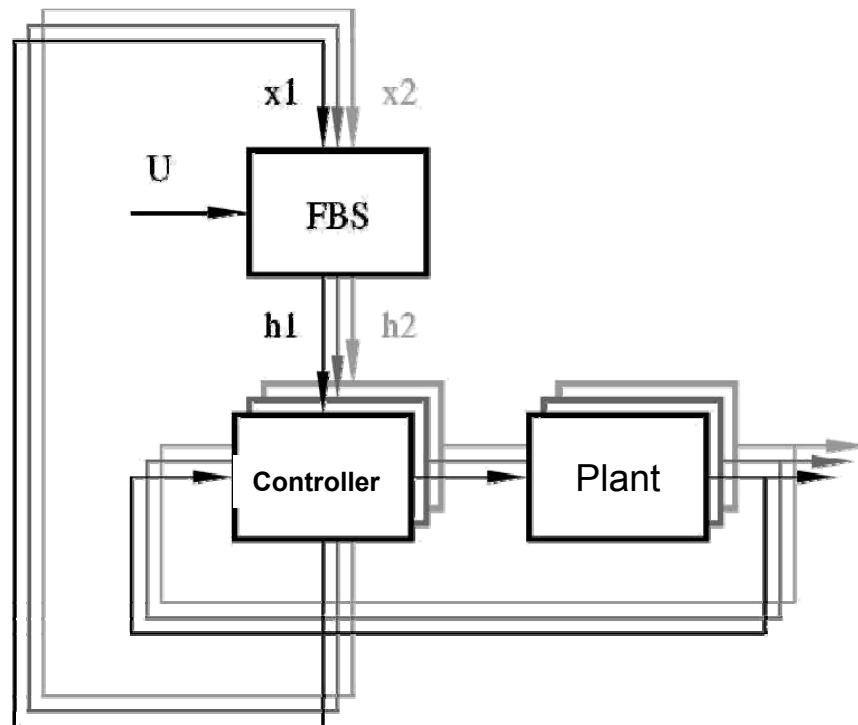
- Feedback to handle uncertainties and disturbances
  - Unknown worst-case resource utilization
  - Load variations
- Feedforward to handle known changes in resource utilization



# Potential Problems

- More parameters to tune
  - Bad tuning might lead to stability problem
- Harder to prove anything about the system
- May be harder to program
- The feedback itself consumes resources
- Sensors and actuators not always available
- Which type of models to use?
- Feedback implies errors → Not for hard real-time applications. **Or ???**
  - But hard RT applications are used to implement feedback systems!

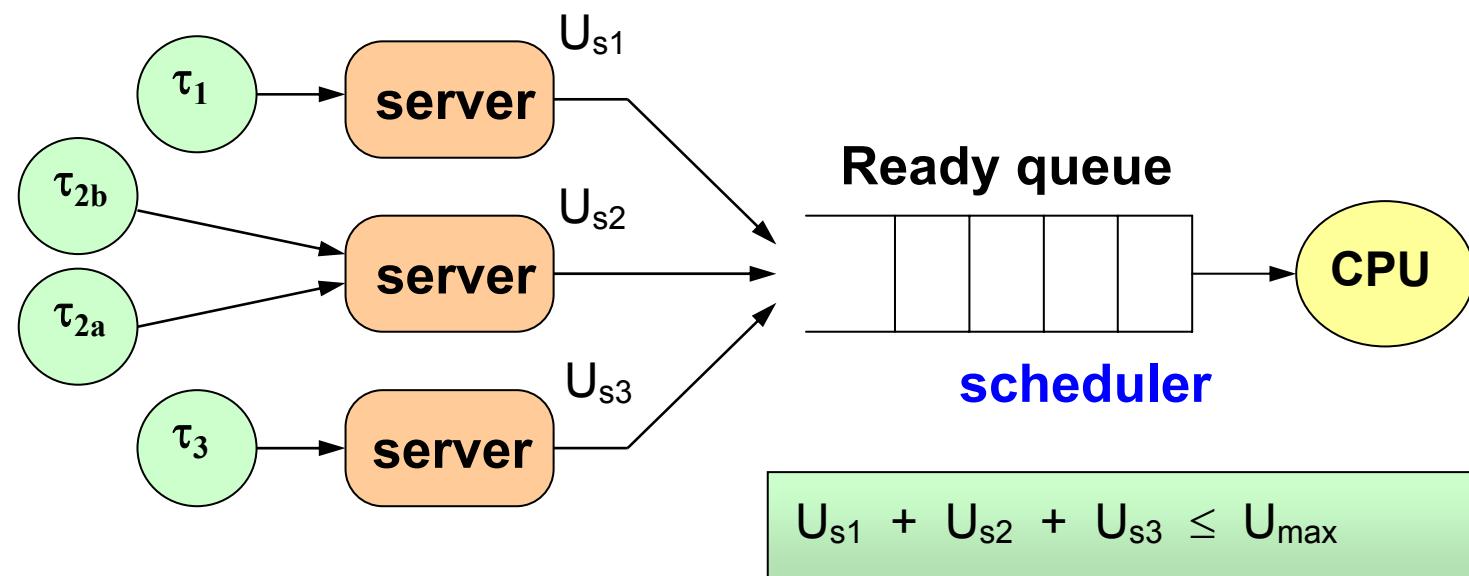
## Example: Feedback Control of Control Loops



- A number of control loops in one CPU
- Feedback mechanism to adjust the sampling rates of the controller tasks, so that the global performance is maximized subject to a schedulability constraint on the total CPU utilization

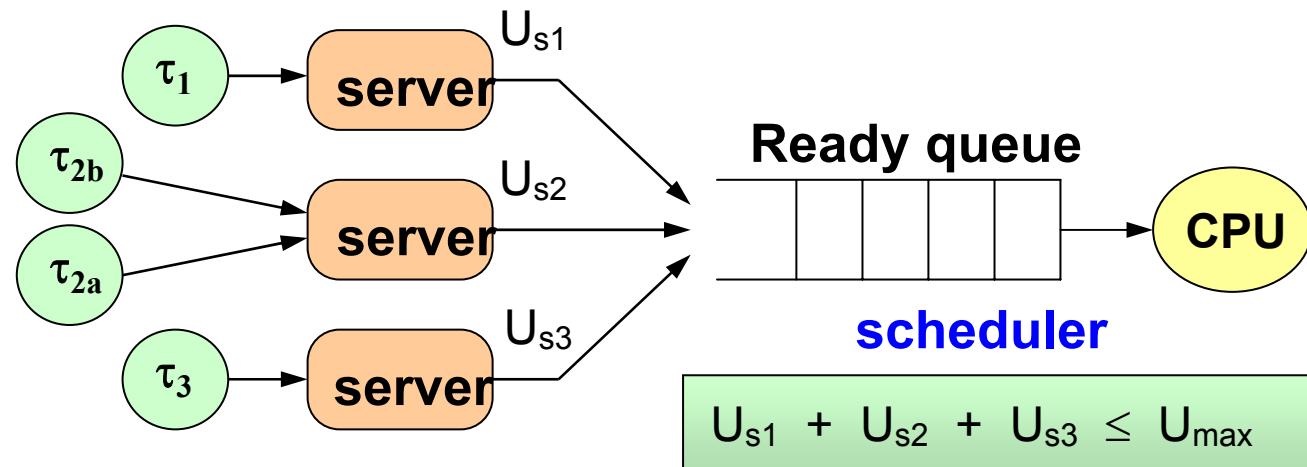
## Example: Reservation-Based Resource Management

- Bandwidth server techniques that provide temporal isolation between tasks sharing the same CPU
  - Each reservation is guaranteed a minimum resource budget (e.g. 40%) over a certain time period



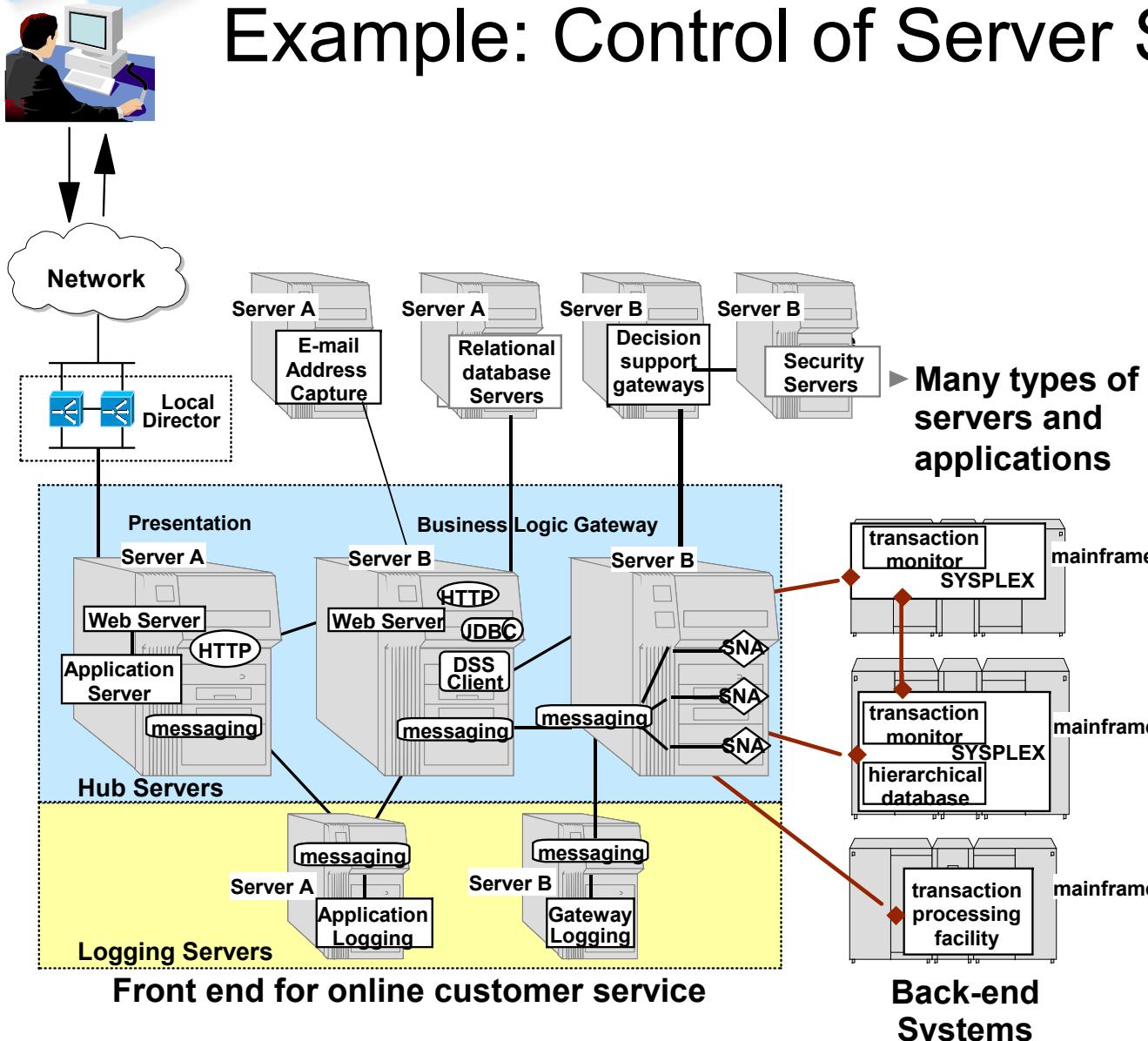
© Giorgio Buttazzo

# Example: Adaptive Reservations



- Optimization-based global allocation of resources
- Feedback-based adjustment of the budgets of the reservations
- Feedback-based adjustment of the resource utilization within each reservation to meet the allocated resources
- The EU Projects FRESCOR and ACTORS

# Example: Control of Server Systems



Multi-tier systems of  
Web browsers,  
business logic and  
databases

Feedback at various  
levels

Queue Control

IBM, HP, Microsoft,  
Amazon, ....

Challenges:

- Modeling formalisms (DES, ODEs, queuing theory, ...)
- Design of software and computing systems for controllability





# Conclusions

# Conclusions

- Successful embedded control systems require both control and embedded/real-time competence
- Separations-based or integration-based design approaches
  - Temporal determinism and temporal robustness crucial issues
  - New tools necessary
- The control kernel - an implementation platform tailored for embedded control systems
- Fixed-point implementations can give large savings in small embedded systems and sensor network applications
- Event-based control is a challenging area
- Feedback scheduling for flexibility and adaptivity



Thank you for your  
attention.

Questions?

