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Many real-time systems perform safety-critical functions

Certification authorities (CAs) ensure system safety

Aviation:

-Federal Aviation Authority (FAA)

-European Aviation Safety Agency (EASA)

Medical devices:

-Food and Drug Administration (FDA)

Many real-time systems perform safety-critical functions

Certification authorities (CAs) ensure system safety

CAs tend to be very conservative...

... can require over-provisioning of computing resources

Multiple functionalities on a shared platform

Why integrated computing environments?

• Can support a wider range of functionalities

Separate implementations are inefficient

• Size Weight and Power (SWaP) constraints

2 jobs – J_1 and J_2 – on a preemptive processor Both arrive at t=0; have deadlines at t=10 and t=8 WCET of J_1 is 4; WCET of J_2 is 4

[worst-case execution requirement]

Earliest Deadline First (EDF) schedule:







2 jobs – J_1 and J_2 – on a preemptive processor Both arrive at t=0; have deadlines at t=10 and t=8 WCET of J_1 is 4; WCET of J_2 is 4

CA's tool more pessimistic

-E.g., based on worst-case analysis

(Designer's tool may use simulation experiments)

CERTIFICATION:





CERTIFICATION:







DESIGN VALIDATION: system validated correct



MIXED CRITICALITY (MC) systems

The same system is being analyzed, twice

Certification	System design validation		
at a very high level of assurance	at a lower level of assurance		
of only a subset of the system	of the entire system		

What are the right models, methods, and metrics for MC scheduling?

PRESENTATION PLAN

- A model for representing simple MC workloads
- An algorithm for scheduling such MC systems
- A metric for quantifying the effectiveness of this algorithm
- Generalizations to the model
- Algorithms for scheduling in these generalized models
- Evaluating these algorithms

The mixed-criticality job model

Job	J _i		scheduling window	
-	Level	Failure Condition	Interpretation]
_	A	Catastrophic	Failure may cause a crash	-
	В	Hazardous	Failure has a large negative impact on safety or performance, or reduces the abil-	ime
-			ity of the crew to operate the plane due to physical distress or a higher workload,	
			or causes serious or fatal injuries among the passengers	
-	С	Major	Failure is significant, but has a lesser impact than a Hazardous failure (for exam-	
			ple, leads to passenger discomfort rather than injuries)	
	D	Minor	Failure is noticeable, but has a lesser impact than a Major failure (for example,	
			causing passenger inconvenience or a routine flight plan change)	
	E	No Effect	Failure has no impact on safety, aircraft operation, or crew workload.	

rrevious example, 2 criticalities

needs certification; does not need certification
 Civilian aviation (DO-178B): 5 criticalities
 -catastrophic; hazardous; major; minor; no effect
 Automotive systems (ISO 26262): 4 criticalities

The mixed-criticality job model



 $C_i(j)$: The worst-case execution time of job J_i , estimated at a level of assurance consistent with the jth criticality level

(WCET-estimation tools and techniques are criticality level-specific)

Assume $C_i(j) \leq C_i(j+1)$ for all j

- upper bounds: the greater the desired degree of confidence, the larger the value

The mixed-criticality job model

Job J_i

- arrival time A_i
- deadline D_i
- criticality level L_i
- WCET function $C_i(1)$, $C_i(2)$, ...

The MIXED-CRIT SCHEDULING PROBLEM: Given an <u>instance</u> $\{J_1, J_2, ..., J_n\}$ of mixed-criticality jobs, determine an appropriate scheduling strategy

CERTIFICATION CRITERION: Job J_i should meet its deadline when each job J_k executes for at most $C_k(L_i)$, for all J_i .

The WCET of J_k , computed at J_i 's criticality level

J _i :	Li	A _i	C _i (1)	C _i (2)	D _i	$\begin{array}{c} 1 \rightarrow LO \\ 2 \rightarrow HI \end{array}$	
J ₁ :	1						
J ₂ :	1						
J ₃ :	2						
J ₄ :	2						



Schedule for LO-criticality behavior - Earliest Deadline First (EDF) Schedule for HI-criticality behavior - Criticality Monotonic scheduling













The complexity of MC scheduling

Given an instance of mixed-criticality jobs, determining whether an appropriate scheduling strategy exists for it is NP-hard in the strong sense

- For uniprocessors as well as multiprocessors
- Upon both preemptive and non-preemptive processors
- Even if there are only two distinct criticality levels
- And all jobs arrive simultaneously

Coping with intractability

Given an instance of mixed-criticality jobs, determining whether an appropriate scheduling strategy exists for it is NP-hard in the strong sense

Focus on dual criticality instances:

Each job is either HI-criticality or LO-criticality

Coping with intractability

Given an instance of mixed-criticality jobs, determining whether an appropriate scheduling strategy exists for it is NP-hard in the strong sense

Focus on dual criticality instances:

Each job is either HI-criticality or LO-criticality

- For ease of presentation
- Already intractable
- All techniques & results generalize to more criticality levels

Dual-criticality instance $I = \{J_1, J_2, ..., J_n\}$

Assign priorities by Lawler's technique (Audsley's algorithm)

- 1. find a lowest-priority job
- 2. remove from instance
- 3. repeat on remaining instance

[Proof of correctness: On preemptive processors, lower-priority jobs do not impact the scheduling of higher-priority jobs.]

Dual-criticality instance $I = \{J_1, J_2, ..., J_n\}$

Assign priorities by Lawler's technique (Audsley's algorithm)



Dual-criticality instance $I = \{J_1, J_2, ..., J_n\}$

Assign priorities by Lawler's technique (Audsley's algorithm) - recursively find a lowest-priority job

 $J_i := a$ job that may be assigned lowest priority in I'

 J_i may be assigned lowest priority if it meets its deadline as the lowestpriority job, when each job J_k executes for $C_k(L_i)$ time units

The WCET of J_k , computed at J_i 's criticality level

Dual-criticality instance $I = \{J_1, J_2, ..., J_n\}$

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An example: Can J_1 be lowest priority? - no! $A_i \quad C_i(LO) \quad C_i(HI)$ Di J_i: 2 J_1 : LO 2 2 0 2 J_2 : 4 HI J_1 misses its deadline

Dual-criticality instance $I = \{J_1, J_2, ..., J_n\}$

Assign priorities by Lawler's technique (Audsley's algorithm) - recursively find a lowest-priority job

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An example:



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OCBP: <u>Own</u> <u>Criticality-Based</u> <u>Priorities</u>

```
Dual-criticality instance I = \{J_1, J_2, ..., J_n\}
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Assign priorities by Lawler's technique (Audsley's algorithm) - recursively find a lowest-priority job

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PROPERTIES:

- * Polynomial runtime
 - O(n³ log n) naive; O(n²)
- * Is a sufficient (not exact) scheduling algorithm

*Quantitative performance bound (assuming some run-time support)

A quantitative metric - Speedup factor

Schedulable instance I \longrightarrow A polynomial-time algorithm \longrightarrow A schedule for I on <u>faster</u> procs.

NP-hard: Such an algorithm is unlikely

So, seek an approximate algorithm that has polynomial run-time

* Faster processors to compensate for non-optimality of the algorithm

Definition. A scheduling algorithm A has speedup factor equal to $s (s \ge 1)$ if any instance that can be scheduled by an optimal algorithm on unit-speed processors, can be scheduled by algorithm A on speed-s processors

* Comparing approximation algorithms: smaller s is better (An optimal algorithm has s = 1)

We seek polynomial-time scheduling algorithms with small speedup factors

Integrated computing environments + certification requirements

Models - finite collection of independent jobs
Methods - OCBP (Own Criticality-Based Priorities)
Metrics - Processor Speedup Factor

PRESENTATION PLAN:

A processor speedup factor for OCBP Generalizing the model: recurrent task systems - an algorithm for recurrent task systems: EDF-MD

- a processor speedup factor for EDF-MD

Further generalizing the model: critical sections



"Regular" programs may be more <u>complex</u>

-Greater unpredictability on behavior; greater variation in run-times



Run-time support for mixed criticalities

Does the run-time system police the execution of jobs?



Run-time support for mixed criticalities

Does the run-time system police the execution of jobs?

 $C_i(HI) \gg C_i(LO)$ for LO-criticality jobs

If run-time system can enforce execution budgets

Budget assigned to
$$J_i = -\begin{cases} C_i(LO), & \text{if } J_i & \text{is a LO-criticality job} \\ C_i(HI), & \text{if } J_i & \text{is a HI-criticality job} \end{cases}$$

C_i(HI) = C_i(LO) for LO-criticality job J_i

- But... such systems tend to be more complex
 - policing and budgeting overhead costs must be accounted for

- policing and budget-enforcement must be implemented as HI-criticality functionalities

The load parameter

For "regular" real-time instances:

demand(I, $[t_1, t_2)$) = cumulative execution requirement of jobs of instance I over the time interval $[t_1, t_2)$

$$load(I) = max_{all [+1,+2)} \begin{cases} demand(I,[+1,+2)) / (+2,+1) \end{cases}$$

RESULT: Any regular (i.e., non-MC) instance I is feasible on a preemptive uniprocessor if and only if load(I) ≤ 1

Generalization to <u>dual-criticality</u> instances *load_{LO}(I) - load "expected" by system designer (all jobs; LO-criticality WCET's)

*load_{HI}(I) - load to be certified

(only HI-criticality jobs; HI-criticality WCET's)

The load parameter: an example



The load parameter: an example



The <u>load</u> parameter: an example

J _i :	Li	A _i	C _i (LO)	C _i (HI)	Di	load _{LO} = max (0.5, 1.0) = 1.0
J ₁ :	LO	0	1	1	2	$load_{r} = 0.75$
J ₂ :	LO	0	1	1	4	1000 _{HI} - 0.75
J ₃ :	HI	0	1	2	4	
J ₄ :	HI	0	1	1	4	

This instance I has low-criticality load $load_{LO}(I) = 1.00$ and high-criticality load $load_{HI}(I) = 0.75$

OCBP: A sufficient schedulability condition

RESULT: Algorithm OCBP schedules any dual-criticality instance I satisfying $load_{HI}(I) + load_{LO}(I)^2 \le 1$ on a preemptive unit-speed processor



OCBP: A sufficient schedulability condition

RESULT: Any dual-criticality instance I feasible on a unit-speed processor is OCBP-schedulable on a speed- $\frac{2}{\sqrt{5}-1} = \frac{\sqrt{5}+1}{2}$ (\approx 1.618) processor



OCBP: A sufficient schedulability condition



Recurrent tasks

Recurring tasks or processes - generate jobs	for(;;){
- represent code within an infinite loop	•
Different tasks are assumed independent	•
	•
	•
	}

Recurrent tasks: the Liu & Layland (LL) model

Task $\tau_i = (T_i, L_i, [C_i(LO), C_i(HI)])$

- T_i: minimum inter-arrival separation ("period")
- $L_i \in \{LO, HI\}$: the criticality level of the task
- $C_i(LO)$, $C_i(HI)$: WCET estimates, at both criticality levels

Jobs

- first job arrives at any time
- consecutive arrivals at least T_i time units apart
- each job has criticality L_i , and WCET's as specified
- each job must complete within T_{i} time units

The dual-criticality scheduling problem for LL task systems: Given a collection { $\tau_1, \tau_2, ..., \tau_n$ } of dual-criticality LL tasks, determine a correct scheduling strategy

The load parameter

For "regular" real-time instances:

demand(I, $[t_1, t_2)$) = cumulative execution requirement of jobs of instance I over the time interval $[t_1, t_2)$

$$load(I) = max_{all[t_{1},t_{2})} \left\{ demand(I,[t_{1},t_{2})) / (t_{2}-t_{1}) \right\}$$

RESULT: Any regular (i.e., non-MC) instance I is feasible on a preemptive uniprocessor if and only if $load(I) \le 1$

The utilization parameter of a LL task system



RESULT: Any regular (i.e., non-MC) LL task system τ is feasible on a preemptive uniprocessor if and only if $U(\tau)$ is ≤ 1

The utilization parameter of a LL task system

For systems of (non mixed-criticality) LL tasks:

$$\boldsymbol{U}(\tau) = \sum_{\tau_i \in \tau} \frac{C_i}{T_i}$$

RESULT: Any regular (i.e., non-MC) LL task system τ is feasible on a preemptive uniprocessor if and only if $U(\tau)$ is ≤ 1

Generalization to dual-criticality LL systems

* $U_{LO}(\tau)$ - as "expected" by system designer (all tasks; LO-criticality WCET's)

$$U_{\rm LO} = \sum_{\rm all \ \tau_i} \frac{C_i({\rm LO})}{T_i}$$

* U_{HI}(τ) - to be certified (only HI-crit. tasks; HI-crit. WCET's)



Extensions of OCBP to the recurrent tasks model

- yields a speedup bound of ≈ 1.62
- quadratic run-time per scheduling decision

- 1. Li and Baruah. An algorithm for scheduling certifiable mixed-criticality task systems. RTSS 2010
- 2. Guan, Ekberg, Stigge and Yi. Effective and efficient scheduling of certifiable mixedcriticality sporadic task systems. RTSS 2011

Scheduling dual-criticality LL tasks on preemptive uniprocessors

Extensions of OCBP to the recurrent tasks model

- yields a speedup bound of ≈ 1.62
- quadratic run-time per scheduling decision

Earliest Deadline First - Modified Deadlines

EDF-MD: a new scheduling algorithm

- Better (smaller) speedup bound
- better run-time behavior





1. Pre-processing

* Scale the periods of all HI-criticality tasks such that U_{LO} becomes 1

* Scaling factor is
$$\left(\sum_{L_i=HI} \frac{C_i(LO)}{T_i}\right) / \left(1 - \left(\sum_{L_i=LO} \frac{C_i(LO)}{T_i}\right)\right)$$

2. Initial run-time scheduling (assuming LO-criticality behavior)

* Schedule according to EDF

- job deadlines assigned according to the scaled-down periods

- 3. Run-time scheduling upon transitioning to HI-criticality
 - [i.e., some jobs executes beyond its LO-criticality WCET]

* Discard all LO-criticality jobs

- * Recompute deadlines for HI-crit. jobs, according to their original periods
- * Future arrivals
 - LO-crit: discard
 - HI-crit: deadlines assigned according to the original periods

The processor speedup factor of Algorithm EDF-MD is 4/3

- Extended OCBP: ≈ 1.62

number of tasks

Algorithm EDF-MD can be implemented with a run-time complexity equal to O(log N) per scheduling decision

- Extended OCBP: O(N²) per scheduling decision

Recurrent tasks + shared resources

Workload: Dual-criticality LL tasks

Platform: preemptive uniprocessor + additional serially reusable resources

- Jobs access shared resources
 - within critical sections ... which may be nested

Priority Inversion: A lower-priority job executes instead of a higher-priority one

for(;;){

- lock (R₁)
 - lock (R₃)
 - unlock (R₃)
- unlock (R₁)
- lock (R₂)
- unlock (R₂)







Ted Baker. Stack-based scheduling of real-time processes. Real-Time Systems: The International Journal of Time-Critical Computing 3(1). 1991.

The STACK RESOURCE POLICY (SRP) is optimal for resource-sharing "regular" L&L task systems: if any task system is uniprocessor feasible, then EDF + SRP guarantees to schedule it to meet all deadlines

Mixed criticality scheduling without shared resources



Mixed criticality scheduling with shared resources

<u>Problem</u>: Design an efficient, certifiable strategy for arbitrating access to shared resources for mixedcriticality sporadic task systems



Context and conclusions

Platform-sharing is here to stay

Different certification criteria for different systems

Current practice: complete isolation amongst applications is inefficient

- in resource usage: <u>Size</u>, <u>Weight</u>, <u>and</u> <u>Power</u> (SWaP)
- in certification effort

Needed: Certifiably correct techniques for system design and implementation

New models, methods, and metrics for achieving this goal